

LEGAL NOTICE NO. 203

REPUBLIC OF TRINIDAD AND TOBAGO

THE PURCHASE OF CERTAIN RIGHTS AND VALIDATION ACT, 2011

REGULATIONS

MADE BY THE MINISTER UNDER SECTION 7 OF THE PURCHASE OF CERTAIN RIGHTS AND VALIDATION ACT, 2011 AND TO BE LAID IN PARLIAMENT

THE PURCHASE OF CERTAIN RIGHTS
REGULATIONS, 2011

1. These Regulations may be cited as the Purchase of Certain Rights Regulations, 2011. Citation

- 2 In these Regulations— Interpretation
 - “broker” means a person engaged in the business of effecting transactions in securities for the account of others;
 - “interim period” means the period from the date of the issue of the bonds to the date when the bonds have been listed on the Stock Exchange;
 - “Registrar” means the Central Bank of Trinidad and Tobago established under the Central Bank Act; Chap. 79:02
 - “Stock Exchange” means the Trinidad and Tobago Stock Exchange Limited being a company incorporated under the Companies Act. Chap. 81:01

3. All bonds shall be in electronic form and shall bear the same date of issue. Form and issue date of bonds

4. (1) No bond shall be transferred during the period of two days immediately preceding the date of maturity of the bond. Transfer of bonds
 - (2) Subject to subregulation (1), a bond—
 - (a) in the interim period shall be transferred only after the Bond Transfer Form, specified in the Schedule, has been duly completed and submitted in person by the Transferor, to the Registrar; and
 - (b) which is listed on the Stock Exchange, shall be transferred through a broker.

5. Bonds shall be in the denomination of one thousand dollars. Denomination of bonds

SCHEDULE

(Regulation 5)

BOND TRANSFER FORM

Name of Bond _____

I/We _____ [hereinafter called "the
transfer or (s)"] DO HEREBY TRANSFER to _____

_____ (hereinafter

called "the Transferee") the above-mentioned Bonds of face value _____

registered at the Central Bank, for value _____

_____ day of _____ 20__ .

Date _____

Full Name(s) (Transferor) _____

Address _____

Signature/Company Stamp (Transferor) _____

Full Name(s) (Transferee) _____

Address (Transferee) _____

National ID No./Company Stamp of Transferee _____

Telephone Contact (Transferee) _____

Central Bank Stamp _____

Signature of Central Bank Official _____

NOTES:

1. Registration of transfers will not be effected when the register is closed.
2. Transfers are effected within two (2) working days after receipt of transfer form.
3. Transfer form is to be completed in duplicate.

Dated this 7th day of October, 2011.

W. DOOKERAN
Minister of Finance