



TRINIDAD AND TOBAGO GAZETTE

(EXTRAORDINARY)

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1639

SUPPLEMENT TO THIS ISSUE

THE DOCUMENTS detailed hereunder have been issued and are published as a Supplement to this issue of the *Trinidad and Tobago Gazette*:

Legal Supplement Part B—

Limitation of Certain Actions (Extension of Period) (No. 4) Order, 2020—(Legal Notice No. 404 of 2020).

Non-Profit Organisations (Extension of Time) (No. 3) Order, 2020—(Legal Notice No. 405 of 2020).

Companies (Waiver of Penalties) (No. 3) Order, 2020—(Legal Notice No. 406 of 2020).

Companies (Extension of Time for Registration of Charges) (No. 3) Order, 2020—(Legal Notice No. 407 of 2020).

Notice of Declaration of Trinidad and Tobago Standards—(Legal Notice No. 408 of 2020).

Proclamation No. 39—(Legal Notice No. 409 of 2020).

Proclamation No. 40—(Legal Notice No. 410 of 2020).

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MINISTRY OF RURAL DEVELOPMENT AND LOCAL GOVERNMENT

SEPARATIONS

IN ACCORDANCE with the Director of Personnel Administration Circular Memorandum No. P: 15/51, dated 5th December, 2000, the undermentioned notice is published for general information.

Resignation

Name	Rank of Officer	Ministry/Department	Date	Remarks
Ms. Precious Reyes	Police Constable ...	Ministry of Rural Development and Local Government	11th November, 2020	Resignation

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THE following notice is published for general information:

Retirement

Name	Rank of Officer	Ministry/Department	Date	Remarks
Kelvin Khan ...	Cleaner/Watchman	Ministry of Rural Development and Local Government	13th March, 2021	Compulsory Retirement
Yvonne Ashby Waal ...	Duplicating Machine Operator	do.	30th September, 2021	do.
Shaira Hosein-Parmel	Cleaner I	do.	13th November, 2021	do.



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of Section 7(n) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago

And

In the Matter of the Development and Implementation of a Centralized Repository and Registration System by the Trinidad and Tobago Securities and Exchange Commission

ORDER OF THE COMMISSION

Dated this 24th day of April, 2020

WHEREAS the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) has developed and is seeking to implement a Centralised Repository and Registration System (“CRRS”), branded as EASi (Electronically Advanced Submission Interface), which will facilitate the submission of electronic applications for registration and other filings pursuant to the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Securities Act”);

AND WHEREAS the Board of Commissioners (“the Board”) may authorize amendments to the Commission’s existing Forms in accordance with the Commission’s procedure as approved by the Board by resolution on 11th February, 2016;

AND WHEREAS new Forms or amendments to the existing Forms must be approved via an Order of the Commission;

AND WHEREAS Section 159(10)(a) of the Securities Act grants the Commission the power to issue Orders that are essentially procedural without the need for a hearing;

AND UPON the Board of Commissioners considering recommendations from Staff on this matter;

AND UPON the Board of Commissioners being satisfied that it has the authority to approve the implementation of the Form changes pursuant to Section 7(n) of the Securities Act;

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AND UPON the Board of Commissioners being satisfied it would be in the public interest to implement the Form changes and new Forms; and

AND UPON the Board of Commissioners determining that the making of this Order is essentially procedural in accordance with Section 159 (10) (a) of the Securities Act and does not require a hearing pursuant to Section 159 (1) of the Securities Act.

IT IS HEREBY ORDERED THAT:

1. Amendments to the following Forms are approved for the various applications and filings pursuant to the Securities Act, for the purpose of integrating same into CRRS:
 - i. Form 1 – Registration as a Self-Regulatory Organization;
 - ii. Form 2A – Registration as a Broker-Dealer, Investment Adviser or Underwriter (Corporate-Form Only);
 - iii. Form 2B – Registration as an Investment Adviser (Individuals Only);
 - iv. Form 8 – Registration and Revised Registration Statement for Reporting Issuers; and
 - v. Form 21 - Report by Registrant of Trades Executed other than through a Securities Exchange.
2. Amendments to the following existing Forms are approved for the various applications and filings pursuant to the Securities Act, for the purpose of integrating same into CRRS in the outlined manner:
 - i. Merger of existing Form 3A – Registration as Registered Representatives and Form 3B – Registered Representatives Questionnaire into one form, namely Form 3 – Registration of Registered Representatives; and
 - ii. Division of existing Form 10 – Material Change Report into two forms, namely Form 10A – Material Change Report and Form 10B – Material Change Report.
3. The following new Forms (set out in Appendix 1 hereof) are approved for the various applications and filings pursuant to the Securities Act, and for the implementation of CRRS:
 - i. Form 24 – Quarterly Capital Requirements;
 - ii. Form 11A - Annual Report Filing
4. Registrants are to complete and submit the applicable forms in the timeframe specified by the Commission;
5. This Order shall be published at a date to be determined by Staff of the Commission, in the Trinidad and Tobago Gazette and posted on the Commission’s website. Notification of the posting shall be published in two daily newspapers in general circulation in Trinidad and Tobago; and
6. These changes shall take effect from the date of public implementation of the Centralised Repository and Registration System, (EASI).



Douglas Mendes, S.C.
Chairman



Rachel Simms-Sealy
Corporate Secretary

FORM 24

QUARTERLY CAPITAL REQUIREMENTS

Pursuant to Section By-Laws 27 and 28 of the Securities (General) By-Laws, 2015

General Instructions:	<p>Please complete all relevant sections; where the allocated space is insufficient, you may continue on a separate page and attach to the completed form. All supporting information and attached pages should be appropriately numbered and referenced.</p> <p>Completed Forms should be submitted to:</p> <p style="padding-left: 40px;">The Director Market Regulation and Surveillance Division Trinidad and Tobago Securities and Exchange Commission Levels 22 – 23, Tower D, International Waterfront Centre, 1 Wrightson Road, Port of Spain, Trinidad and Tobago</p>
Item 1	<p>State exact name as specified in the Registrant's national identification (in the case of an individual) or constituting or organizational documents and state the registrant's primary business address, telephone number, email address and fax number.</p>
Item 2	<p>Please mark "x" by the relevant checkbox to indicate the registrant's category or categories of registration.</p>
Item 3	<p>Please state the last day of the quarter being reported.</p>
Item 4	<p>Provide the required details for the registrant's Capitalization Levels. For Total Capital, include the value and sum of the following items: share capital, reserves, retained earnings and/or other, where applicable.</p> <p>For Total Regulatory Capital, include the value and sum of the following items : cash & cash equivalents held in a financial institution, money market accounts of a Collective Investment Scheme in Trinidad and Tobago, market value of</p>

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	securities of the Government of the Republic of Trinidad and Tobago and assets held in such form as approved by the Commission, where applicable.
Item 5	Attach a copy of the registrant's Statement of Financial Position/ Balance Sheet for the financial quarter being reported, together with any other document or statement detailing the breakdown of how the registrant's capital is held in order to demonstrate compliance with By-Law 27 of the Securities (General) By-laws, 2015.
Item 6	Provide attachments, where applicable, detailing any additions to or withdrawals from equity capital during the quarterly period being reported. If there were no additions or withdrawals, please indicate same.
Item 7	State the name, position in the organisation, business telephone number and email address of the senior officer of the registrant who is knowledgeable about this filing and who may be contacted for discussions.
Item 8	Date the application. Include the signature of the Senior Officer identified in Item 7, who is certifying the content of this filing.

FORM 24

QUARTERLY CAPITAL REQUIREMENTS

Pursuant to section By-Laws 27 and 28 of the Securities (General) By-Laws, 2015

1. NAME OF REGISTRANT AND CONTACT DETAILS OF REGISTRANT

Name of Registrant

Primary Business Address			
Work Phone (1-xxx-xxx-xxxx)		Fax Phone (1-xxx-xxx-xxxx)	
Mobile Phone (1-xxx-xxx-xxxx)		Email Address	

2. CATEGORY OF REGISTRATION

Broker Dealer	<input type="checkbox"/>
Investment Adviser (only)	<input type="checkbox"/>
Underwriter	<input type="checkbox"/>
Reporting Issuer	<input type="checkbox"/>

3. REPORTING PERIOD

State the last day of the quarter being reported

4. CAPITALIZATION LEVELS

All values should be stated in Trinidad and Tobago dollars.	
	\$
Share Capital	
Reserves	
Retained Earnings	
Other	
TOTAL CAPITAL	
Regulatory Capital:	\$
Cash & Cash Equivalents held in a Financial Institution	
Money Market Accounts of a Collective Investment Scheme in Trinidad and Tobago	

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Market Value of securities of the Government of the Republic of Trinidad and Tobago	
Assets held in such form as approved by the Commission	
TOTAL REGULATORY CAPITAL	

5. ATTACH A COPY OF THE APPLICANT'S STATEMENT OF FINANCIAL POSITION/BALANCE SHEET
See General Instructions

6. PROVIDE DETAILS OF ANY ADDITIONS TO OR WITHDRAWALS FROM EQUITY CAPITAL DURING THE QUARTERLY PERIOD

See General Instructions

7. DETAILS OF SENIOR OFFICER

Name (First Name Last Name)			
Position in Organization			
Business Phone (1-xxx-xxx-xxxx)		Email Address	

8. DATE, CERTIFICATION AND SIGNATURE

I hereby certify that the statement and information contained in this form and any attachment hereto are true and correct to the best of my knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012. I understand that any misrepresentation, falsification or material omission of information on this application may result in a breach of the Securities Act, 2012.

Print Name **Signature** **Position** **Date**

FOR OFFICIAL USE ONLY

Tool	ID Information
Registrant's Number	
Director's Number	
Document / Record Number	
Record's Management Date Received (dd/mm/yyyy)	

Approved By : _____ Date (DD/MM/YYYY) _____



Form 11A - ANNUAL REPORT SUBMISSION

Pursuant to Section 63 of the Securities Act, 2012 and By-laws 16(2) and 47 of the Securities (General) By -Laws, 2015

Request ID

1. DETAILS OF FILING

Registrant/Self-Regulatory Organization Name	1.1	<input type="text"/>
Annual Report for Period Ended	1.2	<input type="text"/>
Annual Report	1.3	<input type="text"/>

2. CERTIFYING OFFICERS

Certifying Officer 1

First Name	2.1	<input type="text"/>
Last Name	2.2	<input type="text"/>
Position of Registrant/Self- Regulatory Organization	2.3	<input type="text"/>
Proof of Authorization	2.4	<input type="text"/>

3. DATE, CERTIFICATION AND SIGNATURE

I/We certify that the statement and information contained in the preceding Form and any attachment hereto are true and correct to the best of my/our knowledge and belief and submitted in compliance with the provisions of the Securities Act, 2012 and the Securities (General) By-Laws, 2015. I/We understand that any misrepresentation, falsification or material omission of information in this application may result in a breach of the Securities Act, 2012 and the Securities (General) By-Laws, 2015.

Certifying Officer 1

Print Name

Position

Date

Signature

Certifying Officer 2

Print Name

Position

Date

Signature

Certifying Officer 3

Print Name

Position

Date

Signature

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NOTICE OF INTENDED REMOVAL OF DEFUNCT
COMPANIES FROM REGISTER

[Section 461(3) of the Companies Act, 1995, Chap. 81:01]

NOTICE is hereby given that at the expiration of three months from the date of this notice the names of the Companies appearing hereunder will, unless cause is shown to the contrary, be struck off the Register of Companies kept at this office, and the Companies will be dissolved:

Name of Companies

BUILDING THE ARK—B 1903 (95)
 ESPERANTO TRINIDAD LIMITED—E 1551 (95)
 ESPERANTO-KENTECH LIMITED—E 1552 (95)
 G & L ALVES & COMPANY LIMITED—G 1188 (95)
 KENTECH TRINIDAD SERVICES LIMITED—K 1155 (95)
 KENTECH TRINIDAD LIMITED—K 1156 (95)
 PRINT SOLUTIONS LIMITED—P 2430 (95)
 STARS MAGAZINE LIMITED—S 3877 (95)
 SEC-IT INTELLIGENCE LIMITED—S 6295 (95)
 TRACE EQUIPMENT LIMITED—C 2014040409287
 FAWAKIHUL JANNAH EDUCATIONAL INSTITUTE
 LTD.—C 2014072501388
 SUNRISE CLOTHING & DEPARTMENT STORE
 LTD.—C 2014111903474
 WHOLESALE ATTIRE INCORPORATED LIMITED—
 C 2016092709414
 TILE COLLECTION COMPANY LTD.—C 2017022002730
 THE INDUSTRY LOUNGE & SPORTS BAR LTD.—
 C 2017032103271
 DAV OIL & GAS CO. LTD.—C 2017080706298
 DAV PETROLEUM SERVICES CO. LTD.—
 C 2017080706297
 XPRT INSURANCE SERVICES LIMITED—
 C 2018082006044
 SUNCOR LOGISTICS & CONSULTANCY LIMITED—
 C 2019012209756

Dated this 22nd day of July, 2020 at the Registrar General's Office.

K. BRIDGEWATER
Registrar General

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LOSS OF PAN-AMERICAN LIFE INSURANCE OF
(TRINIDAD AND TOBAGO) POLICIES

PURSUANT to section 163 of the Insurance Act, 1980, this notice is hereby given that after one month of the publication of this notice, this Company intends to issue duplicate policies to replace the following policies, numbers stated below, which have been declared lost/destroyed:

<i>Name</i>	<i>Policy Number</i>
KATHRINA HADDAD	3414302
ANDREA HADDAD	3414301
ANTOINETTE ALEXANDER-CRAIG ...	3458280
ATIBA HAYNES	3479897
RICHARD CASTILLO	3410109
AVINASH RAMSAWAKSINGH	3434550
SEEMA TEWARI	3487475
MICHAEL MOONILAL	3472849
NARESH NANHOO	3437709

PAN-AMERICAN LIFE INSURANCE
 OF (TRINIDAD AND TOBAGO)

91-93, St. Vincent Street
 Port-of-Spain.

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LICENSING SESSION
(Liquor Licences Act, Chap. 84:10)

ARIMA

NOTICE is hereby given that by lawful authority under the provisions of the Liquor Licences Act, Chap. 84:10 (18), the Licensing Committee for the Licensing District of St. George East, Arima Area, has appointed WEDNESDAY THE 23RD DAY OF DECEMBER, 2020 at the Arima Magistrate's Court as the day and place at which a Special Licensing Session will be held to hear and determine the application of Deodath Boodoram of No. 67 Lopinot Road, Surrey Village, Arouca, for a Certificate authorizing him to carry on the trade of a Spirit Retailer in respect of premises situate at No. 67 Lopinot Road, Surrey Village, Arouca.

Dated this 11th day of December, 2020 at the Arima Magistrate's Court.

T. REYES
*Secretary, Licensing Committee,
 St. George East*