



TRINIDAD AND TOBAGO GAZETTE (EXTRAORDINARY)

VOL. 59

Caroni, Trinidad, Friday 10th July, 2020—Price \$1.00

No. 120

860

ELECTIONS AND BOUNDARIES COMMISSION

NOTICES REQUIRED UNDER ELECTION RULE 23(5) OF THE REPRESENTATION OF THE PEOPLE ACT

IT IS NOTIFIED for public information, that the symbol reproduced below has been assigned by the Elections and Boundaries Commission, as the official Party symbol of the People Referendum Movement (PRM).



861

IT IS NOTIFIED for public information, that the symbol reproduced below has been assigned by the Elections and Boundaries Commission, as the official Party symbol of the Unity of The People.



H. HOLDER
for Chief Election Officer
Elections and Boundaries Commission



EQUAL OPPORTUNITY TRIBUNAL

COURT OPERATIONS ELECTRONIC AND IN-PERSON HEARINGS

Practice Direction No. 3

This Practice Direction is issued pursuant to Part 26 of the Equal Opportunity Tribunal Rules, 2016 (as amended).

The objective of this Practice Direction is to provide for adequate and appropriate measures for court operations including electronic and in-person hearings with effect from 13th July, 2020 at the Equal Opportunity Tribunal.

Accordingly, the following measures shall take effect:

EFFECTIVE DATES

1. This Practice Direction comes into effect on 13th July, 2020 until further notice or otherwise suspended.
2. For the avoidance of any doubt, this Practice Direction revokes and replaces the Practice Direction published in Gazette No. 50 of 2020.
3. Paragraphs 4-7 of this Practice Direction shall cease to have effect on such date as the Judge/Chairman may direct by further Practice Direction.

ENTRY TO COURT LOCATIONS

4. Any person seeking to enter the court building during the Covid-19 pandemic period must wear an appropriate¹ mask and is required to comply with all health and safety protocols in place, including the screening of persons entering the court building, use of hand sanitizers and social distancing. Additional specific protocols will be in place at designated areas, locations, departments or offices within the court building.
5. Any person who displays flu-like symptoms, or who otherwise fails to meet the screening standard required for entry, may be denied entry to the court building with the alternative of pursuing any necessary business with the Tribunal via electronic means.
6. Anyone with legitimate court business who is ill, is advised to stay at home and request an adjournment by calling the court office or by sending an e-mail to eot.courtstaff@gov.tt.
7. Non-compliance with the above may result in one being denied entry to court facilities.

¹ An appropriate mask is a mask that covers one's nose and mouth in such a fashion as to prevent the escape of droplets from one's nose and mouth.

EQUAL OPPORTUNITY TRIBUNAL
Practice Direction No. 3

HEARINGS TO BE CONDUCTED BY ELECTRONIC MEANS

8. The following categories of hearings may be conducted by electronic means:
 - a. Directions Hearings - including Initial Hearings, Case Management Conferences, Status Hearings, Pre-Trial Reviews;
 - b. Delivery of Judgments;
 - c. Applications including urgent applications; and or
 - d. Any other hearings which the Judge/Chairman deems appropriate for hearing by electronic means.
9. The Judge/Chairman sitting alone may preside over hearings conducted by electronic means.
10. All matters will be heard at pre-scheduled appointed times. In any case in which a hearing or any part of a hearing is to be conducted in person, it will be scheduled by appointment.

IN-PERSON HEARINGS

11. The general rule is that the Tribunal will, as far as possible, seek to deal with the categories of hearings listed in paragraph 8 matters by electronic means.
12. Where in any matter it is necessary to:
 - a. take the evidence of a particular witness in person;
 - b. have anyone appear in person because it is impossible for them to appear otherwise; and or
 - c. produce any physical evidence in court which in the interest of justice cannot be produced otherwise.the Tribunal may conduct that part of the hearing in person.
13. The Tribunal shall schedule times for in person hearings in such manner as to ensure that there is no congregating of persons.
14. All persons required to attend in person, will be provided with the scheduled time for their appearance.
15. Attendance in the courtroom for an in-person hearing must be limited to each party and their attorney(s)-at-law and any witness under examination where applicable. Persons not directly involved in the proceedings may not enter or sit in the courtroom without the prior approval of the Judge/Chairman.
16. Witnesses waiting to give evidence in an in-person hearing must remain seated in the designated area until they are required in the courtroom.

Dated this 10th July, 2020

/s/ Donna Prowell-Raphael
Judge/Chairman, Equal Opportunity Tribunal



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of Sections 155 (1A) (e) and 159(10) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago

In the Matter of an Application by First Citizens Trustee Services Limited for the de-registration of Savinvest US\$ Capital Growth Fund from the Trinidad and Tobago Securities and Exchange Commission's Register of Mutual Funds

ORDER OF THE COMMISSION

Dated this ¹² day of December, 2018

WHEREAS the Trinidad and Tobago Securities and Exchange Commission ("the Commission") issued a receipt for a prospectus for Savinvest US\$ Capital Fund ("the Fund") on June 17th 2004.

AND WHEREAS:

1. By way of letter dated February 26th 2018, First Citizens Trustee Services Limited ("FCTS"), in its capacity as trustee for the Fund, notified the Commission that Bourse Securities Limited ("BSL"), the manager of the Fund confirmed that the Fund is no longer being offered for sale in Trinidad and Tobago and should be de-registered;
2. Under cover of letter dated July 2nd 2018, FCTS submitted an application for the de-registration of the Fund as a Collective Investment Scheme;

3. By way of letter dated July 19th 2018, FCTS submitted a copy of the resolution from a Unitholders meeting on May 3rd 2018 for the winding up of the Fund and confirmed that there were no new subscriptions to the Fund between the date of its last financial year and the date of winding up;
4. There are no outstanding market access fees and all disclosure requirements for the period up until the time of its termination have been met. Further, FCTS confirmed that the assets of the Fund were liquidated and distributed to unitholders as at June 12th 2018;
5. Notices of the application for de-registration were published, to the Commission's satisfaction in two (2) daily newspapers in Trinidad and Tobago and no objections or concerns were raised with respect to the proposed de-registration.

AND UPON:

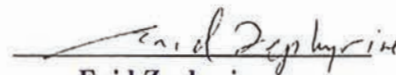
6. The Board of Commissioners considering submissions from Staff of the Commission in the form of Board Paper No. 50 of 2018;
7. The Board of Commissioners being satisfied that it was resolved at a Unitholders meeting on May 3rd 2018 to dissolve the Fund;
8. The Board of Commissioners being satisfied that the Fund was liquidated and distributed to the existing investors requiring the protection of the Act;
9. The Board of Commissioners considering that it is not contrary to the public interest to make the following Orders in accordance with Section 155 (1A) (e); and
10. The Board of Commissioners determining that in accordance with Section 159(10) (a) of the Act that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(1) of the Act.

IT IS HEREBY ORDERED THAT:

- I. The de-registration of Savinvest US\$ Capital Fund is approved in accordance with Section 155 (1A) (e) of the Act;
- II. Savinvest US\$ Capital Fund be removed from the register of mutual funds maintained by the Commission;
- III. Savinvest US\$ Capital Fund is no longer a Collective Investment Scheme registered with the Commission;
- IV. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago; and
- V. This Order shall be effective from October 25th 2018.



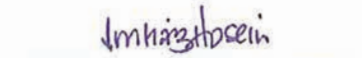
Douglas Mendes, S.C.
(Chairman)



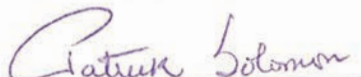
Enid Zephyrine
(Deputy Chairman)



Elaine Green
(Commissioner)



Imtiaz Hosen
(Commissioner)



Patrick Solomon
(Commissioner)



Suzette Taylor-Lee Chee
(Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of an Application by Scotia Investments Jamaica Limited for de-registration as a Reporting Issuer pursuant to Section 71(1) (b) of the Securities Act, Chap 83:02 of the Laws of the Republic of Trinidad and Tobago

ORDER OF THE COMMISSION

Dated this ^{4th} 28 day of January, 2019

WHEREAS:

1. Scotia Investments Jamaica Limited (“SIJL”) was registered with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) as a Reporting Issuer effective 9th November, 2004;
2. SIJL was incorporated in Jamaica under the Companies Act of Jamaica as a private limited company. At the time of its incorporation, the company was named Dehring Bunting & Golding Limited (“DB&G”). The company subsequently converted to a public company and its shares were listed on the Jamaican Stock Exchange and cross-listed on the Trinidad and Tobago Stock Exchange (“TTSE”);
3. The Bank of Nova Scotia of Toronto, Canada (BNS) and its subsidiary in Jamaica, the Bank of Nova Scotia Jamaica Limited (BNSJ) made a joint take-over bid in October 2006 to acquire 77.1% of DR&G’s shares. Subsequent to this successful offer, the SIJL shares

that were owned by BNS and BNSJ were cancelled and new DB&G shares were directly issued to Scotia Group Jamaica Limited (“Scotia Group”). During this intervening period, DB&G changed its name to Scotia D&BG Investments Limited then subsequently to Scotia Investments Jamaica Limited on 14th March 2011.

AND WHEREAS:


4. By way of a Scheme Arrangement dated 20th September 2017, between SIJL and its parent company Scotia Group, SIJL was made a private company by cancelling the shares held in SIJL other than those held by Scotia Group. By virtue of this, Scotia Group held 100% of the issued and outstanding shares in SIJL;
5. Scotia Group repurchased the ordinary shares held by SIJL shareholders in consideration for the cancellation of shares;
6. By way of letter dated 4th October 2017, SIJL applied for delisting from the TTSE. This request was based on the fact that SIJL ceased to meet the listing requirements of the TTSE;
7. On the 5th of October 2017, SIJL by way of an advertisement informed the public that the Supreme Court of Jamaica approved and sanctioned the Scheme of Arrangement pursuant to section 206 of the Jamaican Companies Act;
8. Under cover of letter dated 9th October 2017, SIJL submitted an application for its de-registration as a Reporting Issuer under the Securities Act 2012 (“the Act”) pursuant to the completion of the Scheme of Arrangement;
9. By Order of the Commission dated 31st January 2018, SIJL was removed from the official list of securities on the TTSE;
10. Notices of the application for de-registration as a Reporting Issuer were published to the Commission’s satisfaction in two (2) daily newspapers of general circulation in Trinidad and Tobago and no objections or concerns were raised with respect to the proposed de-registration.


AND UPON

11. The Board of Commissioners considering an application for the de-registration of SIJL at its meeting on 21st May 2018;
12. The Board of Commissioners being satisfied that the making of an Order for the de-registration of SIJL as a Reporting Issuer under Section 71(1) (b) of the Act will not adversely affect the rights of any person; and
13. The Board of Commissioners determining that in accordance with Section 159(10) (a) of the Act that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(1) of the Act.

IT IS HEREBY ORDERED THAT:

- I. Scotia Investments Jamaica Limited be removed from the register of Reporting Issuers maintained by the Commission;
- II. Scotia Investments Jamaica Limited is no longer a Reporting Issuer registered with the Commission in accordance with Section 71 (1) (b) of the Act;
- III. The de-registration of Scotia Investments Jamaica Limited is approved and there is no need for a Hearing in this matter in accordance with Section 159(10) of the Act;
- IV. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago; and
- V. This Order shall be effective from 21st May, 2018.



Douglas Mendes, S.C.
(Chairman)

Enid Zephyrine
(Deputy Chairman)

Elaine Green
(Commissioner)

Imtiaz Hosein
(Commissioner)

Patrick Solomon
(Commissioner)

Suzette Taylor-Lee Chee
(Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 63(a) of the Securities Act Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago and By-Law 47(c)
of the Securities (General) By-Laws 2015**

By

National Insurance Property Development Company Limited

ORDER OF THE COMMISSION

Dated this ^{4th} 28th day of January, 2019

WHEREAS section 63(a) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (the “Act”) and By-Law 47 (c) of the Securities (General) By-Laws (the “By-Laws”) requires a reporting issuer to file with the Commission its annual report within a prescribed timeframe;

AND WHEREAS National Insurance Property Development Company Limited (“the Respondent”), failed to file its annual report with the Commission for the financial year ended June 30, 2018 by the required due date of October 29, 2018;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 63(a) of the Act and By-Law 47(c) of the By-Laws by failing to file its annual report with the Commission for the aforementioned financial year end, within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of section 63(a) of the Act and By-Law 47(c) of the By-Laws by filing the aforementioned annual report past the due date of October 29, 2018;

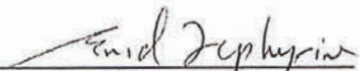
AND UPON the Board of Commissioners considering the submissions in Board Paper No. 009 of 2019 and being satisfied that no hearing is required in accordance with Section 159(10)(a) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

IT IS HEREBY ORDERED THAT:

1. National Insurance Property Development Company Limited (“the Respondent”) has contravened section 63(a) the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 47 (c) of the Securities (General) By-Laws, 2015 (“the By-Laws”);
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Two Thousand Trinidad and Tobago Dollars (TT\$2,000.00), within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



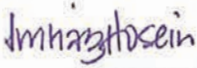
Douglas Mendes, S.C.
(Chairman)



Enid Zephyrine
(Deputy Chairman)



Elaine Green
(Commissioner)



Imtiaz Hosein
(Commissioner)



Patrick Solomon
(Commissioner)



Suzette Taylor-Lee Chee
(Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of Section 61(2) of the Securities Act Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago**

by

Public Transport Service Corporation

ORDER OF THE COMMISSION

Dated this ^{4th} 28 day of January, 2019

WHEREAS section 61(2) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file with the Commission, within the prescribed time frame, its revised registration statement;

AND WHEREAS Public Transport Service Corporation (“the Respondent”), filed its revised registration statement for the financial year ended September 30, 2017, with the Commission past the due date of October 16, 2017;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 61(2) of the Act by failing to file its revised registration statement for the financial year ended September 30, 2017, with the Commission within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of section 61(2) of the Act by filing the aforementioned revised registration statement with the Commission past the due date of October 16, 2017;

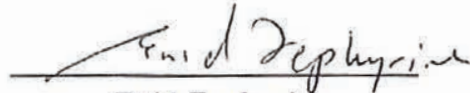
AND UPON the Board of Commissioners considering the submissions in Board Paper No. 001 of 2019 and being satisfied that no hearing is required in accordance with Section 159(10)(a) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

IT IS HEREBY ORDERED THAT:

1. Public Transport Service Corporation (“the Respondent”) has contravened 61(2) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”);
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Eleven Thousand Trinidad and Tobago dollars (TT\$11,000.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



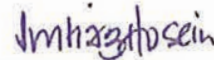
Douglas Mendes, S.C.
(Chairman)



Enid Zephyrine
(Deputy Chairman)



Elaine Green
(Commissioner)



Imtiaz Hosein
(Commissioner)



Patrick Solomon
(Commissioner)



Suzette Taylor-Lee Chee
(Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Contravention of Section 66(1) of the Securities Act, Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago.

by

RBC Royal Bank (Trinidad & Tobago) Limited

ORDER OF THE COMMISSION

Dated this ^{4th} 28 day of January, 2019

WHEREAS section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a Reporting Issuer to file its interim financial statements with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) within the prescribed timeframe;

AND WHEREAS RBC Royal Bank (Trinidad & Tobago) Limited (“the Respondent”), is registered with the Commission as a Reporting Issuer under section 61(1) of the Act, effective July 24, 1997.

AND WHEREAS the Respondent filed its interim financial statements for the interim period ending July 31, 2018, with the Commission past the due date of October 1, 2018;

AND WHEREAS by letter dated December 17, 2018 Staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 66(1) of the Act, by failing to file its interim financial statements for the interim period ending July 31, 2018 with the Commission, within the prescribed timeframe;

AND WHEREAS by letter dated December 19, 2018 the Respondent acknowledged that it was in contravention of section 66(1) of the Act.

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 077 of 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10) (a) of the Act.

IT IS HEREBY ORDERED THAT:

1. RBC Royal Bank (Trinidad & Tobago) Limited (“the Respondent”) has contravened Section 66(1) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Eighteen Thousand Trinidad and Tobago Dollars (TT\$18,000.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



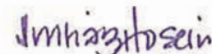
Douglas Mendes, S.C.
(Chairman)



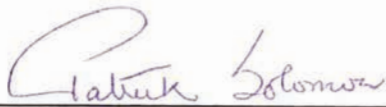
Enid Zephyrine
(Deputy Chairman)



Elaine Green
(Commissioner)



Imtiaz Hosein
(Commissioner)



Patrick Solomon
(Commissioner)



Suzette Taylor-Lee Chee
(Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of Section 66(1) of the Securities Act Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago**

by

The Sports Company of Trinidad and Tobago Limited

ORDER OF THE COMMISSION

Dated this ⁴⁰28 day of January, 2019

WHEREAS section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file interim financial statements with the Commission, within a prescribed timeframe;

AND WHEREAS The Sports Company of Trinidad and Tobago Limited (“the Respondent”), failed to file its interim financial statements for the interim period ended June 30, 2016, with the Commission, by the required due date of August 29, 2016;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 66(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) by failing to file its interim financial statements for the aforementioned interim period, with the Commission, within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of section 66(1) of the Act by filing the aforementioned interim financial statement past the due date of August 29, 2016;

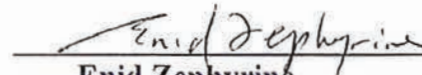
AND UPON the Board of Commissioners considering the submissions in Board Paper No. 007 of 2019 and being satisfied that no hearing is required in accordance with Section 159(10)(a) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

IT IS HEREBY ORDERED THAT:

1. The Sports Company of Trinidad and Tobago Limited (“the Respondent”) has contravened section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”);
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of One Thousand Trinidad and Tobago Dollars (TT\$1,000.00), within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



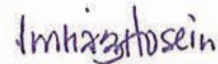
Douglas Mendes, S.C.
(Chairman)



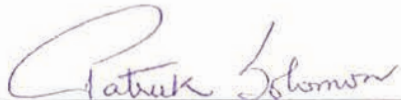
Enid Zephyrine
(Deputy Chairman)



Elaine Green
(Commissioner)



Imtiaz Hosein
(Commissioner)



Patrick Solomon
(Commissioner)



Suzette Taylor-Lee Chee
(Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 61(2) of the Securities Act Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago**

By

Trinidad and Tobago Housing Development Corporation

ORDER OF THE COMMISSION

Dated this ^{4th} 28 day of January, 2019

WHEREAS section 61(2) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (the "Act") requires a reporting issuer to file with the Commission its revised registration statement within a prescribed timeframe;

AND WHEREAS the Trinidad and Tobago Housing Development Corporation ("the Respondent"), failed to file its revised registration statement for the financial year ended September 30, 2018, with the Commission, by the required due date of October 15, 2018;

AND WHEREAS staff of the Commission ("the Staff") advised the Respondent that it was in contravention of section 61(2) of the Act by failing to file its revised registration statement for the aforementioned financial year end, with the Commission, within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of section 61(2) of the Act by filing the aforementioned revised registration statement past the due date of October 15, 2018;

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 010 of 2019 and being satisfied that no hearing is required in accordance with Section 159(10)(a) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

IT IS HEREBY ORDERED THAT:

1. Trinidad and Tobago Housing Development Corporation (“the Respondent”) has contravened section 61(2) the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Two Thousand Trinidad and Tobago Dollars (TT\$2,000.00), within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.




Douglas Mendes, S.C.
(Chairman)




Enid Zephyrine
(Deputy Chairman)



Elaine Green
(Commissioner)



Imtiaz Hosein
(Commissioner)



Patrick Solomon
(Commissioner)



Suzette Taylor-Lee Chee
(Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of Section 64(1)(a) of the Securities Act,
Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago**

by

Unilever Caribbean Limited

ORDER OF THE COMMISSION

Dated this ^{4th} 28 day of *January*, 2019

WHEREAS section 64(1)(a) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) imposes certain obligations on reporting issuers with respect to material changes;

AND WHEREAS Unilever Caribbean Limited (“the Respondent”), following the appointment of Ms. Nuria Hernandez-Crespo and Mr. Nicholas Gomez to its Board of Directors, and the retirement of Mr. Pablo Garrido and Mr. Seamus Clarke from the said Board of Directors, effective May 24, 2018, filed the requisite MCR with the Commission past the due date of May 29, 2018;

AND WHEREAS staff of the Commission advised the Respondent that it was in contravention of section 64(1)(a) of the Act by failing to file the aforementioned MCR’s within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of section 64(1)(a) of the Act by filing the aforementioned MCR’s past the required due date of May 29, 2018;

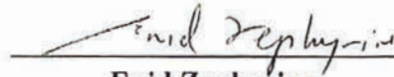
AND UPON the Board of Commissioners considering the submissions in Board Paper No. 005 of 2019 and being satisfied that no hearing is required in accordance with Section 159(10) (a) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

IT IS HEREBY ORDERED THAT:

1. Unilever Caribbean Limited (“the Respondent”) has contravened section 64(1)(a) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”);
2. Pursuant to section 156(2) of the Act, the Respondent has paid to the Commission, an administrative fine in the sum of Seven Thousand Trinidad and Tobago Dollars (TT\$7,000.00), receipt of which the Commission hereby acknowledges; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



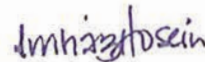
Douglas Mendes, S.C.
(Chairman)



Enid Zephyrine
(Deputy Chairman)



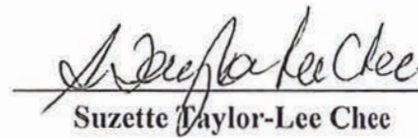
Elaine Green
(Commissioner)



Imtiaz Hosein
(Commissioner)



Patrick Solomon
(Commissioner)



Suzette Taylor-Lee Chee
(Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of Section 64(1)(a) of the Securities Act
Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago**

by

Unilever Caribbean Limited

ORDER OF THE COMMISSION

Dated this ^{4th} 28 day of *January*, 2019

WHEREAS section 64(1)(a), of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) imposes certain obligations on reporting issuers with respect to material changes;

AND WHEREAS Unilever Caribbean Limited (“the Respondent”), following the sale of its Spreads Business effective April 26, 2018, filed the requisite Material Change Report (“MCR”) with the Commission past the due date of May 1, 2018;

AND WHEREAS staff of the Commission advised the Respondent that it was in contravention of section 64(1)(a) of the Act by failing to file the aforementioned MCR within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of section 64(1)(a) of the Act by filing the aforementioned MCR’s past the required due date of May 1, 2018;

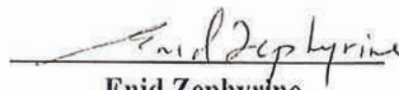
AND UPON the Board of Commissioners considering the submissions in Board Paper No. 006 of 2019 and being satisfied that no hearing is required in accordance with Section 159(10) (a) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

IT IS HEREBY ORDERED THAT:

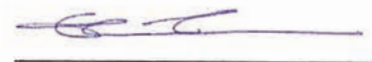
1. Unilever Caribbean Limited (“the Respondent”) has contravened section 64(1)(a) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”);
2. Pursuant to section 156(2) of the Act, the Respondent has paid to the Commission, an administrative fine in the sum of Three Thousand Trinidad and Tobago Dollars (TT\$3,000.00), receipt of which the Commission hereby acknowledges; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



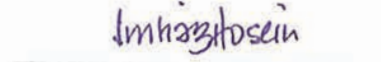
Douglas Mendes, S.C.
(Chairman)



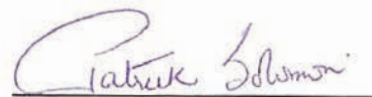
Enid Zephyrine
(Deputy Chairman)



Elaine Green
(Commissioner)



Imtiaz Hosein
(Commissioner)



Patrick Solomon
(Commissioner)



Suzette Taylor-Lee Chee
(Commissioner)

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LOSS OF PAN-AMERICAN LIFE INSURANCE OF
(TRINIDAD AND TOBAGO) POLICIES

PURSUANT to section 163 of the Insurance Act, 1980, this notice is hereby given that after one month of the publication of this notice, this Company intends to issue duplicate policies to replace the following policies, numbers stated below, which have been declared lost/destroyed:

Name	Policy Number
CARLA CHARLES	3511775
NIKISHA GOPEE	3424669
GENEIL CAESAR	3492792
LISA ANN MOHAMMED	3387277
JOSIAH VALDEZ	3515214
JEREMIAH HINDS	3515216
VIRGINIA JONES	4716568
RAHZIA SIEUCHAND	3357912
DONALD DE COTEAU	3332082
CLAUDETTE KING	3374574
KAMINI GADAR	3442808
INNOCIA CONNELL	3414532

PAN-AMERICAN LIFE INSURANCE
OF (TRINIDAD AND TOBAGO)

91–93, St. Vincent Street
Port-of-Spain.

873

PURSUANT to section 163 of the Insurance Act, 1980, this notice is hereby given that after one month of the publication of this notice, this Company intends to issue duplicate policies to replace the following policies, numbers stated below, which have been declared lost/destroyed:

Name	Policy Number
CHARLES HOGAN	3442178
MELVINA ALEXANDER	4726966
KIM JORDAN	3383874
GALE RYAN	3414909
ANTHONY PIERRE	3414622
KURT THOMAS	3438358
KURT THOMAS	3449238

PAN-AMERICAN LIFE INSURANCE
OF (TRINIDAD AND TOBAGO)

91–93, St. Vincent Street
Port-of-Spain.

874

PURSUANT to section 163 of the Insurance Act, 1980, this notice is hereby given that after one month of the publication of this notice, this Company intends to issue duplicate policies to replace the following policies, numbers stated below, which have been declared lost/destroyed:

Name	Policy Number
KHEMRAJ SEPAUL	3344975
KHEMRAJ SEPAUL	3353154
KIM JANKEE	3301713
ZULFICAR ALI	3397545
HENDERSON GEORGE	3458185
RAY GARCIA	3446907
HERBIE FRANCIS	3385331
MARK CUMBERBATCH	3499617
VINDRA KHEJU	3505350
DESLYN GEORGE	3396520
STACY THOMAS	3458484
LISA JONES-CUPID	3396189
SURENDRA RAMPERSAD	3394437
JEPHTHAH DAVIS	3437319
ANDY FELIX	3483658
PERRY GAJADHAR	3403456
ANTHONY COPELAND	3337634
COURTNEY PASCALL	3419700

PAN-AMERICAN LIFE INSURANCE
OF (TRINIDAD AND TOBAGO)

91–93, St. Vincent Street
Port-of-Spain.

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TRANSFER OF LICENCE
(Liquor Licences Act, Chap. 84:10)

VICTORIA WEST

NOTICE is hereby given that a notification in writing has this day been lodged with me the undersigned Secretary of the Licensing Committee of the Licensing District of Victoria West, San Fernando Area, by Chris Jagroop of Building 6, Apartment 16, Savannah Villas, Aranjuez Main Road, San Juan, that it is his intention to apply to the Licensing Committee at the San Fernando Magistrates' Court on THURSDAY THE 16TH DAY OF JULY, 2020, for a transfer to him of the Licence to carry on the business of a Special Restaurant now held by Clint Jagroop for premises situate at No. 5 Naparima-Mayaro Road, Cocoyea Village, San Fernando in the said District.

Dated this 25th day of June, 2020 at the San Fernando Magistrates' Court.

R. YOUNG
Secretary, Licensing Committee
San Fernando