

# TRINIDAD AND TOBAGO GAZETTE (EXTRAORDINARY)

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#### SUPPLEMENT TO THIS ISSUE

The documents detailed hereunder have been issued and are published as a Supplement to this issue of the *Trinidad and Tobago Gazette:*Legal Supplement Part B—

Traffic Control (Tunapuna) Order, 2020—(Legal Notice No. 257 of 2020).

Traffic Control (Experimental Scheme) (Evans Street, Curepe) Regulations, 2020—(Legal Notice No. 258 of 2020)

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#### APPOINTMENT OF JUSTICE OF THE PEACE

UNDER the provision of section 4(1) of the Summary Courts Act, Chap. 4:20, Her Excellency the President, on the advice of the Attorney General, has been pleased to appoint KATHY ANN ALEXANDER-FRASER, to be Justice of the Peace (ex-officio) for Industrial Court, during the period which she holds office.

Dated this 23rd day of June, 2020.

A. STEPHENS

for Permanent Secretary Ministry of the Attorney General and Legal Affairs

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### NOTICE OF THE LAYING IN PARLIAMENT OF STATUTORY INSTRUMENTS THAT ARE SUBJECT TO NEGATIVE RESOLUTION

NOTICE is hereby given that the Trade Marks Regulations, 2020 (Legal Notice No. 137 of 2020 published in the *Trinidad and Tobago Gazette* on the 23rd day of June, 2020) is subject to negative resolution of Parliament and was laid in the House of Representatives on the 24th day of June, 2020, and in the Senate on the 29th day of June, 2020.

J. SAMPSON-MEIGUEL

Clerk of the House

B. CAESAR Clerk of the Senate

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NOTICE is hereby given that the Trade Marks (Border Enforcement Measures) Regulations, 2020 (Legal Notice No. 138 of 2020 published in the *Trinidad and Tobago Gazette* on the 23rd day of June, 2020) is subject to negative resolution of Parliament and was laid in the House of Representatives on the 24th day of June, 2020, and in the Senate on the 29th day of June, 2020.

J. SAMPSON-MEIGUEL

Clerk of the House

B. CAESAR Clerk of the Senate



#### **EQUAL OPPORTUNITY TRIBUNAL**

#### AMENDMENT TO THE EQUAL OPPORTUNITY RULES 2016

The Equal Opportunity Rules 2016 is amended by inserting a Part 26, as follows -

#### PART 26

#### PRACTICE DIRECTIONS AND GUIDES

#### Contents of this Rule

Who may issue practice directions Rule 26.1

Scope of practice directions Rule 26.2

Publication of practice directions Rule 26.3

Date from which practice directions take effect Rule 26.4

Compliance with practice directions Rule 26.5

Practice guides Rule 26.6

#### Who may issue practice directions

26.1 Notwithstanding the generality of Rule 1.5 practice directions may be issued by the Judge/Chairman.

#### Scope of practice directions

- 26.2 (1) A practice direction may be issued in any case where provision for such a direction is made by these Rules.
  - (2) Where there is no express provision in these Rules for such a direction, the Judge/Chairman may give directions as to the practice and procedure to be followed in the conduct of litigation and before the Tribunal generally. For the avoidance of doubt the

#### AMENDMENT TO THE EQUAL OPPORTUNITY RULES-2016—CONTINUED

words "the conduct of litigation" refers to conduct before as well as after the commencement of a claim.

#### Publication of practice directions

- 26.3 Practice directions must be—
  - (a) published in the Trinidad and Tobago Gazette; and
  - (b) displayed and made available at each Tribunal office.

#### Date from which practice directions take effect

A practice direction takes effect from the date of publication in the Trinidad and Tobago Gazette unless the direction specifies some other date.

#### Compliance with practice directions

- 26.5 (1) A party must comply with any relevant practice directions unless there are good reasons for not doing so.
  - (2) If a party fails to comply with a practice direction, the Tribunal may make an order against him under Rule 1.4 (Tribunal's exercise of its Powers under Rules) or Part 20 (Costs).

#### Practice guides

- 26.6 (1) The Judge/Chairman may issue practice guides to assist parties in the conduct of litigation and for the avoidance of doubt the words "the conduct of litigation" refer to conduct before as well as after the commencement of a claim.
  - (2) Parties must have regard to any relevant practice guide.
  - (3) The Judge/Chairman may take into account the failure of any party to comply with any practice guide when deciding whether or not to make an order under Rule 1.4 (Tribunal's exercise of its Powers under Rules) or Part 20 (Costs).

HH Donna Prowell-Raphael CEOT. Judge

HH Lenore Harris LEOT Lay-Assessor

Approved by the President of the Republic of Trinidad and Tobago pursuant to section 44(8) of the *Equal Opportunity Act*, Chap 22:03 on the 26<sup>th</sup>. day of June 2020.

Her Excellency Paula Mae Weekes O.R.T.T.

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#### GOVERNMENT OF THE REPUBLIC OF TRINIDAD AND TOBAGO FREEDOM OF INFORMATION ACT (FOIA) 1999

# UPDATED PUBLIC STATEMENT OF THE REGULATED INDUSTRIES COMMISSION 2020

In compliance with sections 7, 8, and 9 of the Freedom of Information Act (FOIA) 1999

In accordance with Sections 7, 8 and 9 of the Freedom of Information Act ("FOIA") the Regulated Industries Commission ("the Commission") is required by law to publish the following statement, which lists the documents that are available to the public.

The FOIA gives members of the public:

- 1. A legal right for each person to access information held by the Commission;
- A legal right for each person to have official information relating to him/her amended where it is incomplete, incorrect or misleading;
- A legal right to obtain reasons for adverse decisions made regarding applicant's request for information under the FOIA;
- A legal right to complain to the Ombudsman and to apply to the High Court for Judicial Review to challenge adverse decisions made under the FOIA.

#### **Section 7 Statements**

Section 7 (1) (a) (i)

#### Function and structure of the Regulated Industries Commission

#### **Mission statement:**

The Mission Statement of the Regulated Industries Commission is to:

To ensure promotion of the highest quality of utility services at fair and reasonable rates while building a credible regulatory regime that responds adequately to stakeholders' concerns and also ensure fairness, transparency and equity in the provision of utility services throughout the country.

The RIC is a statutory body established by Act No. 26 of 1998 ("the RIC Act") to regulate and monitor the operations of the service providers named in the RIC Act. The RIC replaced the Public Utilities Commission ("PUC") whose jurisdiction was issued under the PUC Act Chap 34:01, which was repealed under the RIC Act. The service providers that presently fall under the purview of the RIC Act include:

The Water and Sewerage Authority ("WASA")

# UPDATED PUBLIC STATEMENT OF THE REGULATED INDUSTRIES COMMISSION-2020—Continued

- The Trinidad and Tobago Electricity Commission ("T&TEC")
- The Power Generation Company of Trinidad and Tobago ("PowerGen")
- Trinity Power Limited (formerly InnCogen Limited).

The Commission as duly constituted consists of a Chairman, Deputy Chairman and at least three (3) other members. The total composition of the Commission shall not consist of less than five (5) or more than seven (7) members, appointed by the President for a maximum of five (5) years in each case. The RIC Act also provides for an Executive Director.

The RIC Act makes provision for the establishment of Consumer Services Committees, members of which are appointed by the Minister and will consist of a Chairman, a representative nominated by the Tobago House of Assembly and three (3) other members who will serve as consumer advocates. Such committees will advise the Commission on matters related to quality of service delivered and ensure that complaints procedures of service providers produce speedy resolutions for consumers. They may also be required to contribute to deliberations of the Commission where rates and licence conditions come under review.

The role of the Commission under the RIC Act includes:

- Making Recommendations to the Minister on the award of licences.
- Monitoring and enforcing compliance with licence conditions and imposing penalties for non-compliance.
- Prescribing and monitoring standards of services.
- Establishing the principles on which tariffs will be based and conducting periodic reviews of rates.
- Conducting studies of efficiency and economy of operation and performance by service providers.
- Investigating consumer complaints and facilitating relief in respect of rates, billing and unsatisfactory service.
- Facilitating competition among service providers, where desirable.
- Collection of Licence fees.

The functions of the Commission are discharged by a complement of thirty – nine (39) members of staff of the Commission.

Staff serves as a support arm of the Commission by functioning in furtherance to the mandate of the Commission. See organizational structure of the Commission.

The office of the Commission is located on the 1st and 2nd Floors of the Commission's offices situate at #37 Wrightson Road, Port-of-Spain.

#### **Effect of Functions on Members of the Public**

Through the operations of the RIC, the public's interest is protected by the Commission ensuring:

 Maximum efficiency in the allocation and use of resources and that services are provided at the lowest cost

# UPDATED PUBLIC STATEMENT OF THE REGULATED INDUSTRIES COMMISSION-2020—CONTINUED

- Equal access by consumers to service, and the fair treatment of consumers and service providers who are similarly placed
- Non-discrimination in terms of pricing and quality of service, and
- Redress for customers in respect of rates, billings and unsatisfactory service.

#### Section 7 (1) (a) (ii)

#### Categories of Documents in the Possession of the Commission:

#### **Operational Records**

- The RIC Act Chapter 54:73 of the Laws of Trinidad and Tobago
- The Telecommunications Act No. 4 of 2001 (section 85 (12) amends the First and Second Schedules of the RIC Act)
- Reports
- Policies, decisions of the Commission includes Minutes/Agenda
- Establishment Records
- Personal files of employees of the Commission
- Customer Service Records
- Research Papers on various areas of the Service Providers
- Research papers generated by the service providers
- News releases, speeches originating in the Commission
- Legislation and Legal Instruments
- Books, Brochures, newspaper clippings
- Materials dealing with conferences and events hosted by the Commission.

#### **Administration Records**

- Strategic Plans
- Policies pertaining to the internal operations of the Commission
- Human Resource matters
- General office files required for internal administration
- Accounting files and reports.

#### Section 7 (1) (a) (iii)

Materials prepared for publication or inspection

# UPDATED PUBLIC STATEMENT OF THE REGULATED INDUSTRIES COMMISSION-2020—CONTINUED

The public may inspect and/or obtain copies of the following material between the hours of 9:00 a.m. to 12:00 a.m. and 2:00 p.m. and 3:30 p.m. on normal working days at:

Address: The Regulated Industries Commission

#37 Wrightson Road

Port-of-Spain.

**Tel. No.:** (868) 625-5384

Fax No.: (868) 624-2027

e-mail: ricoffice@ric.org.tt

Website: www.ric.org.tt/cms

The RIC Act

Reports

**Utility Standards** 

Social Action Plan: Initial Framework

Research Papers

**Publications** 

Business/Economic Journals

Regulatory Journals/Magazines

T&TEC Rate Review documents

Regulated Industries Commission Final Determination (Rates and Miscellaneous Charges): Regulation of Electricity Transmission and Distribution June 01, 2006 to May 31, 2011.

Video clippings of conferences and consultations.

#### Section 7 (1) (a) (iv)

#### Literature available by subscription

The Commission does not possess any literature available by subscription.

#### Section 7 (1) (a) (v)

Procedure to be followed when accessing a document from the Commission

# UPDATED PUBLIC STATEMENT OF THE REGULATED INDUSTRIES COMMISSION-2020—CONTINUED

#### **How to Request Information:**

#### General Procedure

Our policy is to answer all requests for information, both oral and written. However, in order to exercise your rights under the FOIA (for example the right to challenge a decision if your request for information is refused), you must make your request in writing. You must, therefore, complete the appropriate form (Request for Access to Official Documents) available at the Library at the Commission, for information that is not readily available to the public.

#### Addressing Requests

To facilitate prompt handling of your request, please address it to the Alternate Officer of the Regulated Industries Commission. (See Section 7 (1) (a) (vi)).

#### Details in the Request

Applicants should provide details that will allow for ready identification and location of the records that are being requested. If insufficient information is provided clarification will be sought from the applicant. If you are not sure how to write your request or what details to include, communicate with our Alternate Officer. (See Section 7 (1) (a) (vi)).

#### · Requests not handled under the FOIA

A request under the FOIA will not be processed to the extent that it asks for information which is readily available to the public, either from the Commission or from another public authority, e.g. brochures, pamphlets, reports etc.

#### Responding to your Request

#### Retrieving Documents

The Commission is required to furnish copies of documents only when they are in our possession or we can retrieve them from storage.

#### Furnishing Documents

An applicant is entitled to copies of information we have in our possession, custody or power. We are required to furnish only one copy of a document. If we cannot make a legible copy of a document to be released, we may not attempt to reconstruct it. Instead, we will furnish the best copy possible and note its quality in our reply.

Please note we are not compelled to do the following:

- (a) Create new documents. For example, we are not required to write a new program so that a computer will print information in the format you prefer.
- (b) Perform research for you.

# UPDATED PUBLIC STATEMENT OF THE REGULATED INDUSTRIES COMMISSION-2020—Continued

#### **Time Limits**

#### General

The FOIA sets a time limit of thirty (30) calendar days for determination of your request for access to documents. If we fail to meet this deadline, the FOIA gives you the right to proceed as though your request has been denied. We will try diligently to comply with the time limit. If it appears that processing your request may take longer than the statutory limit, we will acknowledge your request and advise you of its status. Since there is a possibility that requests may be incorrectly addressed or misdirected, you may wish to call or write to confirm that we have received the request and to ascertain its status.

#### Time Allowed

We will determine whether to grant your request for access to information as soon as practicable but no later than 30 days as required by Section 15 of the FOIA. If a decision is taken to grant access to the information requested, you will be permitted to inspect the documents and/or be provided with copies.

#### Section 7 (1) (a) (vi)

#### Officers in the Commission responsible for:

- The initial receipt of and action upon notices under Section 10,
- Requests for access to documents under Section 13 and
- Applications for correction of personal information under Section 36 of the FOIA, are:

#### The Designated Officer:

Ms. Nadia J.A. John

Job Title: Legal/Corporate Secretary

#37 Wrightson Road

Port-of-Spain

Phone: 627-7820 ext. 1325

Fax: 624-2027 Email: john@ric.org.tt

#### The Alternate Officer:

Ms. Driselle Ramjohn

Job Title: Corporate Communications Manager

#37 Wrightson Road

Port-of-Spain

Phone: 627-7820 ext. 1231

Fax: 624-2027

Email: ramjohnd@ric.org.tt

# UPDATED PUBLIC STATEMENT OF THE REGULATED INDUSTRIES COMMISSION-2020—CONTINUED

Section 7 (1) (a) (vii)

Advisory Boards, Councils, Committees, and other bodies (Where meetings/minutes are open to the public)

None.

Section 7 (1) (a) (viii)

#### Library/Reading Room Facilities

Information in the public domain may be assessed in our Library/Information Centre or through our website at <a href="https://www.ric.org.tt/cms">www.ric.org.tt/cms</a>

The Library/Information Centre at the Commission is located #37 Wrightson Road, Port-of-Spain, and is open between the hours of 9:00 a.m. to 12:00 noon and 2:00 p.m. and 3:30 p.m. on normal working days.

No eating, smoking or drinking is allowed in the Library/Information Centre.

# Policy of the Regulated Industries Commission for the provision of copies of documents which are readily available to the public

Members of the public may;

- i. Indicate the form in which the copies are to be made available e.g. soft or hard copies, and must take into consideration, the possibility that the copies may not be available in the form required.
- Be required to supply copying paper for hard copies in instances where requests require large amounts of paper. This is to be determined at the discretion of the Commission.

#### Section 8 Statements

Section 8 (1) (a) (i)

Documents containing interpretations or particulars of written laws or schemes administered by the Commission, not being particulars contained in another written law.

# UPDATED PUBLIC STATEMENT OF THE REGULATED INDUSTRIES COMMISSION-2020—CONTINUED

#### The following Legal Notices can be purchased at the Government Printery:

- Legal Notice No. 83 of 2001 The Regulated Industries Commission (Approval of Cess) Order 2001.
- Legal Notice No. 42 of 2002 The Regulated Industries Commission (Approval of Cess) Order 2002.
- 3. Legal Notice No. 104 of 2003 The Regulated Industries Commission (Approval of Cess) Order 2003.
- 4. Legal Notice No. 133 of 2004 The Regulated Industries Commission (Approval of Cess) Order 2004.
- 5. Legal Notice No. 64 of 2004 The Regulated Industries Commission Electricity (Transmission and Distribution) Order 2004
- Legal Notice No. 282 of 2005 Notice of the Trinidad and Tobago Electricity Commission's request for a Review of Tariffs
- Legal Notice 50 of 2005- The Regulated Industries Commission (Approval of Cess) Order 2005.
- 8. Legal Notice No. 178 of 2006 The Regulated Industries Commission (Approval of Cess) Order 2006
- 9. Legal Notice No. 1 of 2008 The Regulated Industries Commission (Approval of Cess) Order 2007
- Legal Notice No. 122 of 2008 The Regulated Industries Commission (Approval of Cess) Order 2008
- Legal Notice No. 22 of 2009 The Regulated Industries Commission (Approval of Cess) Order 2009
- 12. Legal Notice No. 153 of 2009 The Regulated Industries Commission Electricity (Transmission and Distribution) Order 2009
- 13. Legal Notice No. 17 of 2010 The Regulated Industries Commission (Approval of Cess) Order 2010
- Legal Notice No. 18 of 2011 The Regulated Industries Commission (Approval of Cess) Order 2011
- Legal Notice No. 29 of 2012 The Regulated Industries Commission (Approval of Cess) Order 2012
- Legal Notice No. 52 of 2013 The Regulated Industries Commission (Approval of Cess) Order 2013
- 17. Legal Notice No. 235 of 2014- The Regulated Industries Commission (Approval of Cess) Order 2014
- Legal Notice No. 46 of 2015 The Regulated Industries Commission (Approval of Cess) Order 2015
- Legal Notice No. 20 of 2017- The Regulated Industries Commission (Approval of Cess) Order 2017
- 20. Legal Notice No. 40 of 2018 The Regulated Industries Commission (Approval of Cess) Order 2018
- Legal Notice No. 41 of 2018 The Regulated Industries Commission (Approval of Cess) (No. 2) Order, 2018

# UPDATED PUBLIC STATEMENT OF THE REGULATED INDUSTRIES COMMISSION-2020—CONTINUED

#### Section 8 (1) (a) (ii)

Manuals of rules of procedure, statements of policy, records of decisions, letters of advice to persons outside the Commission, or similar documents containing rules, policies, guidelines, practices or procedures.

- 1. The Commission's Policies Manual
- 2. FOIA responses
- 3. Complaints Responses

#### Section 8 (1) (b)

Documents containing information on the procedures to be employed or the objectives to be pursued in the enforcement of the written laws or schemes.

- Legal Notice No. 64 of 2004 Regulated Industries Commission Electricity (Transmission and Distribution) Order 2004
- Legal Notice No. 282 of 2005- Notice of the Trinidad and Tobago Electricity Commission's request for a review of Tariffs
- 3. Legal Notice No. 153 of 2009 The Regulated Industries Commission Electricity (Transmission and Distribution) Order 2009
- 4. Legal Notice No. 125 of 2018 -The Regulated Industries Commission (Electricity Transmission and Distribution) Order 2018

#### Section 9 Statements

#### Section 9 (1) (e)

A report prepared for the Commission by a scientific or technical expert, whether employed within the Commission or not, including a report expressing the opinion of such an expert on scientific or technical matters.

- Water Quality testing from outside agency/agencies
- International Call Centres
- 2003 Report on T&TEC's Rio Claro Substation
- 2003-2004 Report on Pollution of the Caroni River affecting the Caroni Arena Water Treatment Plant.

#### Section 9 (1) (f)

A report prepared for the Commission, by a consultant who was paid for preparing the report

# UPDATED PUBLIC STATEMENT OF THE REGULATED INDUSTRIES COMMISSION-2020—CONTINUED

- 2003 Report on The Willingness To Pay for Changes in Water, Wastewater and Electricity Services in Trinidad and Tobago.
- Report of Rate of Return Review for TSTT 1999-2003.
- Information Technology Incidence Report 2005
- 2005 Draft Final Report Analysis of Investments Plans and Advisory on Asset Valuation Methodology in respect of the Trinidad and Tobago Electricity Commission
- 2008 Analysis of Investment Plans and Advisory on Asset Valuation Methodology for WASA
- IT Audit Final Report 28 October 2015
- RFP Evaluation Review Report May 12 2017
- IT Audit Post Implementation Assessment Report 29 October 2018

#### Section 9 (1) (h)

A report on the performance or efficiency of the Commission, whether the report is of a general nature or concerns a particular policy, programme or project administered by the public authority.

The following reports relate to the performance and efficiency of the RIC:

- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2000 June 01 to 2000 December 31.
- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2001 December 31.
- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2002 December 31.
- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2003 December 31. This report is dated August 20, 2005.
- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2004 December 31. This report is dated June 30 2009.
- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2005 December 31. This report is dated June 30 2009.
- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2006 December 31. This report is dated February 5 2010.
- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2007 December 31. This report is dated July 27 2010.
- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2008 December 31. This report is dated May 11 2011.
- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2009 December 31. This report is dated February 1 2012.
- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2010 December 31. This report is dated September 28 2012.
- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2011 December 31. This report is dated October 28 2013.
- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2012 December 31. This report is dated April 4 2014.
- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2013 December 31. This report is dated September 20, 2016.
- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2014 December 31. This report is dated March 20, 2019.
- Certificate and Report of the Auditor General on the Accounts of the RIC for the year ended 2015 December 31. This report is dated August 13, 2019.

# UPDATED PUBLIC STATEMENT OF THE REGULATED INDUSTRIES COMMISSION-2020—CONTINUED

### **Organizational Chart**

