

TRINIDAD AND TOBAGO GAZETTE (EXTRAORDINARY)

Vol. 58

Caroni, Trinidad, Monday 15th April, 2019—Price \$1.00

No. 41

THE FOLLOWING HAS BEEN ISSUED:

ACT No. 6 OF 2019—The Companies (Amendment) Act, 2019—(\$4.00).

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SUPPLEMENTS TO THIS ISSUE

The documents detailed hereunder have been issued and are published as Supplements to this issue of the *Trinidad and Tobago Gazette:*Legal Supplement Part A—

Act No. 6 of 2019—The Companies (Amendment) Act, 2019.

 $Legal\ Supplement\ Part\ B--$

Traffic Restriction (Temporary Provisions) (Siparia Ki Mai Celebrations) Order, 2019—(Legal Notice No. 75 of 2019).

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ASSENT TO ACT

THE UNDERMENTIONED ACT enacted by Her Excellency the President with the advice and consent of the Senate and House of Representatives has been assented to by Her Excellency and is published as a Supplement to this *Trinidad and Tobago Gazette*:

Number of Act Short Title of Act Date of Assent 6 of 2019 The Companies (Amendment) Act, 2019 4th April, 2019

J. SAMPSON-MEIGUEL , 2019. Clerk of the House

11th April, 2019.

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IN THE HIGH COURT OF JUSTICE OF TRINIDAD AND TOBAGO

NOTICE TO JURORS

PUBLIC NOTICE is hereby given that one of the Masters of the High Court of Justice will sit in Chambers at the Supreme Court at San Fernando on Wednesday 17th April, 2019 at 9.00 o'clock in the forenoon for the purpose of hearing applications by persons for exemption from serving as Jurors at the Session to be held at San Fernando on Wednesday 1st May, 2019.

All such applications should be made in writing.

Applications made after that date may not be entertained.

Dated this 7th day of March, 2019.

REPUBLIC OF TRINIDAD AND TOBAGO



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of the Contravention of Section 84(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago

by

KCL Capital Market Brokers Limited

ORDER OF THE COMMISSION

Dated this 12 day of December, 2018

WHEREAS section 84(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago ("the Act") require a market actor to file with the Commission, within the prescribed timeframe, a Form 19 Post Distribution Statement in relation to a limited offering;

AND WHEREAS KCL Capital Market Brokers Limited ("the Respondent") filed four (4) Form 19 Post Distribution Statements with the Commission, past the required due dates;

AND WHEREAS Staff of the Commission ("the Staff") informed the Respondent that it was in contravention of section 84(1) of the Act by failing to file the four (4) Form 19 Post Distribution Statements with the Commission, within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in Contravention of section 84(1) of the Act by filing four (4) Form 19 Post Distribution Statements with the Commission, past the required due dates;

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 071 of 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 (10) (a) of the Act.

602-Continued

IT IS HEREBY ORDERED THAT:

- KCL Capital Market Brokers Limited ("the Respondent") has contravened Section 84(1) of the Act;
- Pursuant to section 156(2) of the Act, the Respondent has paid to the Commission an administrative fine in the sum of Twenty-Four Thousand Trinidad and Tobago dollars (TT\$24,000.00), receipt of which the Commission will acknowledge; and
- This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

Douglas Mendes, S.C. (Chairman)

Enid Zephyrine / (Deputy Chairman)

Elaine Green (Commissioner)

Imtiaz Hosein (Commissioner)

Imhazztosein

Patrick Solomon (Commissioner) Suzette Taylor-Lee Chee (Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of the Contravention of Section 64(1) (c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago.

By

Fortress Caribbean High Interest Fund Limited

ORDER OF THE COMMISSION

Dated this 12 day of December, 2018

WHEREAS Section 64(1)(c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago ("the Act") requires a reporting Issuer to file a Copy of its published Notice of Material Change with the Commission within the prescribed timeframe;

AND WHEREAS Fortress Caribbean High Interest Fund Limited ("the Respondent"), filed a copy of its published Notice of Material Change following the resignation of Mr. Kenneth Emery from its Board of Directors with the Trinidad and Tobago Securities and Exchange Commission ("the Commission"), past the due date of October 10, 2018;

AND WHEREAS Staff of the Commission ("the Staff") advised the Respondent that it was in contravention of section 64(1)(c) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago ("the Act") by failing to file a copy of the notice published in the newspapers, with the Commission within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 64(1)(c) of the Act by filing the aforementioned copy of the notice published in the newspapers, past the due date of October 10, 2018;

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 075 of 2018 and being satisfied that no hearing is required in accordance with Section 159(10) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

IT IS HEREBY ORDERED THAT:

- Fortress Caribbean High Interest Fund Limited ("the Respondent") has contravened section 64(1)(c) of the Act;
- 2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Three Thousand Trinidad and Tobago Dollars (TT\$3,000.00) within twenty-eight (28) days of the date of this Order; and
- The Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's
 website. A notice of the posting shall be published in two (2) daily newspapers of general
 circulation in Trinidad and Tobago.

Douglas Mendes, S.C. (Chairman)

Elaine Green (Commissioner)

Patrick Solomon (Commissioner) Enid Zephyrine (Deputy Chairman)

Imhazifosein (Commissioner)

Suzette Taylor-Lee Chee (Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of Section 45(1) of the Securities Act, Chap 83:02 of the Laws of the Republic of Trinidad and Tobago

And

In the Matter of an Application by the Trinidad and Tobago Stock Exchange Limited to De-List the Government of the Republic of Trinidad and Tobago's TT\$250 Million, 6.25% Fixed Rate Bond due September 5, 2018

ORDER OF THE COMMISSION

Dated this 12 day of Decomber, 2018

WHEREAS the Government of the Republic of Trinidad and Tobago, being exempted from registering as a reporting issuer with the Trinidad and Tobago Securities and Exchange Commission (hereinafter referred to as "the Commission") under Section 61(3) of the Securities Act Chap 83:02 of the Laws of Trinidad and Tobago(hereinafter referred to as "the Act"), issued a Two Hundred and Fifty Million Trinidad and Tobago Dollar (TT\$250M) Fifteen (15) year, 6.25% Fixed Rate Bond on September 5, 2003 (hereinafter referred to as "the GORTT Bond");

AND WHEREAS the GORTT Bond matured on September 5, 2018 thereby rendering it untradeable on the Trinidad and Tobago Stock Exchange (hereinafter referred to as "the TTSE");

AND WHEREAS the TTSE submitted a Statement of Substance and Purpose dated October 26, 2018 and received by the Commission on October 30, 2018, together with the prescribed fee, to approve the de-listing of the GORTT Bond pursuant to Section 45(1) of the Act;

AND WHEREAS the TTSE has confirmed there have been no breaches of the rules of the TTSE, or its Listing Agreement;

AND WHEREAS by way of correspondence dated October 25, 2018, the RBC Merchant Bank (Caribbean) Limited has confirmed that all principal and final interest payments were made to bond holders; and

AND WHEREAS in accordance with the delegated authority granted by the Board of Commissioners to the Chief Executive Officer pursuant to the Consolidated Delegation of Powers

Order dated July 27, 2016, the Chief Executive Officer approved the de-listing of the GORTT Bond effective November 19, 2018.

AND UPON the Board of Commissioners being satisfied that the conditions set out in Section 45(2) of the Act have not been breached;

AND UPON the Board of Commissioners considering that the Chief Executive Officer acted in accordance with the delegated authority conferred on him in approving the de-listing of the GORTT Bond from the TTSE;

AND UPON the Board of Commissioners determining that in accordance with Section 159(10)(a) of the Act that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(1) of the Act.

IT IS HEREBY ORDERED THAT:

- 1. The Trinidad and Tobago Stock Exchange Limited is authorized to de-list the Government of the Republic of Trinidad and Tobago Two Hundred and Fifty Million Trinidad and Tobago Dollar (TT\$250M), 6.25% Fixed Rate Bond due September 5, 2018 from its Official List pursuant to Section 45(1) of the Securities Act, 2012;
- 2. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. Notification of the posting shall be published in two daily newspapers in general circulation in Trinidad and Tobago; and
- This Order shall take effect from November 19, 2018.

Douglas Mendes, S.C. Chairman

> Elaine Green Commissioner

Patrick Solomon Commissioner

Deputy Chairman

Imtiaz Hosein Commissioner

Imhaztosein

Suzette Taylor-Lee Chee

Commissioner



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of the Contravention of Section 62(9)(a)(i) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago

by

KCL Capital Market Brokers Limited

ORDER OF THE COMMISSION-

Dated this 12 day of December, 2018

WHEREAS section 62(9)(a)(i) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago ("the Act") require a market actor to file with the Commission, within the prescribed timeframe, a Form 20 Notification of Limited Offering in relation to a limited offering;

AND WHEREAS KCL Capital Market Brokers Limited ("the Respondent") filed six (6) Form 20 Notification of Limited Offering with the Commission, past the required due dates;

AND WHEREAS Staff of the Commission ("the Staff") informed the Respondent that it was in contravention of section 62(9)(a)(i) of the Act by failing to file the six (6) Form 20 Notification of Limited Offering with the Commission, within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in Contravention of section 62(9)(a)(i) of the Act by filing six (6) Form 20 Notification of Limited Offering with the Commission, past the required due dates;

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 072 of 2018 and being satisfied that the making of this order is essentially procedural and does not require a Hearing pursuant to Section 159 (10) (a) of the Act.

IT IS HEREBY ORDERED THAT:

- KCL Capital Market Brokers Limited ("the Respondent") has contravened Section 62(9)(a)(i)
 of the Act;
- 2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Forty-Nine Thousand Trinidad and Tobago dollars (TT\$49,000.00), within twenty-eight (28) days of the making of this Order; and
- This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

Douglas Mendes, S.C. (Chairman)

Elaine Green (Commissioner)

Patrick Solomon (Commissioner) Enid Zephyrine (Deputy Chairman)

Imtiaz Hosein (Commissioner)

Mhaztosein

Suzette/Taylor-Lee Chee (Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of the Contravention of Section 64(1)(c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago By

Fortress OAM Overseas Fund Limited

ORDER OF THE COMMISSION

Dated this 12 day of December 2018

WHEREAS Section 64(1)(c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago ("the Act") requires a reporting Issuer to file a Copy of its published Notice of Material Change with the Commission within the prescribed timeframe;

AND WHEREAS Fortress OAM Overseas Fund Limited ("the Respondent"), filed a copy of its published Notice of Material Change following the resignation of Mr. Kenneth Emery from its Board of Directors with the Trinidad and Tobago Securities and Exchange Commission ("the Commission"), past the due date of October 10, 2018;

AND WHEREAS Staff of the Commission ("the Staff") advised the Respondent that it was in contravention of section 64(1)(c) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago ("the Act") by failing to file a copy of the notice published in the newspapers, with the Commission within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 64(1)(c) of the Act by filing the aforementioned copy of the notice published in the newspapers, past the due date of October 10, 2018;

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 074 of 2018 and being satisfied that no hearing is required in accordance with Section 159(10) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

IT IS HEREBY ORDERED THAT:

- Fortress OAM Overseas Fund Limited ("the Respondent") has contravened section 64(1)(c) of the Act;
- Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Three Thousand Trinidad and Tobago Dollars (TT\$3,000.00) within twenty-eight (28) days of the date of this Order; and
- The Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's
 website. A notice of the posting shall be published in two (2) daily newspapers of general circulation
 in Trinidad and Tobago.

Douglas Mendes, S.C. (Chairman)

Elaine Green (Commissioner)

Patrick Solomon (Commissioner) Enid Zephyrine (Depity Chairman)

Imtiaz Hosein (Commissioner)

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Suzette Taylor-Lee Chee (Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of the Contravention of Section 64(1)(c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago

By

Fortress Mutual Fund Limited

ORDER OF THE COMMISSION

Dated this 12 day of December, 2018

WHEREAS Section 64(1)(c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago ("the Act") requires a reporting Issuer to file a Copy of its published Notice of Material Change with the Commission within the prescribed timeframe;

AND WHEREAS Fortress Mutual Fund Limited ("the Respondent"), filed a copy of its published Notice of Material Change following the resignation of Mr. Kenneth Emery from its Board of Directors with the Trinidad and Tobago Securities and Exchange Commission ("the Commission"), past the due date of October 10, 2018;

AND WHEREAS Staff of the Commission ("the Staff") advised the Respondent that it was in contravention of section 64(1)(c) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago ("the Act") by failing to file a copy of the notice published in the newspapers, with the Commission within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 64(1)(c) of the Act by filing the aforementioned copy of the notice published in the newspapers, past the due date of October 10, 2018;

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 073 of 2018 and being satisfied that no hearing is required in accordance with Section 159(10) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

IT IS HEREBY ORDERED THAT:

- 1. Fortress Mutual Fund Limited ("the Respondent") has contravened section 64(1)(c) of the Act;
- 2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Three Thousand Trinidad and Tobago Dollars (TT\$3,000.00) within twenty-eight (28) days of the date of this Order; and
- 3. The Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two (2) daily newspapers of general circulation in Trinidad and Tobago.

Douglas Mendes, S.C. (Chairman)

Elaine Green (Commissioner)

> Patrick Solomon (Commissioner)

Enid Zephyrine
(Deputy Chairman)

Imtiaz Hosein (Commissioner)

Suzette Taylor-Lee Chee (Commissioner)

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SPECIAL LICENSING SESSIONS (Liquor Licences Act, Chap. 84:10)

CHAGUANAS

NOTICE is hereby given that by lawful authority under the provisions of the Liquor Licences Act, Chap. 84:10, the Liquor Licensing Committee for the Licensing District of the County of Caroni, Chaguanas Area, has appointed FRIDAY THE 26TH DAY OF APRIL, 2019 at 1.00 o'clock in the afternoon at the Chaguanas Magistrate's Court as the day, hour and place at which a Special Session will be held to hear and determine the application of Josephine Cruickshank of Church Street and Southern Main Road, Chase Village, for a Certificate authorizing him/her to carry on the business of a Special Restaurant in respect of premises situate at Church Street, Southern Main Road, Chase Village.

Dated this 20th day of March, 2019 at the Chaguanas Magistrate's Court.

L. BAPTISTE
Secretary, Licensing Committee
Caroni

609

San Fernando

Notice is hereby given that by lawful authority under the provisions of section 18(3) of the Liquor Licences Act, Chap. 84:10, the Licensing Committee for the Licensing District of Victoria West, San Fernando Area, has appointed Friday the 3rd day of May, 2019 at the hour of 1.00 o'clock in the afternoon at the San Fernando Magistrates' Court as the day, hour and place at which a Special Session will be held to consider the application of Paula Atherly of No. 780 Sandstone Drive, Union Hall, Cross Crossing, San Fernando, for a Certificate authorising her to obtain a Special Restaurant Licence in respect of premises situate at No. 34 Sutton Street, San Fernando.

Dated this 9th day of April, 2019 at the San Fernando Magistrates' Court.

N. PUNDIT Chairman, Licensing Committee Victoria West

SPECIAL LICENSING SESSIONS—CONTINUED (Liquor Licences Act, Chap. 84:10)

SANGRE GRANDE

NOTICE is hereby given that by lawful authority under the provisions of the Liquor Licences Act, Chap. 84:10, the Licensing Committee for the Licensing District of North-Eastern Counties, (St. Andrew/St. David), has appointed FRIDAY THE 26TH DAY OF APRIL, 2019 at 1.00 o'clock in the afternoon at the Sangre Grande Magistrate's Court as the day, hour and place at which a Special Session will be held to hear and determine the application of Sean Bissoon of Roopsingh Street, Sangre Grande, for a Certificate authorizing him to carry on the trade of a Spirit Retailer in respect of premises situate at Roopsingh Street, Sangre Grande.

Dated this 27th day of March, 2019 at the Sangre Grande Magistrate's Court.

V. RAMSARAN Secretary, Licensing Committee North-Eastern Counties

611

Notice is hereby given that by lawful authority under the provisions of the Liquor Licences Act, Chap. 84:10, the Licensing Committee for the Licensing District of North-Eastern Counties, (St. Andrew/St. David), has appointed FRIDAY THE 26TH DAY OF APRIL, 2019 at 1.00 o'clock in the afternoon at the Sangre Grande Magistrate's Court as the day, hour and place at which a Special Session will be held to hear and determine the application of Mervyn Narine of Light Pole Nos. 35–37, No. 137 North Oropouche Road, Sangre Grande, for a Certificate authorizing him to carry on the trade of a Spirit Retailer in respect of premises situate at Light Pole Nos. 35–37, No. 137 North Oropouche, Sangre Grande.

Dated this 2nd day of April, 2019 at the Sangre Grande Magistrate's Court.

V. RAMSARAN Secretary, Licensing Committee North-Eastern Counties

612

RIO CLARO

NOTICE is hereby given that by lawful authority under the provisions of the Liquor Licences Act, Chap. 84:10, the Licensing Committee for the Licensing District of the South-Eastern Counties, Rio Claro Area, has appointed Thursday the 18th day of April, 2019 at 9.00 o'clock in the forenoon at the Rio Claro Magistrate's Court as the day, hour and place at which a Special Session will be held to hear and determine the application of Narish Sewlal of 16½ Mile Mark, Fitts Road Junction, Biche, for a Certificate authorizing him to carry on the trade of a Spirit Retailer in respect of premises situate at 16½ Mile Mark, Fitts Road Junction, Biche.

Dated this 27th day of March, 2019 at the Rio Claro Magistrate's Court.

V. HARRYBHAJAN

Secretary, Licensing Committee South-Eastern Counties 613

TRANSFER OF LICENCES (Liquor Licences Act, Chap. 84:10)

CARONI

NOTICE is hereby given that a notification in writing has this day been lodged with me the undersigned Chairperson of the Licensing Committee for the Licensing District of the County of Caroni, Couva Area, by Anjinee Samantha Ali of No. 36 Cacandee Road, Felicity, Chaguanas, that it is her intention to apply to the Licensing Committee at the Couva Magistrate's Court on Friday the 26th day of April, 2019 at 1.00 o'clock in the afternoon for a transfer to her of the Special RestaurantLicence now held by Savatree Boodoo, in respect of premises situate at 177, Southern Main Road, Mc Bean Village, Couva, in the said district.

Dated this 4th day of April, 2019 at the Couva Magistrate's Court.

C. HOSEIN Chairperson, Licensing Committee Couva

614

Notice is hereby given that a notification in writing has this day been lodged with me the undersigned Chairperson of the Licensing Committee for the Licensing District of the County of Caroni, Couva Area, by Kaloutie Maharaj of 10, Richard Avenue, Lakhan Street Extension, Peterfield, Chaguanas, that it is her intention to apply to the Licensing Committee at the Couva Magistrate's Court on Friday the 26th day of April, 2019 at 1.00 o'clock in the afternoon for a transfer to her of the Spirit Retailer's Licence now held by Toolsiram Chatoo, in respect of premises situate at Light Pole No. 600, Southern Main Road, St. Mary's, Carapichaima, in the said district.

Dated this 5th day of April, 2019 at the Couva Magistrate's Court.

 $\begin{array}{c} \text{C. HOSEIN} \\ \textit{Chairperson, Licensing Committee} \\ \textit{Couva} \end{array}$

615

VICTORIA WEST

Notice is hereby given that a notification in writing has this day been lodged with me the undersigned Secretary of the Licensing Committee for the Licensing District of Victoria West, San Fernando Area, by Rae Alaina Joseph of Light Pole No. 65, Mount Pleasant Local Road, Bethany, Tobago, that it is her intention to apply to the Licensing Committee at the San Fernando Magistrates' Court on Thursday the 26th day of April, 2019, for a transfer to her of the Licence to carry on the business of a Special Restaurant now held by Antonio Piccolo for premises situate at Light Pole No. 6 & 7, San Fernando-Siparia-Erin Road, Duncan Village, Debe, in the said district.

Dated this 28th day of March, 2019 at the San Fernando Magistrates' Court.

S. SOOGRIM-RAMSARAN Secretary, Licensing Committee San Fernando