



TRINIDAD AND TOBAGO GAZETTE (EXTRAORDINARY)

VOL. 58

Caroni, Trinidad, Monday 4th February, 2019—Price \$1.00

No. 14

THE FOLLOWING HAS BEEN ISSUED:

BILL entitled "An Act to amend the Sexual Offences Act, Chap. 11:28"—(\$8.00).

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SUPPLEMENT TO THIS ISSUE

THE DOCUMENT detailed hereunder has been issued and is published as a Supplement to this issue of the *Trinidad and Tobago Gazette: Legal Supplement Part C*—

Bill entitled "An Act to amend the Sexual Offences Act, Chap. 11:28".

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PUBLICATION OF BILL

NOTICE is hereby given that the following Bill is published as a Supplement to this issue of the *Trinidad and Tobago Gazette* for public information:

✓ The Sexual Offences (Amendment) Bill, 2019.

Copies of the Bill may be purchased from the Government Printery Sales Section, 55–57, Eteck Park, Frederick Settlement, Caroni.

4th February, 2019.

B. CAESAR
Clerk of the Senate

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APPOINTMENT AS A MEMBER OF THE PUBLIC SERVICE COMMISSION

IT IS HEREBY NOTIFIED for general information that Her Excellency the President, in exercise of the power vested in her by subsection (2) of section 120 of the Constitution of the Republic of Trinidad and Tobago, after consultation with the Prime Minister and the Leader of the Opposition, has appointed MR. MARTIN GORDON FRANKLIN as a member of the Public Service Commission for a period of three (3) years, with effect from 21st January, 2019.

21st January, 2019.

C. JACKMAN-WALDRON
*Secretary to Her Excellency
the President*

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REVOCATION OF AN ACTING APPOINTMENT AS AUDITOR GENERAL

IT IS HEREBY NOTIFIED for general information that in accordance with the provisions of subsection (2) of section 117 of the Constitution of the Republic of Trinidad and Tobago, Her Excellency the President, has revoked with effect from 14th January, 2019, the appointment of LORELLY PUJADAS, Deputy Auditor General, to act as Auditor General.

14th January, 2019.

C. JACKMAN-WALDRON
*Secretary to Her Excellency
the President*

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REPUBLIC OF TRINIDAD AND TOBAGO

IN THE HIGH COURT OF JUSTICE

Claim No. CV 2010-02764

Between

IN THE MATTER OF

LA FORTRESSE COMPANY LIMITED

And

IN THE MATTER OF

THE COMPANIES ACT, CHAP. 81:01

ADVERTISEMENT OF PETITION

NOTICE IS HEREBY GIVEN that a petition for the winding up of the above named Company by/subject to the supervision of the Court, was, on the 30th day of November, 2018 presented to the said Court by Vishnu Chatlani and Preeti Chatlani of No. 39 Schooner Court, Westmoorings.

And that the said petition is directed to be heard before the Court sitting at 9.30 a.m. in POS 22, Hall of Justice, Supreme Court of Justice, Knox Street, Port of Spain, on Thursday the 14th day of February, 2019 before the Honourable Madam Justice Dean Armorer and any creditor or contributory of the said Company desirous to support or oppose the making of an order on the said petition may appear at the time of hearing in person by his Counsel for that purpose; and a copy of the petition will be furnished to any creditor or contributory of the said Company requiring the same by the undersigned on payment of the regulated charge for the same.

J. J. TROJA

*Attorney-at-law for the Petitioners**No. 36 Edward Street**Port-of-Spain*

Note: Any person who intends to appear on the hearing of the said petition must serve on or send by post to the above named, notice in writing of his intention so to do. The notice must state the name and address of the person, or, if a firm, the name and address of the firm, and must be signed by the person or firm, or his or their Attorney-at-law (if any), and must be served, or if posted, must be sent by post in sufficient time to reach the above named not later than 6 o' clock in the afternoon of Wednesday the 13th day of February, 2019.

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REPUBLIC OF TRINIDAD AND TOBAGO

IN THE HIGH COURT OF JUSTICE

Claim No. CV 2010-02764

Between

IN THE MATTER OF

DTL PROPERTY DEVELOPERS COMPANY LIMITED

And

IN THE MATTER OF

THE COMPANIES ACT, CHAP. 81:01

ADVERTISEMENT OF PETITION

NOTICE IS HEREBY GIVEN that a petition for the winding up of the above named Company by/subject to the supervision of the Court, was, on the 3rd day of December, 2018 presented to the said Court by Vishnu Chatlani and Preeti Chatlani of No. 39 Schooner Court, Westmoorings.

And that the said petition is directed to be heard before the Court sitting at 9.30 a.m. in POS 22, Hall of Justice, Supreme Court of Justice, Knox Street, Port-of-Spain, on Thursday the 14th day of February, 2019 before the Honourable Madam Justice Dean Armorer and any creditor or contributory of the said Company desirous to support or oppose the making of an order on the said petition may appear at the time of hearing in person by his Counsel for that purpose; and a copy of the petition will be furnished to any creditor or contributory of the said Company requiring the same by the undersigned on payment of the regulated charge for the same.

J. J. TROJA

*Attorney-at-law for the Petitioners**No. 36 Edward Street**Port-of-Spain*

Note: Any person who intends to appear on the hearing of the said petition must serve on or send by post to the above named, notice in writing of his intention so to do. The notice must state the name and address of the person, or, if a firm, the name and address of the firm, and must be signed by the person or firm, or his or their Attorney-at-law (if any), and must be served, or if posted, must be sent by post in sufficient time to reach the above named not later than 6 o' clock in the afternoon of Wednesday the 13th day of February, 2019.

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Government of the Republic of Trinidad and Tobago
MINISTRY OF THE ATTORNEY GENERAL AND LEGAL AFFAIRS

THE REPUBLIC OF TRINIDAD AND TOBAGO

IN THE HIGH COURT OF JUSTICE

Claim No. CV2019-00342

IN THE MATTER OF THE ECONOMIC SANCTIONS ACT, CHAPTER 81:05

AND

IN THE MATTER OF THE ECONOMIC SANCTIONS
(IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE
DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

AND

IN THE MATTER OF AN APPLICATION BY THE ATTORNEY
GENERAL OF TRINIDAD AND TOBAGO FOR A PROHIBITORY
ORDER UNDER CLAUSE 4 OF THE ECONOMIC SANCTIONS
(IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE
DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

BETWEEN

THE ATTORNEY GENERAL OF TRINIDAD AND TOBAGO

Claimant

AND

1. KO also known as TAE HUN also known as Kim Myong Gi;
2. RI also known as MAN GON;
3. RYU also known as JIN;
4. YU also known as CHOL U;
5. PAK also known as CHUN also known as IL;
6. KIM also known as SONG CHOL also known as Kim Hak Song;
7. SON also known as JONG HYOK also known as Son Min;
8. KIM also known as SE GON;
9. RI also known as WON HO;
10. JO also known as YONG CHOL also known as Cho Yong Chol;
11. KIM also known as CHOL SAM;
12. KIM also known as SOK CHOL;
13. CHANG also known as CHANG HA also known as Jang Chang Ha;
14. CHO also known as CHUN RYONG also known as Jo Chun Ryong;
15. SON also known as MUN SAN;
16. CHO also known as IL U also known as Cho Il Woo;
17. CHO also known as YON CHUN also known as Jo Yon Jun;
18. PAEK also known as SE BONG;
19. PAK also known as TO CHUN also known as Pak Do Chun;
20. RI also known as JAE IL also known as Ri Chae-Il;
21. RI also known as SU YONG;
22. RI also known as YONG MU;
23. CHOE also known as CHUN YONG also known as Ch'oe Ch'un-yo'ng.

Defendants

ORDER

Before the Honourable Mr. Justice Kevin Ramcharan
Dated the 25th day of January 2019

UPON READING the Fixed Date Claim Form filed on January 25, 2019 and the affidavit of [redacted] sworn and filed on January 25, 2019 together with the exhibits attached thereto;

AND UPON this Application being determined without a hearing;

IT IS ORDERED THAT personal service of the Order on the Defendants be dispensed with.

IT IS HEREBY DECLARED that in accordance with Clause 2 of the Economic Sanctions (Implementation of United Nations Resolutions on The Democratic People's Republic of Korea) Order, 2018 ("the DPRK Order"), each of the Defendants, who is listed on the United Nations Security Council Sanctions List established and maintained pursuant to United Nations Security Council Resolution 1718 (2006) Concerning the Democratic People's Republic of Korea dated January 24, 2019,

i. at page 4, 6th paragraph under the rubric KPI. 025 Name: KO also known as TAE HUN also known as Kim Myong Gi, more particularly described therein as Title: na Designation: Tanchon Commercial Bank Representative DOB: 25 May 1972 POB: na Good quality a.k.a.: Kim Myong Gi Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 563120630 (Expires 20 Mar. 2018) National identification no: na Address: na Listed on: 2 Mar. 2016. This Defendant was first listed by the United Nations Security Council on March 2, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

ii. at page 4, 7th paragraph under the rubric KPI. 026 Name: RI also known as MAN GON, more particularly described therein as Title: na Designation: Minister of the Munitions Industry Department DOB: 29 Oct. 1945 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: P0381230469 (Expires 6 Apr. 2016) National identification no: na Address: na Listed on: 2 Mar. 2016. This Defendant was first listed by the United Nations Security Council on March 2, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

iii. at page 4, 8th paragraph under the rubric KPI. 027 Name: RYU also known as JIN, more particularly described therein as Title: na Designation: KOMID Representative in Syria DOB: 7 Aug. 1965 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 563410081 National identification no: na Address: na

Listed on: 2 Mar. 2016. This Defendant was first listed by the United Nations Security Council on March 2, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

iv. at page 4, 9th paragraph under the rubric KPI. 028 Name: YU also known as CHOL U, more particularly described therein as Title: na Designation: Director of the National Aerospace Development Administration DOB: na POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 2 Mar. 2016. This Defendant was first listed by the United Nations Security Council on March 2, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

v. at page 4, 10th paragraph under the rubric KPI. 029 Name: PAK also known as CHUN also known as IL, more particularly described therein as Title: na Designation: Served as DPRK Ambassador to Egypt DOB: 28 Jul. 1954 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 563410091 National identification no: na Address: na Listed on: 30 Nov. 2016 Other information: Pak Chun Il has served as the DPRK Ambassador to Egypt and provides support to KOMID. This Defendant was first listed by the United Nations Security Council on November 30, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

vi. at page 5, 1st paragraph under the rubric KPI. 030 Name: KIM also known as SONG CHOL also known as Kim Hak Song, more particularly described therein as Title: na Designation: a) 26 Mar. 1968 b) 15 Oct. 1970 POB: na Good quality a.k.a.: Kim Hak Song Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: a) 381420565 b) 654120219 National identification no: na Address: na Listed on: 30 Nov. 2016 Other information: Kim Song Chol is a KOMID official that has conducted business in Sudan on behalf of KOMID's interests. This Defendant was first listed by the United Nations Security Council on November 30, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

vii. at page 5, 2nd paragraph under the rubric KPI. 031 Name: SON also known as JONG HYOK also known as Son Min, more particularly described therein as Title: na Designation: na DOB: 20 May 1980 POB: na Good quality a.k.a.: Son Min Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 30 Nov. 2016 Other information: Son Jong Hyok is a KOMID official that has conducted business in Sudan on behalf of KOMID's interests. This Defendant was first listed by the United Nations Security Council on November 30, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

viii. at page 5, 3rd paragraph under the rubric KPI. 032 Name: KIM also known as SE GON, more particularly described therein as Title: na Designation: na DOB: 13 Nov. 1969 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: PD472310104 National identification no: na Address: na Listed on: 30 Nov. 2016 Other information: Kim Se Gon works on behalf of the Ministry of Atomic Energy Industry. This Defendant was first listed by the United Nations Security Council on November 30, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

ix. at page 5, 4th paragraph under the rubric KPI. 033 Name: RI also known as WON HO, more particularly described therein as Title: na Designation: DPRK Ministry of State Security Official DOB: 17 Jul. 1964 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 381310014 National identification no: na Address: na Listed on: 30 Nov. 2016 Other information: Ri Won Ho is a DPRK Ministry of State Security official stationed in Syria supporting KOMID. This Defendant was first listed by the United Nations Security Council on November 30, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

x. at page 5, 5th paragraph under the rubric KPI. 034 Name: JO also known as YONG CHOL also known as Cho Yong Chol, more particularly described therein as Title: na Designation: DPRK Ministry of State Security Official DOB: 30 Sep. 1973 POB: na Good quality a.k.a.: Cho Yong Chol Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 30 Nov. 2016 Other information: Jo Yong Chol is a DPRK Ministry of State Security Official stationed in Syria supporting KOMID. This Defendant was first listed by the United Nations Security Council on November 30, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

xi. at page 5, 6th paragraph under the rubric KPI. 035 Name: KIM also known as CHOL SAM, more particularly described therein as Title: na Designation: Representative for Daedong Credit Bank (DCB) DOB: 11 Mar. 1971 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 30 Nov. 2016 Other information: Kim Chol Sam is a representative for Daedong Credit Bank (DCB) who has been involved in managing transactions on behalf of DCB Finance Limited. As an overseas-based representative of DCB, it is suspected that Kim Chol Sam has facilitated transactions worth hundreds of thousands of dollars and likely managed millions of dollars in DPRK related accounts with potential links to nuclear/missile programs. This Defendant was first listed by the United Nations Security Council on November 30, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

xii. at page 5, 7th paragraph under the rubric KPI. 036 Name: KIM also known as SOK CHOL, more particularly described therein as Title: na Designation: a) Acted as the DPRK Ambassador to Burma b) KOMID facilitator DOB: 8 May 1955 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no:

472310082 National identification no: na Address: na Listed on: 30 Nov. 2016 Other information: Kim Sok Chol acted as the DPRK Ambassador to Burma and he operates as a KOMID facilitator. He was paid by KOMID for his assistance and arranges meetings on behalf of KOMID, including a meeting between KOMID and Burmese defense related persons to discuss financial matters. This Defendant was first listed by the United Nations Security Council on November 30, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

xiii. at page 5, 8th paragraph under the rubric KPI. 037 Name: CHANG also known as CHANG HA also known as Jang Chang Ha, more particularly described therein as Title: na Designation: President of the Second Academy of Natural Sciences (SANS) DOB: 10 Jan. 1964 POB: na Good quality a.k.a.: Jang Chang Ha Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 30 Nov. 2016 Other information: This Defendant was first listed by the United Nations Security Council on November 30, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

xiv. at page 5, 9th paragraph under the rubric KPI. 038 Name: CHO also known as CHUN RYONG also known as Jo Chun Ryong, more particularly described therein as Title: na Designation: Chairman of the Second Economic Committee (SEC) DOB: 4 Apr. 1960 POB: na Good quality a.k.a.: Jo Chun Ryong Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 30 Nov. 2016. This Defendant was first listed by the United Nations Security Council on November 30, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

xv. at page 6, 1st paragraph under the rubric KPI. 039 Name: SON also known as MUN SAN, more particularly described therein as Title: na Designation: Director-General of the External Affairs Bureau of the General Bureau of Atomic Energy (GBAE) DOB: 23 Jan. 1951 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 30 Nov. 2016. This Defendant was first listed by the United Nations Security Council on November 30, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

xvi. at page 6, 2nd paragraph under the rubric KPI. 040 Name: CHO also known as IL U also known as Cho Il Woo, more particularly described therein as Title: na Designation: Director of the Fifth Bureau of the Reconnaissance General Bureau DOB: 10 May 1945 POB: Musan, North Hamgyong Province, Democratic People's Republic of Korea Good quality a.k.a.: Cho Il Woo Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 736410010 National identification no: na Address: na Listed on: 2 Jun. 2017 Other information: Cho is believed to be in charge of overseas espionage operations and foreign intelligence collection for the Democratic People's Republic of Korea. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

xvii. at page 6, 3rd paragraph under the rubric KPI. 041 Name: CHO also known as YON CHUN also known as Jo Yon Jun, more particularly described therein as Title: na Designation: Vice Director of the Organization and Guidance Department, which directs key personnel appointments for the Workers' Party of Korea and the DPRK's military DOB: 28 Sep. 1937 POB: na Good quality a.k.a.: Jo Yon Jun Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 2 Jun. 2017. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

xviii. at page 7, 2nd paragraph under the rubric KPI. 048 Name: PAEK also known as SE BONG, more particularly described therein as Title: na Designation: na DOB: 21 Mar. 1938 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 2 Jun. 2017 Other information: Paek Se Bong is a former Chairman of the Second Economic Committee, a former member of the National Defense Commission, and a former Vice Director of Munitions Industry Department (MID). This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

xix. at page 7, 4th paragraph under the rubric KPI. 050 Name: PAK also known as TO CHUN also known as Pak Do Chun, more particularly described therein as Title: na Designation: na DOB: 9 Mar. 1944 POB: na Good quality a.k.a.: Pak Do Chun Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 2 Jun. 2017 Other information: Pak To Chun is a former Secretary of Munitions Industry Department (MID) and currently advises on affairs relating to nuclear and missile programmes. He is a former State Affairs Commission member and is a member Workers' Party of Korea Political Bureau. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

xx. at page 7, 5th paragraph under the rubric KPI. 051 Name: RI also known as JAE IL also known as Ri Chae-Il, more particularly described therein as Title: na Designation: Vice Director of the Workers' Party of Korea Propaganda and Agitation Department, which controls all DPRK's media and is used by the government to control the public DOB: 1934 POB: na Good quality a.k.a.: RI Chae-Il Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 2 Jun. 2017. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;



Government of the Republic of Trinidad and Tobago
MINISTRY OF THE ATTORNEY GENERAL AND LEGAL AFFAIRS

xxi. at page 7, 6th paragraph under the rubric KPI. 052 Name: RI also known as SU YONG, more particularly described therein as Title: na Designation: Official for Korea Ryonbong General Corporation, specializes in acquisition for DPRK's defence industries and support to Pyongyang's military-related sales. Its procurements also probably support the DPRK's chemical weapons programme DOB: 25 Jun. 1968 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 654310175 National identification no: na Address: na Listed on: 2 Jun. 2017 (amended on 15 Feb. 2018) Other information: Gender: male. Served as Korea Ryonbong General Corporation representative in Cuba. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

xxii. at page 7, 7th paragraph under the rubric KPI. 053 Name RI also known as YONG MU, more particularly described therein as Title: na Designation: Ri Yong Mu is a Vice Chairman of the State Affairs Commission, which directs and guides all DPRK's military, defence, and security-related affairs, including acquisition and procurement DOB: 25 Jan. 1925 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 2 Jun. 2017. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity; and

xxiii. at page 7, 8th paragraph under the rubric KPI. 054 Name: CHOE also known as CHUN YONG also known as Ch'oe Ch'un-yo'ng, more particularly described therein as Title: na Designation: Representative for Ilsim International Bank DOB: na POB: na Good quality a.k.a.: Ch'oe Ch'un-yo'ng Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 654410078 National identification no: na Address: na Listed on: 5 Aug. 2017 Other information: Representative for Ilsim International Bank, which is affiliated with the DPRK military and has a close relationship with the Korea Kwangson Banking Corporation. Ilsim International Bank has attempted to evade United Nations sanctions. Gender: male. This Defendant was first listed by the United Nations Security Council on August 5, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

is a listed entity for the purposes of the DPRK Order.

IT IS FURTHER ORDERED that:

1. this matter be deemed fit for hearing as a matter of urgency;
2. pursuant to Clause 4(1)(a) of the DPRK Order that, subject to Clause 4(3) of the DPRK Order, the property including:
 - (a) funds or assets of any kind, whether tangible or intangible, moveable or immovable, however acquired;
 - (b) legal documents or instruments in any form, including electronic or digital, evidencing title to, or interest in, such assets, including but not limited to-
 - i) bank credits;
 - ii) payment cards;
 - iii) payment instruments;
 - iv) travellers' cheques;
 - v) bank cheques;
 - vi) money orders;
 - vii) shares;
 - viii) securities;
 - ix) bonds;
 - x) drafts; or
 - xi) letters of credit;
 - (c) precious stones, including diamonds, rubies, sapphires, or emeralds, whether in a treated or untreated state;
 - (d) precious metals, including gold, silver or platinum, whether in a manufactured or unmanufactured state;
 - (e) oil and other natural resources, and their refined products, modular refineries and related material; and
 - (f) other economic resources which may be used to obtain property, goods or services,

and including a legal or equitable interest, whether full or partial, in any such property that is

- i) owned or controlled by each Defendant;
- ii) wholly or jointly owned or controlled, directly or indirectly, by each Defendant; and
- iii) derived or generated from funds or other assets owned or controlled directly or indirectly by each Defendant,

be frozen as and when they arise for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

3. pursuant to Clause 4(1)(b) of the DPRK Order, subject to Clause 4(3) of the DPRK Order, each Defendant is prohibited from possessing, controlling or having access to any property, whether owned or controlled, directly or indirectly by:
 - i) the Worker's Party of Korea; or
 - ii) an individual or entity owned or controlled by the Government of the DPRK or the Worker's Party of Korea,

which is associated with the nuclear or ballistic missile programme of the DPRK, as and when they arise, and including a legal or equitable interest, whether full or partial, in any such property for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

4. pursuant to Clause 4(4)(b) of the DPRK Order, the Registrar of the Supreme Court do serve an Office Copy of the Order on the Financial Intelligence

Unit immediately in accordance with the Civil Proceedings Rules 1998 (as amended);

5. an Order that pursuant to Clause 4(7) of the DPRK Order, the Attorney General shall within seven (7) days after the date of the Order, cause a copy of the Order and a statement that the matter will be reviewed every six (6) months, to be published in the Trinidad and Tobago Gazette and in two (2) daily newspapers of general circulation in Trinidad and Tobago;
6. costs to be costs in the cause; and
7. liberty to apply.

Assistant Registrar
Supreme Court

Notice is hereby given that the matter which is the subject of the aforementioned Order will be reviewed by the Honourable Attorney General every six (6) months in accordance with Clause 4(8) of the Economic Sanctions (Implementation Of United Nations Resolutions On The Democratic People's Republic Of Korea) Order, 2018.

THE REPUBLIC OF TRINIDAD AND TOBAGO

IN THE HIGH COURT OF JUSTICE

Claim No. CV2019-00343

IN THE MATTER OF THE ECONOMIC SANCTIONS ACT, CHAPTER 81:05

AND

IN THE MATTER OF THE ECONOMIC SANCTIONS (IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

AND

IN THE MATTER OF AN APPLICATION BY THE ATTORNEY GENERAL OF TRINIDAD AND TOBAGO FOR A PROHIBITORY ORDER UNDER CLAUSE 4 OF THE ECONOMIC SANCTIONS (IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

BETWEEN

THE ATTORNEY GENERAL OF TRINIDAD AND TOBAGO
Claimant

AND

1. KOREA KUMRYONG TRADING CORPORATION;
2. PRO-GAIN GROUP CORPORATION.
Defendants

ORDER

Before the Honourable Mr. Justice Keyvin Ramcharan
Dated the 25th day of January 2019

UPON READING the Fixed Date Claim Form filed on January 25, 2019 and the affidavit of [redacted] sworn and filed on January 25, 2019 together with the exhibits attached thereto;

AND UPON this Application being determined without a hearing;

IT IS ORDERED THAT personal service of the Order on the Defendants be dispensed with.

IT IS HEREBY DECLARED that in accordance with Clause 2 of the Economic Sanctions (Implementation of United Nations Resolutions on The Democratic People's Republic of Korea) Order, 2018 ("the DPRK Order"), each of the Defendants, who is listed on the United Nations Security Council Sanctions List established and maintained pursuant to United Nations Security Council Resolution 1718 (2006) Concerning the Democratic People's Republic of Korea dated January 24, 2019,

i. at page 13, 3rd paragraph under the rubric KPe. 014 Name: KOREA KUMRYONG TRADING CORPORATION, more particularly described therein as A.k.a.: na F.k.a.: na Address: na Listed on: 22 Jan. 2013 Other information: Used as an alias for the Korea Mining Development Trading Corporation (KOMID) to carry out procurement activities. KOMID was designated by the Committee in April 2009 and is the DPRK's primary arms dealer and main exporter of goods and equipment related to ballistic missiles and conventional weapons. This Defendant was first listed by the United Nations Security Council January 22, 2013 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

ii. at page 20, 5th paragraph under the rubric KPI. 071 Name: PRO-GAIN GROUP CORPORATION, more particularly described therein as A.k.a.: na F.k.a.: na Address: na Listed on: 30 Mar. 2018 (amended on 8 Aug. 2018) Other information: Company owned or controlled by Tsang Yung Yuan and involved in illicit transfers of DPRK coal. This Defendant was first listed by the United Nations Security Council on March 30, 2018 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

is a listed entity for the purposes of the DPRK Order.

IT IS FURTHER ORDERED that:

1. this matter be deemed fit for hearing as a matter of urgency;
2. pursuant to Clause 4(1)(a) of the DPRK Order that, subject to Clause 4(3) of the DPRK Order, the property including:
 - (a) funds or assets of any kind, whether tangible or intangible, moveable or immovable, however acquired;
 - (b) legal documents or instruments in any form, including electronic or digital, evidencing title to, or interest in, such assets, including but not limited to-
 - i) bank credits;
 - ii) payment cards;
 - iii) payment instruments;
 - iv) travellers' cheques;
 - v) bank cheques;
 - vi) money orders;
 - vii) shares;
 - viii) securities;
 - ix) bonds;
 - x) drafts; or
 - xi) letters of credit;
 - (c) precious stones, including diamonds, rubies, sapphires, or emeralds, whether in a treated or untreated state;
 - (d) precious metals, including gold, silver or platinum, whether in a manufactured or unmanufactured state;
 - (e) oil and other natural resources, and their refined products, modular refineries and related material; and
 - (f) other economic resources which may be used to obtain property, goods or services,

and including a legal or equitable interest, whether full or partial, in any such property that is

- i) owned or controlled by each Defendant;
- ii) wholly or jointly owned or controlled, directly or indirectly, by each Defendant; and
- iii) derived or generated from funds or other assets owned or controlled directly or indirectly by each Defendant,

be frozen as and when they arise for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

3. pursuant to Clause 4(1)(b) of the DPRK Order, subject to Clause 4(3) of the DPRK Order, each Defendant is prohibited from possessing, controlling or having access to any property, whether owned or controlled, directly or indirectly by:
 - i) the Worker's Party of Korea; or
 - ii) an individual or entity owned or controlled by the Government of the DPRK or the Worker's Party of Korea,

which is associated with the nuclear or ballistic missile programme of the DPRK, as and when they arise, and including a legal or equitable interest, whether full or partial, in any such property for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

4. pursuant to Clause 4(4)(b) of the DPRK Order, the Registrar of the Supreme Court do serve an Office Copy of the Order on the Financial Intelligence Unit immediately in accordance with the Civil Proceedings Rules 1998 (as amended);

5. an Order that pursuant to Clause 4(7) of the DPRK Order, the Attorney General shall within seven (7) days after the date of the Order, cause a copy of the Order and a statement that the matter will be reviewed every six (6) months, to be published in the Trinidad and Tobago Gazette and in two (2) daily newspapers of general circulation in Trinidad and Tobago;

6. costs to be costs in the cause; and

7. liberty to apply.

Assistant Registrar
Supreme Court

Notice is hereby given that the matter which is the subject of the aforementioned Order will be reviewed by the Honourable Attorney General every six (6) months in accordance with Clause 4(8) of the Economic Sanctions (Implementation Of United Nations Resolutions On The Democratic People's Republic Of Korea) Order, 2018.

THE REPUBLIC OF TRINIDAD AND TOBAGO

IN THE HIGH COURT OF JUSTICE

Claim No. CV2019-00344

IN THE MATTER OF THE ECONOMIC SANCTIONS ACT, CHAPTER 81:05

AND

IN THE MATTER OF THE ECONOMIC SANCTIONS (IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

AND

209—Continued



Government of the Republic of Trinidad and Tobago
MINISTRY OF THE ATTORNEY GENERAL AND LEGAL AFFAIRS

IN THE MATTER OF AN APPLICATION BY THE ATTORNEY GENERAL OF TRINIDAD AND TOBAGO FOR A PROHIBITORY ORDER UNDER CLAUSE 4 OF THE ECONOMIC SANCTIONS (IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

BETWEEN

THE ATTORNEY GENERAL OF TRINIDAD AND TOBAGO

Claimant

AND

1. KOREA RYONBONG GENERAL CORPORATION also known as KOREA YONBONG GENERAL CORPORATION;
2. NAMCHONGANG TRADING CORPORATION also known as NCG also known as NAMCHONGANG TRADING also known as NAM CHON GANG CORPORATION also known as NOMCHONGANG TRADING CO. also known as NAM CHONG GAN TRADING CORPORATION also known as NAMHUNG TRADING CORPORATION also known as KOREA DAERYONGGANG TRADING CORPORATION also known as KOREA TEARYONGGANG TRADING CORPORATION;
3. GREEN PINE ASSOCIATED CORPORATION also known as CHONGSONG UNITED TRADING COMPANY also known as CHONGSONG YONHAP also known as CHONGSONG YONHAP also known as CHOSUN CHAWON KAEBAL TUJA HOESA also known as JINDALLAE also known as KUMHAERYONG COMPANY LTD. also known as NATURAL RESOURCES DEVELOPMENT AND INVESTMENT CORPORATION also known as SAENGPIIL COMPANY also known as NATIONAL RESOURCES DEVELOPMENT AND INVESTMENT CORPORATION also known as SAENG PIL TRADING CORPORATION;
4. KOREA RYONHA MACHINERY JOINT VENTURE CORPORATION also known as CHOSUN YUNHA MACHINERY JOINT OPERATION COMPANY also known as KOREA RYENHA MACHINERY JV CORPORATION also known as RYONHA MACHINERY JOINT VENTURE CORPORATION also known as RYONHA MACHINERY CORPORATION also known as RYONHA MACHINERY also known as RYONHA MACHINE TOOL also known as RYONHA MACHINE TOOL CORPORATION also known as Ryonha Machine Tool Corporation also known as RYONHA MACHINERY CORP. also known as RYONHWA MACHINERY JOINT VENTURE CORPORATION also known as RYONHWA MACHINERY JV also known as HUICHON RYONHA MACHINERY GENERAL PLANT also known as UNSAN also known as UNSAN SOLID TOOLS also known as MILLIM TECHNOLOGY COMPANY;
5. OCEAN MARITIME MANAGEMENT COMPANY, LIMITED (OMM);
6. CHONGCHONGANG SHIPPING COMPANY also known as CHONG CHON GANG SHIPPING CO. LTD.;
7. DAEDONG CREDIT BANK (DCB) also known as DCB also known as TAEDONG CREDIT BANK;
8. RECONNAISSANCE GENERAL BUREAU also known as CHONGCH'AL CH'ONGGUK also known as KPA UNIT 586 also known as RGB;
9. KOREA INTERNATIONAL CHEMICAL JOINT VENTURE COMPANY also known as CHOSON INTERNATIONAL CHEMICALS JOINT OPERATION COMPANY also known as CHOSUN INTERNATIONAL CHEMICALS JOINT OPERATION COMPANY also known as INTERNATIONAL CHEMICAL JOINT VENTURE COMPANY;
10. DCB FINANCE LIMITED;
11. CHONMYONG SHIPPING CO also known as CHON MYONG SHIPPING COMPANY LIMITED

Defendants

ORDER

Before the Honourable Mr. Justice Ramcharan
Dated the 25th day of January 2019

UPON READING the Fixed Date Claim Form filed on January 25, 2019 and the affidavit of [redacted] sworn and filed on January 25, 2019 together with the exhibits attached thereto;

AND UPON this Application being determined without a hearing;

IT IS ORDERED THAT personal service of the Order on the Defendants be dispensed with.

IT IS HEREBY DECLARED that in accordance with Clause 2 of the Economic Sanctions (Implementation of United Nations Resolutions on the Democratic People's Republic of Korea) Order, 2018 ("the DPRK Order"), each of the Defendants, who is listed on the United Nations Security Council Sanctions List established and maintained pursuant to United Nations Security Council Resolution 1718 (2006) Concerning the Democratic People's Republic of Korea dated January 24, 2019,

i. at page 11, 3rd paragraph under the rubric KPe. 002 Name: KOREA RYONBONG GENERAL CORPORATION also known as KOREA YONBONG GENERAL CORPORATION, more particularly described therein as A.k.a.: KOREA YONBONG GENERAL CORPORATION F.k.a.: LYONGAKSAN GENERAL TRADING CORPORATION Address: a) Pot'onggang District, Pyongyang, Democratic People's Republic of Korea b) Rakwon-dong, Pothonggang District, Pyongyang, Democratic People's Republic of Korea Listed on: 24 Apr. 2009 Other information: Defense

conglomerate specializing in acquisition for DPRK defense industries and support to that country's military-related sales;

ii. at page 11, 5th paragraph under the rubric KPe. 004 Name: NAMCHONGANG TRADING CORPORATION also known as NCG also known as NAMCHONGANG TRADING also known as NAM CHON GANG CORPORATION also known as NOMCHONGANG TRADING CO. also known as NAM CHONG GAN TRADING CORPORATION also known as NAMHUNG TRADING CORPORATION also known as KOREA DAERYONGGANG TRADING CORPORATION also known as KOREA TEARYONGGANG TRADING CORPORATION, more particularly described therein as A.k.a.: a) NCG b) NAMCHONGANG TRADING c) NAM CHON GANG CORPORATION d) NOMCHONGANG TRADING CO. e) NAM CHONG GAN TRADING CORPORATION f) Namhung Trading Corporation g) Korea Daeryonggang Trading Corporation h) Korea Tearyonggang Trading Corporation F.k.a.: na Address: a) Pyongyang, Democratic People's Republic of Korea b) Sengujadong 11-2/(or Kwangbok-dong), Mangyongdae District, Pyongyang, Democratic People's Republic of Korea Listed on: 16 Jul. 2009 (amended on 2 Mar. 2016, 5 Jun. 2017) Other information: Namchongang is a DPRK trading company subordinate to the General Bureau of Atomic Energy (GBAE). Namchongang has been involved in the procurement of Japanese origin vacuum pumps that were identified at a DPRK nuclear facility, as well as nuclear-related procurement associated with a German individual. It has further been involved in the purchase of aluminum tubes and other equipment specifically suitable for a uranium enrichment program from the late 1990s. Its representative is a former diplomat who served as DPRK's representative for the IAEA inspection of the Yongbyon nuclear facilities in 2007. Namchongang's proliferation activities are of grave concern given the DPRK's past proliferation activities. Telephone numbers: +850-2-18111, 18222 (ext. 8573). Facsimile number: +850-2-381-4687;

iii. at page 12, 4th paragraph under the rubric KPe. 010 Name: GREEN PINE ASSOCIATED CORPORATION also known as CHONGSONG UNITED TRADING COMPANY also known as CHONGSONG YONHAP also known as CHONGSONG YONHAP also known as CHOSUN CHAWON KAEBAL TUJA HOESA also known as JINDALLAE also known as KUMHAERYONG COMPANY LTD. also known as NATURAL RESOURCES DEVELOPMENT AND INVESTMENT CORPORATION also known as SAENGPIIL COMPANY also known as NATIONAL RESOURCES DEVELOPMENT AND INVESTMENT CORPORATION also known as SAENG PIL TRADING CORPORATION, more particularly described therein as A.k.a.: a) CHONGSONG UNITED TRADING COMPANY b) CHONGSONG YONHAP c) CHONGSONG YONHAP d) CHOSUN CHAWON KAEBAL TUJA HOESA e) JINDALLAE f) KUMHAERYONG COMPANY LTD. g) NATURAL RESOURCES DEVELOPMENT AND INVESTMENT CORPORATION h) SAENGPIIL COMPANY i) National Resources Development and Investment Corporation j) Saeng Pil Trading Corporation F.k.a.: na Address: a) c/o Reconnaissance General Bureau Headquarters, Hyongjesan-Guyok, Pyongyang, Democratic People's Republic of Korea b) Nungrado, Pyongyang, Democratic People's Republic of Korea c) Rakrang No. 1 Rakrang District Pyongyang Korea, Ch'ilgol-1 dong, Mangyongdae District, Pyongyang, Democratic People's Republic of Korea Listed on: 2 May 2012 (amended on 5 Jun. 2017) Other information: Green Pine Associated Corporation ("Green Pine") has taken over many of the activities of the Korea Mining Development Trading Corporation (KOMID). KOMID was designated by the Committee in April 2009 and is the DPRK's primary arms dealer and main exporter of goods and equipment related to ballistic missiles and conventional weapons. Green Pine is also responsible for approximately half of the arms and related material exported by the DPRK. Green Pine has been identified for sanctions for exporting arms or related material from North Korea. Green Pine specializes in the production of maritime military craft and armaments, such as submarines, military boats and missile systems, and has exported torpedoes and technical assistance to Iranian defence-related firms. Telephone number: +850-2-18111 (ext. 8327). Facsimile number: +850-2-3814685 and +850-2-3813372. Email addresses: psc@silbank.com and kndic@co.chesin.com;

iv. at page 13, 5th paragraph under the rubric KPe. 016 Name: KOREA RYONHA MACHINERY JOINT VENTURE CORPORATION also known as CHOSUN YUNHA MACHINERY JOINT OPERATION COMPANY also known as KOREA RYENHA MACHINERY JV CORPORATION also known as RYONHA MACHINERY JOINT VENTURE CORPORATION also known as RYONHA MACHINERY CORPORATION also known as RYONHA MACHINE TOOL also known as RYONHA MACHINE TOOL CORPORATION also known as Ryonha Machine Tool Corporation also known as RYONHA MACHINERY CORP. also known as RYONHWA MACHINERY JOINT VENTURE CORPORATION also known as RYONHWA MACHINERY JV also known as HUICHON RYONHA MACHINERY GENERAL PLANT also known as UNSAN also known as UNSAN SOLID TOOLS also known as MILLIM TECHNOLOGY COMPANY, more particularly described therein as A.k.a.: a) Chosun Yunha Machinery Joint Operation Company b) Korea Ryonha Machinery JV Corporation c) Ryonha Machinery Joint Venture Corporation d) Ryonha Machinery Corporation e) Ryonha Machinery f) Ryonha Machine Tool g) Ryonha Machine Tool Corporation h) Ryonha Machinery Corp. i) Ryonha Machinery Joint Venture Corporation j) Ryonha Machinery JV k) Huichon Ryonha Machinery General Plant l) Unsan m) Unsan Solid Tools n) Millim Technology Company F.k.a.: na Address: a) Tonggak-dong, Central District, Pyongyang, Democratic People's Republic of Korea b) Mangyongdae-gu, Pyongyang, Democratic People's Republic of Korea c) Mangyongdae District, Pyongyang, Democratic People's Republic of Korea Listed on: 22 Jan. 2013 (amended on 7 Jan. 2014, 2 Apr. 2014) Other information: Korea Ryonbong General Corporation is the parent company of Korea Ryonha Machinery Joint Venture Corporation. Korea Ryonbong General Corporation was designated by the Committee in April 2009 and is a defense conglomerate specializing in acquisition for DPRK defense industries and support to that country's military-related sales. Email addresses: ryonha@silbank.com; sjc-117@hotmail.com; and millim@silbank.com. Telephone numbers: 850-2-18111; 850-2-18111-8642; and 850 2 1811-3818642. Facsimile number: 850-2-381-4410;

v. at page 14, 3rd paragraph under the rubric KPe. 020 Name: OCEAN MARITIME MANAGEMENT COMPANY, LIMITED (OMM), more particularly described therein as A.k.a.: na F.k.a.: a) Donghung Dong, Central District, PO Box 120, Pyongyang, Democratic People's Republic of Korea b) Dongheung-dong Changgwang Street, Chung-Ku, PO Box 125, Pyongyang, Democratic People's Republic of Korea Listed on: 28 Jul. 2014 Other information: Ocean Maritime Management Company, Limited is the operator/manager of the vessel Chong Chon Gang. It played a key role in arranging the shipment of concealed cargo of arms and related materiel from Cuba to the DPRK in July 2013. As such, Ocean Maritime Management Company, Limited contributed to activities prohibited by the resolutions, namely the arms embargo imposed by resolution 1718 (2006), as modified by resolution 1874 (2009), and contributed to the evasion of the measures imposed by these resolutions. International Maritime Organization (IMO) Number: 1790183;

vi. at page 14, 5th paragraph under the rubric KPe. 022 Name: CHONGCHONGANG SHIPPING COMPANY also known as CHONG CHON GANG SHIPPING CO. LTD., more particularly described therein as A.k.a.: Chong Chon Gang Shipping Co. Ltd. F.k.a.: na Address: a) 817 Haem, Donghung-dong, Central District, Pyongyang, Democratic People's Republic of Korea b) 817, Haem, Tonghun-dong, Chung-gu, Pyongyang, Democratic People's Republic of Korea Listed on: 2 Mar. 2016 Other information: IMO Number: 5342883. The Chongchongang Shipping Company, through its vessel, the Chong Chon Gang, attempted to directly import the illicit shipment of conventional weapons and arms to the DPRK in July 2013;

vii. at page 14, 6th paragraph under the rubric KPe. 023 Name: DAEDONG CREDIT BANK (DCB) also known as DCB also known as TAEDONG CREDIT BANK, more particularly described therein as A.k.a.: a) DCB b) Taedong Credit Bank F.k.a.: na Address: a) Suite 401, Potonggang Hotel, Ansan-Dong, Pyongchon District, Pyongyang, Democratic People's Republic of Korea b) Ansan-dong, Botonggang Hotel, Pongchon, Pyongyang, Democratic People's Republic of Korea Listed on: 2 Mar. 2016 Other information: SWIFT: DCBKPPY. Daedong Credit Bank has provided financial services to the Korea Mining Development Trading Corporation (KOMID) and Tanchon Commercial Bank. Since at least 2007, DCB has facilitated hundreds of financial transactions worth millions of dollars on behalf of KOMID and Tanchon Commercial Bank. In some cases, DCB has knowingly facilitated transactions by using deceptive financial practices;

viii. at page 15, 7th paragraph under the rubric KPe. 031 Name: RECONNAISSANCE GENERAL BUREAU also known as CHONGCH'AL CH'ONGGUK also known as KPA UNIT 586 also known as RGB, more particularly described therein as A.k.a.: a) Chongch'al Ch'ongguk b) KPA Unit 586 c) RGB F.k.a.: na Address: a) Hyongjesan-Guyok, Pyongyang, Democratic People's Republic of Korea b) Nungrado, Pyongyang, Democratic People's Republic of Korea Listed on: 2 Mar. 2016 Other information: The Reconnaissance General Bureau is the DPRK's premier intelligence organization, created in early 2009 by the merger of existing intelligence organizations from the Korean Workers' Party, the Operations Department and Office 35, and the Reconnaissance Bureau of the Korean People's Army. The Reconnaissance General Bureau trades in conventional arms and controls the DPRK conventional arms firm Green Pine Associated Corporation;

ix. at page 16, 8th paragraph under the rubric KPe. 039 Name: KOREA INTERNATIONAL CHEMICAL JOINT VENTURE COMPANY also known as CHOSUN INTERNATIONAL CHEMICALS JOINT OPERATION COMPANY also known as CHOSUN INTERNATIONAL CHEMICALS JOINT OPERATION COMPANY also known as INTERNATIONAL CHEMICAL JOINT VENTURE COMPANY, more particularly described therein as A.k.a.: a) Chosun International Chemicals Joint Operation Company b) Chosun International Chemicals Joint Operation Company c) International Chemical Joint Venture Company F.k.a.: na Address: a) Hamhung, South Hamgyong Province, Democratic People's Republic of Korea b) Man gyongdae-guyok, Pyongyang, Democratic People's Republic of Korea c) Mangyongdae-gu, Pyongyang, Democratic People's Republic of Korea Listed on: 30 Nov. 2016 Other information: Korea International Chemical Joint Venture Company is a subsidiary of Korea Ryonbong General Corporation - DPRK's defense conglomerate specializing in acquisition for DPRK defense industries and support to Pyongyang's military related sales - and has engaged in proliferation-related transactions;

x. at page 16, 9th paragraph under the rubric KPe. 040 Name: DCB FINANCE LIMITED, more particularly described therein as A.k.a.: na F.k.a.: na Address: a) Akara Building, 24 de Castro Street, Wickhams Cay I, Road Town, Tortola, British Virgin Islands b) Dalian, China Listed on: 30 Nov. 2016 Other information: DCB Finance Limited is a front company for Daedong Credit Bank (DCB), a listed entity; and

xi. at page 18, 7th paragraph under the rubric KPe. 056 Name: CHONMYONG SHIPPING CO also known as CHON MYONG SHIPPING COMPANY LIMITED, more particularly described therein as A.k.a.: CHON MYONG SHIPPING COMPANY LIMITED F.k.a.: na Address: a) Kalrimgil 2-dong, Mangyongdaeguyok, Pyongyang, Democratic People's Republic of Korea b) Saemaul 2-dong, Pyongchon-guyok, Pyongyang, Democratic People's Republic of Korea Listed on: 30 Mar. 2018 Other information: Registered owner of CHON MYONG 1, a DPRK-flagged vessel that conducted ship-to-ship transfer of fuel in late December 2017,

is a listed entity for the purposes of the DPRK Order.

IT IS FURTHER ORDERED that:

1. this matter be deemed fit for hearing as a matter of urgency;
2. pursuant to Clause 4(1)(a) of the DPRK Order that, subject to Clause 4(3) of the DPRK Order, the property including:
 - (a) funds or assets of any kind, whether tangible or intangible, moveable or immovable, however acquired;
 - (b) legal documents or instruments in any form, including electronic or digital, evidencing title to, or interest in, such assets, including but not



Government of the Republic of Trinidad and Tobago
MINISTRY OF THE ATTORNEY GENERAL AND LEGAL AFFAIRS

limited to—
i) bank credits;
ii) payment cards;
iii) payment instruments;
iv) travellers' cheques;
v) bank cheques;
vi) money orders;
vii) shares;
viii) securities;
ix) bonds;
x) drafts; or
xi) letters of credit;
(c) precious stones, including diamonds, rubies, sapphires, or emeralds, whether in a treated or untreated state;
(d) precious metals, including gold, silver or platinum, whether in a manufactured or unmanufactured state;
(e) oil and other natural resources, and their refined products, modular refineries and related material; and
(f) other economic resources which may be used to obtain property, goods or services,

and including a legal or equitable interest, whether full or partial, in any such property that is

- i) owned or controlled by each Defendant;
- ii) wholly or jointly owned or controlled, directly or indirectly, by each Defendant; and
- iii) derived or generated from funds or other assets owned or controlled directly or indirectly by each Defendant,

be frozen as and when they arise for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

3. pursuant to Clause 4(1)(b) of the DPRK Order, subject to Clause 4(3) of the DPRK Order, each Defendant is prohibited from possessing, controlling or having access to any property, whether owned or controlled, directly or indirectly by:

- i) the Worker's Party of Korea; or
- ii) an individual or entity owned or controlled by the Government of the DPRK or the Worker's Party of Korea,

which is associated with the nuclear or ballistic missile programme of the DPRK, as and when they arise, and including a legal or equitable interest, whether full or partial, in any such property for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

4. pursuant to Clause 4(4)(b) of the DPRK Order, the Registrar of the Supreme Court do serve an Office Copy of the Order on the Financial Intelligence Unit immediately in accordance with the Civil Proceedings Rules 1998 (as amended);

5. an Order that pursuant to Clause 4(7) of the DPRK Order, the Attorney General shall within seven (7) days after the date of the Order, cause a copy of the Order and a statement that the matter will be reviewed every six (6) months, to be published in the Trinidad and Tobago Gazette and in two (2) daily newspapers of general circulation in Trinidad and Tobago;

6. costs to be costs in the cause; and

7. liberty to apply.

Assistant Registrar
Supreme Court

Notice is hereby given that the matter which is the subject of the aforementioned Order will be reviewed by the Honourable Attorney General every six (6) months in accordance with Clause 4(8) of the Economic Sanctions (Implementation of United Nations Resolutions On The Democratic People's Republic Of Korea) Order, 2018.

THE REPUBLIC OF TRINIDAD AND TOBAGO

IN THE HIGH COURT OF JUSTICE

Claim No. CV2019-00345

IN THE MATTER OF THE ECONOMIC SANCTIONS ACT, CHAPTER 81:05

AND

IN THE MATTER OF THE ECONOMIC SANCTIONS
(IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE
DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

AND

IN THE MATTER OF AN APPLICATION BY THE ATTORNEY
GENERAL OF TRINIDAD AND TOBAGO FOR A PROHIBITORY
ORDER UNDER CLAUSE 4 OF THE ECONOMIC SANCTIONS
(IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE
DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

BETWEEN

THE ATTORNEY GENERAL OF TRINIDAD AND TOBAGO

Claimant

AND

1. KOREA FOREIGN TECHNICAL TRADE CENTER;
2. KOREA TAESONG TRADING COMPANY;
3. KANGBONG TRADING CORPORATION;
4. KOREA KUMSAN TRADING CORPORATION;
5. KORYO BANK;
6. STRATEGIC ROCKET FORCE OF THE KOREAN PEOPLES ARMY also known as Strategic Rocket Force also known as Strategic Rocket Force Command of KPA also known as Strategic Force also known as Strategic Forces;
7. KOREAN NATIONAL INSURANCE COMPANY (KNIC) also known as Korea Foreign Insurance Company;
8. KORYO CREDIT DEVELOPMENT BANK also known as Daesong Credit Development Bank also known as Koryo Global Credit also known as Koryo Global Trust Bank;
9. MANSUDA E OVERSEAS PROJECT GROUP OF COMPANIES also known as Mansudae Art Studio;
10. CENTRAL MILITARY COMMISSION OF THE WORKERS' PARTY OF KOREA (CMC);
11. ORGANIZATION AND GUIDANCE DEPARTMENT (OGD);
12. PROPAGANDA AND AGITATION DEPARTMENT (PAD);
13. MINISTRY OF THE PEOPLE'S ARMED FORCES (MPAF);
14. KOTI CORP.

Defendants

ORDER

Before the Honourable Mr. Justice Kevin Ramcharan
Dated the 25th day of January 2019

UPON READING the Fixed Date Claim Form filed on January 25, 2019 and the affidavit of Principal Deponent sworn and filed on January 25, 2019 together with the exhibits attached thereto;

AND UPON this Application being determined without a hearing;

IT IS ORDERED THAT personal service of the Order on the Defendants be dispensed with.

IT IS HEREBY DECLARED that in accordance with Clause 2 of the Economic Sanctions (Implementation of United Nations Resolutions on The Democratic People's Republic of Korea) Order, 2018 ("the DPRK Order"), each of the Defendants, who is listed on the United Nations Security Council Sanctions List established and maintained pursuant to United Nations Security Council Resolution 1718 (2006) Concerning the Democratic People's Republic of Korea dated January 24, 2019,

i. at page 16, 6th paragraph under the rubric KPe. 037 Name: KOREA FOREIGN TECHNICAL TRADE CENTER, more particularly described therein as A.k.a.: na F.k.a.: na Address: Democratic People's Republic of Korea Listed on: 30 Nov. 2016 Other information: Korea Foreign Technical Trade Center is a DPRK firm trading in coal. DPRK generates a significant share of the funds needed to finance its nuclear and ballistic missile programs by mining natural resources and selling those resources abroad. This Defendant was first listed by the United Nations Security Council on November 30, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

ii. at page 17, 1st paragraph under the rubric KPe. 041 Name: KOREA TAESONG TRADING COMPANY, more particularly described therein as A.k.a.: na F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 30 Nov. 2016 Other information: Korea Taesong Trading Company has acted on behalf of KOMID in dealings with Syria. This Defendant was first listed by the United Nations Security Council on November 30, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

iii. at page 17, 3rd paragraph under the rubric KPe. 043 Name: KANGBONG TRADING CORPORATION, more particularly described therein as A.k.a.: na F.k.a.: na Address: Democratic People's Republic of Korea Listed on: 2 Jun. 2017 Other information: The Kangbong Trading Corporation sold, supplied, transferred, or purchased, directly or indirectly, to or from the DPRK, metal, graphite, coal, or software, where revenue or goods received may benefit the Government of the DPRK or the Workers' Party of Korea. The Kangbong Trading Corporation's parent is the Ministry of People's Armed Forces. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

iv. at page 17, 4th paragraph under the rubric KPe. 044 Name: KOREA KUMSAN TRADING CORPORATION, more particularly described therein as A.k.a.: na F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 2 Jun. 2017 Other information: Korea Kumsan Trading Corporation is owned or controlled by, or acting or purporting to act for or on behalf of, directly or indirectly, the General Bureau of Atomic Energy, which oversees the DPRK's nuclear programme. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

v. at page 17, 5th paragraph under the rubric KPe. 045 Name: KORYO BANK, more particularly described therein as A.k.a.: na F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 2 Jun. 2017 Other information: Koryo Bank operates in the financial services industry in the DPRK's economy and is associated with Office 38 and Office 39 of the KWP. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

vi. at page 17, 6th paragraph under the rubric KPe. 046 Name: STRATEGIC ROCKET FORCE OF THE KOREAN PEOPLES ARMY ALSO KNOWN AS STRATEGIC ROCKET FORCE ALSO KNOWN AS STRATEGIC ROCKET FORCE COMMAND OF KPA ALSO KNOWN AS STRATEGIC FORCE ALSO KNOWN AS STRATEGIC FORCES, more particularly described therein as A.k.a.: a) Strategic Rocket Force b) Strategic Rocket Force Command of KPA c) Strategic Force d) Strategic Forces F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 2 Jun. 2017 Other information: The Strategic Rocket Force of the Korean People's Army is in charge of all DPRK ballistic missile programmes and is responsible for SCUD and NODONG launches. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

vii. at page 17, 8th paragraph under the rubric KPe. 048 Name: KOREAN NATIONAL INSURANCE COMPANY (KNIC) also known as KOREA FOREIGN INSURANCE COMPANY A.k.a.: Korea Foreign Insurance Company F.k.a.: na Address: Central District, Pyongyang, Democratic People's Republic of Korea Listed on: 5 Aug. 2017 Other information: The Korean National Insurance Company is a DPRK financial and insurance company and is affiliated with Office 39. This Defendant was first listed by the United Nations Security Council on August 5, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

viii. at page 17, 9th paragraph under the rubric KPe. 049 Name: KORYO CREDIT DEVELOPMENT BANK also known as Koryo Global Credit also known as Koryo Global Trust Bank, more particularly described therein as A.k.a.: a) Daesong Credit Development Bank b) Koryo Global Credit Bank c) Koryo Global Trust Bank F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 5 Aug. 2017 Other information: Koryo Credit Development Bank operates in the financial services industry in the DPRK's economy. This Defendant was first listed by the United Nations Security Council on August 5, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

ix. at page 18, 1st paragraph under the rubric KPe. 050 Name: MANSUDA E OVERSEAS PROJECT GROUP OF COMPANIES also known as Mansudae Art Studio, more particularly described therein as A.k.a.: Mansudae Art Studio F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 5 Aug. 2017 Other information: Mansudae Overseas Project Group of Companies engaged in, facilitated, or was responsible for the exportation of workers from the DPRK to other nations for construction-related activities including for statues and monuments to generate revenue for the Government of the DPRK or the Workers' Party of Korea. The Mansudae Overseas Project Group of Companies has been reported to conduct business in countries in Africa and Southeast Asia including Algeria, Angola, Botswana, Benin, Cambodia, Chad, the Democratic Republic of the Congo, Equatorial Guinea, Malaysia, Mozambique, Madagascar, Namibia, Syria, Togo, and Zimbabwe. This Defendant was first listed by the United Nations Security Council on August 5, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

x. at page 18, 2nd paragraph under the rubric KPe. 051 Name: CENTRAL MILITARY COMMISSION OF THE WORKERS' PARTY OF KOREA (CMC), more particularly described therein as A.k.a.: na F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 11 Sep. 2017 Other information: The Central Military Commission is responsible for the development and implementation of the Workers' Party of Korea's military policies, commands and controls the DPRK's military, and directs the country's military defense industries in coordination with the State Affairs Commission. This Defendant was first listed by the United Nations Security Council on September 11, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

xi. at page 18, 3rd paragraph under the rubric KPe. 052 Name: ORGANIZATION AND GUIDANCE DEPARTMENT (OGD), more particularly described therein as A.k.a.: na F.k.a.: na Address: Democratic People's Republic of Korea Listed on: 11 Sep. 2017 Other information: The Organization and Guidance Department is a very powerful body of the Workers' Party of Korea. It directs key personnel appointments for the Workers' Party of Korea, the DPRK's military, and the DPRK's government administration. It also purports to control the political affairs of all of the DPRK and is instrumental in implementing the DPRK's censorship policies. This Defendant was first listed by the United Nations Security Council on September 11, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

xii. at page 18, 4th paragraph under the rubric KPe. 053 Name: PROPAGANDA AND AGITATION DEPARTMENT (PAD), more particularly described therein as A.k.a.: na F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 11 Sep. 2017 Other information: The Propaganda and Agitation Department has full control over the media, which it uses as a tool to control the public on behalf of the DPRK leadership. The Propaganda and Agitation Department also engages in or is responsible for censorship by the Government of the DPRK, including newspaper and broadcast censorship. This Defendant was first listed by the United Nations Security Council on September 11, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;



Government of the Republic of Trinidad and Tobago
MINISTRY OF THE ATTORNEY GENERAL AND LEGAL AFFAIRS

xiii. at page 18, 5th paragraph under the rubric KPe. 054 Name: MINISTRY OF THE PEOPLE'S ARMED FORCES (MPAF), more particularly described therein as A.k.a.: na F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 22 Dec. 2017 Other information: The Ministry of the People's Armed Forces manages the general administrative and logistical needs of the Korean People's Army. This Defendant was first listed by the United Nations Security Council on March 2, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

xiv. at page 20, 1st paragraph under the rubric KPe. 067 Name: KOTI CORP, more particularly described therein as A.k.a.: na F.k.a.: na Address: Panama City, Panama Listed on: 30 Mar. 2018 Other information: Ship manager and commercial manager of the Panama-flagged vessel KOTI, which conducted ship-to-ship transfers of likely petroleum product to the DPRK-flagged KUM UN SAN 3 on 9 December 2017. This Defendant was first listed by the United Nations Security Council on March 2, 2016 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

is a listed entity for the purposes of the DPRK Order.

IT IS FURTHER ORDERED that:

1. this matter be deemed fit for hearing as a matter of urgency;
2. pursuant to Clause 4(1)(a) of the DPRK Order that, subject to Clause 4(3) of the DPRK Order, the property including:
 - (a) funds or assets of any kind, whether tangible or intangible, moveable or immovable, however acquired;
 - (b) legal documents or instruments in any form, including electronic or digital, evidencing title to, or interest in, such assets, including but not limited to-
 - i) bank credits;
 - ii) payment cards;
 - iii) payment instruments;
 - iv) travellers' cheques;
 - v) bank cheques;
 - vi) money orders;
 - vii) shares;
 - viii) securities;
 - ix) bonds;
 - x) drafts; or
 - xi) letters of credit;
 - (c) precious stones, including diamonds, rubies, sapphires, or emeralds, whether in a treated or untreated state;
 - (d) precious metals, including gold, silver or platinum, whether in a manufactured or unmanufactured state;
 - (e) oil and other natural resources, and their refined products, modular refineries and related material; and
 - (f) other economic resources which may be used to obtain property, goods or services,

and including a legal or equitable interest, whether full or partial, in any such property that is

- i) owned or controlled by each Defendant;
- ii) wholly or jointly owned or controlled, directly or indirectly, by each Defendant; and
- iii) derived or generated from funds or other assets owned or controlled directly or indirectly by each Defendant,

be frozen as and when they arise for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

3. pursuant to Clause 4(1)(b) of the DPRK Order, subject to Clause 4(3) of the DPRK Order, each Defendant is prohibited from possessing, controlling or having access to any property, whether owned or controlled, directly or indirectly by:

- i) the Worker's Party of Korea; or
- ii) an individual or entity owned or controlled by the Government of the DPRK or the Worker's Party of Korea,

which is associated with the nuclear or ballistic missile programme of the DPRK, as and when they arise, and including a legal or equitable interest, whether full or partial, in any such property for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

4. pursuant to Clause 4(4)(b) of the DPRK Order, the Registrar of the Supreme Court do serve an Office Copy of the Order on the Financial Intelligence Unit immediately in accordance with the Civil Proceedings Rules 1998 (as amended);

5. an Order that pursuant to Clause 4(7) of the DPRK Order, the Attorney General shall within seven (7) days after the date of the Order, cause a copy of the Order and a statement that the matter will be reviewed every six (6) months, to be published in the Trinidad and Tobago Gazette and in two (2) daily newspapers of general circulation in Trinidad and Tobago;

6. costs to be costs in the cause; and

7. liberty to apply.

Assistant Registrar
Supreme Court

Notice is hereby given that the matter which is the subject of the aforementioned Order will be reviewed by the Honourable Attorney General every six (6) months in accordance with Clause 4(8) of the Economic

Sanctions (Implementation Of United Nations Resolutions On The Democratic People's Republic Of Korea) Order, 2018.

THE REPUBLIC OF TRINIDAD AND TOBAGO

IN THE HIGH COURT OF JUSTICE

Claim No. CV2019-00346

IN THE MATTER OF THE ECONOMIC SANCTIONS ACT, CHAPTER 81:05

AND

IN THE MATTER OF THE ECONOMIC SANCTIONS (IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

AND

IN THE MATTER OF AN APPLICATION BY THE ATTORNEY GENERAL OF TRINIDAD AND TOBAGO FOR A PROHIBITORY ORDER UNDER CLAUSE 4 OF THE ECONOMIC SANCTIONS (IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

BETWEEN

THE ATTORNEY GENERAL OF TRINIDAD AND TOBAGO

Claimant

AND

1. CHOE also known as HWI;
2. JO also known as YONG-WON also known as Cho Yongwon;
3. KIM also known as CHOL NAM;
4. KIM also known as KYONG OK also known as Kim Kyong Ok;
5. KIM also known as TONG-HO;
6. MIN also known as BYONG CHOL also known as Min Pyo'ng-ch'o'l also known as Min Byong-chol also known as Min Byong Chun;
7. PAK also known as HAN SE also known as Kang Myong Chol;
8. KIM also known as JONG SIK also known as Kim Cho'ng-sik;
9. KIM also known as KYONG IL also known as Kim Kyo'ng-il;
10. KU also known as JA HYONG also known as Ku Cha-hyo'ng;
11. RI also known as PYONG CHUL also known as Ri Pyo'ng-ch'o'l

Defendants

ORDER

Before the Honourable Mr. Justice Kevin Ramcharan
Dated the 25th day of January 2019

UPON READING the Fixed Date Claim Form filed on January 25, 2019 and the affidavit of [redacted] sworn and filed on January 25, 2019 together with the exhibits attached thereto;

AND UPON this Application being determined without a hearing;

IT IS ORDERED THAT personal service of the Order on the Defendants be dispensed with.

IT IS HEREBY DECLARED that in accordance with Clause 2 of the Economic Sanctions (Implementation of United Nations Resolutions on The Democratic People's Republic of Korea) Order, 2018 ("the DPRK Order"), each of the Defendants, who is listed on the United Nations Security Council Sanctions List established and maintained pursuant to United Nations Security Council Resolution 1718 (2006) Concerning the Democratic People's Republic of Korea dated January 24, 2019,

a. at page 6, 4th paragraph under the rubric KPi. 042 Name: CHOE also known as HWI, more particularly described therein as Title: na Designation: First Vice Director of the Workers' Party of Korea Propaganda and Agitation Department, which controls all DPRK media and is used by the government to control the public DOB: a) 1954 b) 1955 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: Democratic People's Republic of Korea Listed on: 2 Jun. 2017 Other information: Gender: male. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

b. at page 6, 5th paragraph under the rubric KPi. 043 Name: JO also known as YONG-WON also known as Cho Yongwon, more particularly described therein as Title: na Designation: Vice Director of the Worker's Party of Korea's Organization and Guidance Department, which directs key personnel appointments for the Workers' Party of Korea and the DPRK's military DOB: 24 Oct. 1957 POB: na Good quality a.k.a.: Cho Yongwon Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: Democratic People's Republic of Korea Listed on: 2 Jun. 2017 Other information: Gender: male. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

c. at page 6, 6th paragraph under the rubric KPi. 044 Name: KIM also known as CHOL NAM, more particularly described therein as Title: na Designation: Director of Korea Kumsan Trading Corporation, a company that procures supplies for General Bureau of Atomic Energy and serves as a cash router to the DPRK DOB: 19 Feb. 1970 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 563120238 National identification no: na Address: Democratic People's

Republic of Korea Listed on: 2 Jun. 2017. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

d. at page 6, 7th paragraph under the rubric KPi. 045 Name: KIM also known as KYONG OK also known as Kim Kyong Ok, more particularly described therein as Title: na Designation: Vice Director of the Organization and Guidance Department, which directs key personnel appointments for the Workers' Party of Korea and the DPRK's military DOB: a) 1937 b) 1938 POB: na Good quality a.k.a.: Kim Kyong Ok Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 2 Jun. 2017. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

e. at page 6, 8th paragraph under the rubric KPi. 046 Name: KIM also known as TONG-HO, more particularly described therein as Title: na Designation: Vietnam Representative for Tanchon Commercial Bank, which is the main DPRK financial entity for weapons and missile-related sales DOB: 18 Aug. 1969 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 745310111 National identification no: na Address: Viet Nam Listed on: 2 Jun. 2017 Other information: Gender: male. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

f. at page 7, 1st paragraph under the rubric KPi. 047 Name: MIN also known as BYONG CHOL also known as Min Pyo'ng-ch'o'l also known as Min Byong-chol also known as Min Byong Chun, more particularly described therein as Title: na Designation: Member of the Worker's Party of Korea's Organization and Guidance Department, which directs key personnel appointments for the Workers' Party of Korea and the DPRK's military DOB: 10 Aug. 1948 POB: na Good quality a.k.a.: a) Min Pyo'ng-ch'o'l b) Min Byong-chol c) Min Byong Chun Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: Democratic People's Republic of Korea Listed on: 2 Jun. 2017 Other information: Gender: male. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

g. at page 7, 3rd paragraph under the rubric KPi. 049 Name: PAK also known as HAN SE also known as Kang Myong Chol, more particularly described therein as Title: na Designation: Vice Chairman of the Second Economic Committee, which oversees the production of the DPRK's ballistic missiles DOB: na POB: na Good quality a.k.a.: Kang Myong Chol Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 290410121 National identification no: na Address: Democratic People's Republic of Korea Listed on: 2 Jun. 2017 Other information: Directs the activities of Korea Mining Development Corporation, the DPRK's premier arms dealer and main exporter of goods and equipment related to ballistic missiles and conventional weapons. This Defendant was first listed by the United Nations Security Council on June 2, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

h. at page 9, 4th paragraph under the rubric KPi. 066 Name: KIM also known as JONG SIK also known as Kim Cho'ng-sik, more particularly described therein as Title: na Designation: A leading official guiding the DPRK's WMD development efforts. Serving as Deputy Director of the Workers' Party of Korea Munitions Industry Department DOB: Between 1967 and 1969 POB: na Good quality a.k.a.: Kim Cho'ng-sik Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: Democratic People's Republic of Korea Listed on: 22 Dec. 2017 Other information: Gender: male. This Defendant was first listed by the United Nations Security Council on December 22, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

i. at page 9, 5th paragraph under the rubric KPi. 067 Name: KIM also known as KYONG IL also known as Kim Kyo'ng-il, more particularly described therein as Title: na Designation: Kim Kyong Il is a Foreign Trade Bank deputy chief representative in Libya DOB: 8 Sep. 1957 POB: na Good quality a.k.a.: Kim Kyo'ng-il Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 836210029 National identification no: na Address: Libya Listed on: 22 Dec. 2017 Other information: Location Libya. Gender: male. This Defendant was first listed by the United Nations Security Council on December 22, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

j. at page 9, 8th paragraph under the rubric KPi. 070 Name: KU also known as JA HYONG also known as Ku Cha-hyo'ng, more particularly described therein as Title: na Designation: Ku Ja Hyong is a Foreign Trade Bank chief representative in Libya DOB: 8 Sep. 1957 POB: na Good quality a.k.a.: Ku Cha-hyo'ng Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: Libya Listed on: 22 Dec. 2017 Other information: Location Libya. Gender: male. This Defendant was first listed by the United Nations Security Council on December 22, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

k. at page 10, 6th paragraph under the rubric KPi. 076 Name: RI also known as PYONG CHUL also known as Ri Pyo'ng-ch'o'l, more particularly described therein as Title: na Designation: Alternate Member of the Political Bureau of the Workers' Party of Korea and First Vice Director of the Munitions Industry Department DOB: 1948 POB: na Good quality a.k.a.: Ri Pyo'ng-ch'o'l Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: Democratic People's Republic of Korea Listed on: 22 Dec. 2017 Other

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Government of the Republic of Trinidad and Tobago
MINISTRY OF THE ATTORNEY GENERAL AND LEGAL AFFAIRS

information: Gender: male. This Defendant was first listed by the United Nations Security Council on December 22, 2017 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity,

is a listed entity for the purposes of the DPRK Order.

IT IS FURTHER ORDERED that:

1. this matter be deemed fit for hearing as a matter of urgency;
2. pursuant to Clause 4(1)(a) of the DPRK Order that, subject to Clause 4(3) of the DPRK Order, the property including:
 - (a) funds or assets of any kind, whether tangible or intangible, moveable or immovable, however acquired;
 - (b) legal documents or instruments in any form, including electronic or digital, evidencing title to, or interest in, such assets, including but not limited to—
 - i) bank credits;
 - ii) payment cards;
 - iii) payment instruments;
 - iv) travellers' cheques;
 - v) bank cheques;
 - vi) money orders;
 - vii) shares;
 - viii) securities;
 - ix) bonds;
 - x) drafts; or
 - xi) letters of credit;
 - (c) precious stones, including diamonds, rubies, sapphires, or emeralds, whether in a treated or untreated state;
 - (d) precious metals, including gold, silver or platinum, whether in a manufactured or unmanufactured state;
 - (e) oil and other natural resources, and their refined products, modular refineries and related material; and
 - (f) other economic resources which may be used to obtain property, goods or services,

and including a legal or equitable interest, whether full or partial, in any such property that is

- i) owned or controlled by each Defendant;
- ii) wholly or jointly owned or controlled, directly or indirectly, by each Defendant; and
- iii) derived or generated from funds or other assets owned or controlled directly or indirectly by each Defendant,

be frozen as and when they arise for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

3. pursuant to Clause 4(1)(b) of the DPRK Order, subject to Clause 4(3) of the DPRK Order, each Defendant is prohibited from possessing, controlling or having access to any property, whether owned or controlled, directly or indirectly by:
 - i) the Worker's Party of Korea; or
 - ii) an individual or entity owned or controlled by the Government of the DPRK or the Worker's Party of Korea,

which is associated with the nuclear or ballistic missile programme of the DPRK, as and when they arise, and including a legal or equitable interest, whether full or partial, in any such property for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

4. pursuant to Clause 4(4)(b) of the DPRK Order, the Registrar of the Supreme Court do serve an Office Copy of the Order on the Financial Intelligence Unit immediately in accordance with the Civil Proceedings Rules 1998 (as amended);
5. an Order that pursuant to Clause 4(7) of the DPRK Order, the Attorney General shall within seven (7) days after the date of the Order, cause a copy of the Order and a statement that the matter will be reviewed every six (6) months, to be published in the Trinidad and Tobago Gazette and in two (2) daily newspapers of general circulation in Trinidad and Tobago;

6. costs to be costs in the cause; and

7. liberty to apply.

Assistant Registrar
Supreme Court

Notice is hereby given that the matter which is the subject of the aforementioned Order will be reviewed by the Honourable Attorney General every six (6) months in accordance with Clause 4(8) of the Economic Sanctions (Implementation of United Nations Resolutions On The Democratic People's Republic Of Korea) Order, 2018.

THE REPUBLIC OF TRINIDAD AND TOBAGO

IN THE HIGH COURT OF JUSTICE

Claim No. CV2019-00347

IN THE MATTER OF THE ECONOMIC SANCTIONS ACT, CHAPTER 81:05

AND

IN THE MATTER OF THE ECONOMIC SANCTIONS
(IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE
DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

AND

IN THE MATTER OF AN APPLICATION BY THE ATTORNEY
GENERAL OF TRINIDAD AND TOBAGO FOR A PROHIBITORY
ORDER UNDER CLAUSE 4 OF THE ECONOMIC SANCTIONS
(IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE
DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

BETWEEN

THE ATTORNEY GENERAL OF TRINIDAD AND TOBAGO

Claimant

AND

1. HAN also known as JANG SU also known as CHANG-SU HAN;
2. JANG also known as SONG CHOL;
3. JANG also known as SUNG NAM;
4. JO also known as CHOL SONG also known as CHO CH'OL-SO'NG;
5. KANG also known as CHOL SU;
6. KIM also known as MUN CHOL also known as KIM MUN-CH'OL;
7. KIM also known as NAM UNG;
8. PAK also known as II KYU also known as PAK II-GYU;
9. PAK also known as YONG SIK;
10. CHOE also known as SO'K MIN;
11. CHU also known as HYO'K also known as JU HYOK;
12. KIM also known as TONG CHOL also known as KIM TONG-CH'OL;
13. KO also known as CHOL MAN also known as KO CH'OL-MAN;
14. MUN also known as KYONG HWAN also known as MUN KYONG-HWAN;
15. PAE also known as WON UK also known as PAE WON-UK;
16. PAK also known as BONG NAM also known as LUI WAI MING also known as PAK PONG NAM also known as PAK PONG-NAM;
17. RI also known as CHUN HWAN also known as RI CHUN-HWAN;
18. RI also known as CHUN SONG also known as RI CHUN-SO'NG;
19. RI also known as SONG HYOK also known as LI CHENG HE;
20. RI also known as U'N SO'NG also known as RI EUN SONG also known as RI UN SONG;
21. PAK also known as MUN IL also known as PAK MUN-IL;
22. TSANG also known as YUNG YUAN also known as NEIL TSANG also known as YUN YUAN TSANG

Defendants

ORDER

Before the Honourable Mr. Justice Kevin Ramcharan
Dated the 25th day of January 2019

UPON READING the Filled Date Claim Form filed on January 25, 2019 and the affidavit of [REDACTED] sworn and filed on January 25, 2019 together with the exhibits attached thereto;

AND UPON this Application being determined without a hearing;

IT IS ORDERED THAT personal service of the Order on the Defendants be dispensed with.

IT IS HEREBY DECLARED that in accordance with Clause 2 of the Economic Sanctions (Implementation of United Nations Resolutions on The Democratic People's Republic of Korea) Order, 2018 ("the DPRK Order"), each of the Defendants, who is listed on the United Nations Security Council Sanctions List established and maintained pursuant to United Nations Security Council Resolution 1718 (2006) Concerning the Democratic People's Republic of Korea dated January 24, 2019,

i. at page 8, 1st paragraph under the rubric KPI. 055 Name: HAN also known as JANG SU also known as CHANG-SU HAN, more particularly described therein as Title: na Designation: Chief Representative of the Foreign Trade Bank DOB: 8 Nov. 1969 POB: Pyongyang, Democratic People's Republic of Korea Good quality a.k.a.: Chang-Su Han Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 745420176 (expires on October 19, 2020) National identification no: na Address: na Listed on: 5 Aug. 2017 Other information: Gender: male;

ii. at page 8, 2nd paragraph under the rubric KPI. 056 Name: JANG also known as SONG CHOL, more particularly described therein as Title: na Designation: Jang Song Chol is a Korea Mining Development Corporation (KOMID) representative overseas DOB: 12 Mar. 1967 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 5 Aug. 2017 Other information;

iii. at page 8, 3rd paragraph under the rubric KPI. 057 Name: JANG also known as SUNG NAM, more particularly described therein as Title: na Designation: Chief of an overseas Tangun Trading Corporation branch DOB: 14 Jul. 1970 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 563120368, issued on 22 Mar. 2013 (Passport expiration date: March 22, 2018) National identification no: na Address: na Listed on: 5 Aug. 2017 Other information: Chief of an overseas Tangun Trading Corporation branch, which is primarily responsible for the procurement of commodities and technologies to support the DPRK's defense research and development programs. Gender: male;

iv. at page 8, 4th paragraph under the rubric KPI. 058 Name: JO also known as CHOL SONG also known as CHO CH'OL-SO'NG, more particularly described therein as Title: na Designation: Deputy Representative for the Korea Kwangson Banking Corporation DOB: 25 Sep. 1984 POB: na Good quality a.k.a.: Cho Ch'ol-so'ng Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 64320502 (expires on September 16, 2019) National identification no: na Address: na Listed on: 5

Aug. 2017 Other information: Deputy Representative for the Korea Kwangson Banking Corporation, which provides financial services in support to Tanchon Commercial Bank and Korea Hyoksin Trading, a subordinate entity of Korea Ryonbong General Corporation. Gender: male;

v. at page 8, 5th paragraph under the rubric KPI. 059 Name: KANG also known as CHOL SU, more particularly described therein as Title: na Designation: Official for Korea Ryonbong General Corporation DOB: 13 Feb. 1969 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 472234895 National identification no: na Address: na Listed on: 5 Aug. 2017 Other information: Official for Korea Ryonbong General Corporation, which specializes in acquisition for the DPRK's defense industries and support for the DPRK's military-related overseas sales. Its procurements also likely support the DPRK's chemical weapons program;

vi. at page 8, 6th paragraph under the rubric KPI. 060 Name: KIM also known as MUN CHOL also known as KIM MUN-CH'OL, more particularly described therein as Title: na Designation: Representative for Korea United Development Bank DOB: 25 Mar. 1957 POB: na Good quality a.k.a.: Kim Mun-ch'ol Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 5 Aug. 2017 Other information;

vii. at page 8, 7th paragraph under the rubric KPI. 061 Name: KIM also known as NAM UNG, more particularly described therein as Title: na Designation: Representative for Ilism International Bank DOB: na POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na Address: na Listed on: 5 Aug. 2017 Other information: Representative for Ilism International Bank, which is affiliated with the DPRK military and has a close relationship with the Korea Kwangson Banking Corporation. Ilism International Bank has attempted to evade United Nations sanctions;

viii. at page 8, 8th paragraph under the rubric KPI. 062 Name: PAK also known as II KYU also known as PAK II-GYU, more particularly described therein as Title: na Designation: Official for Korea Ryonbong General Corporation DOB: na POB: na Good quality a.k.a.: PAK II-Gyu Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 563120235 National identification no: na Address: na Listed on: 5 Aug. 2017 Other information: Official for Korea Ryonbong General Corporation, which specializes in acquisition for DPRK's defense industries and support to Pyongyang's military-related sales. Its procurements also likely support the DPRK's chemical weapons program. Gender: male;

ix. at page 9, 1st paragraph under the rubric KPI. 063 Name: PAK also known as YONG SIK, more particularly described therein as Title: na Designation: Member of the Workers' Party of Korea Central Military Commission DOB: 1950 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 11 Sep. 2017 Other information: Pak Yong Sik is a member of the Workers' Party of Korea Central Military Commission, which is responsible for the development and implementation of the Workers' Party of Korea military policies, commands and controls the DPRK's military, and helps direct the country's military defense industries;

x. at page 9, 2nd paragraph under the rubric KPI. 064 Name: CHOE also known as SO'K MIN, more particularly described therein as Title: na Designation: Member of the Workers' Party of Korea Central Military Commission DOB: 25 Jul. 1978 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 22 Dec. 2017 Other information: In 2016, Ch'oe So'k-min was the deputy representative at the Foreign Trade Bank branch office in that overseas location. He has been associated with cash transfers from that overseas Foreign Trade Bank office to banks affiliated with North Korean special organizations and Reconnaissance General Bureau operatives located overseas in an effort to evade sanctions. Gender: male. In response to the DPRK test of an ICBM on 28 November 2017;

xi. at page 9, 3rd paragraph under the rubric KPI. 065 Name: CHU also known as HYO'K also known as JU HYOK, more particularly described therein as Title: na Designation: Chu Hyo'k is a North Korean national who is an overseas Foreign Trade Bank representative DOB: 23 Nov. 1986 POB: na Good quality a.k.a.: Ju Hyok Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 836420186, issued on 28 Oct. 2016 (Expires 28 October 2021) National identification no: na Address: na Listed on: 22 Dec. 2017 Other information: Gender: male;

xii. at page 9, 6th paragraph under the rubric KPI. 068 Name: KIM also known as TONG CHOL also known as KIM TONG-CH'OL, more particularly described therein as Title: na Designation: Kim Tong Chol is an overseas Foreign Trade Bank representative DOB: 28 Jan. 1966 POB: na Good quality a.k.a.: Kim Tong-ch'ol Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 22 Dec. 2017 Other information: Gender: male;

xiii. at page 9, 7th paragraph under the rubric KPI. 069 Name: KO also known as CHOL MAN also known as KO CH'OL-MAN, more particularly described therein as Title: na Designation: Ko Chol Man is an overseas Foreign Trade Bank representative DOB: 30 Sep. 1967 POB: na Good quality a.k.a.: Ko Ch'ol-man Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 472420180 National identification no: na Address: na Listed on: 22 Dec. 2017 Other information: Gender: male;

xiv. at page 10, 1st paragraph under the rubric KPI. 071 Name: MUN also known as KYONG HWAN also known as MUN KYONG-HWAN, more particularly described therein as Title: na Designation: Mun Kyong Hwan is an overseas Bank of East Land representative DOB: 22 Aug. 1967 POB: na Good quality a.k.a.: Mun Kyong-hwan Low quality a.k.a.: na Nationality:

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Democratic People's Republic of Korea Passport no: 381120660 (Expires 25 March 2016) National identification no: na Address: na Listed on: 22 Dec. 2017 Other information: Gender: male;

xv. at page 10, 2nd paragraph under the rubric KPI. 072 Name: PAE also known as WON UK also known as PAE WON-UK, more particularly described therein as Title: na Designation: Pae Won Uk is an overseas Daesong Bank representative DOB: 22 Aug. 1969 POB: na Good quality a.k.a.: Pae Won-uk Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 472120208 (Expires 22 Feb 2017) National identification no: na Address: na Listed on: 22 Dec. 2017 Other information: Gender: male;

xvi. at page 10, 3rd paragraph under the rubric KPI. 073 Name: PAK also known as BONG NAM also known as LUI WAI MING also known PAK PONG NAM as also known as PAK PONG-NAM, more particularly described therein as Title: na Designation: Pak Bong Nam is an overseas ILSIM International Bank representative DOB: 6 May 1969 POB: na Good quality a.k.a.: a) Lui Wai Ming b) Pak Pong Nam c) Pak Pong-nam Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 22 Dec. 2017 Other information: Gender: male;

xvii. at page 10, 4th paragraph under the rubric KPI. 074 Name: RI also known as CHUN HWAN also known as RI CHUN-HWAN, more particularly described therein as Title: na Designation: Ri Chun Hwan is an overseas Foreign Trade Bank representative DOB: 21 Aug. 1957 POB: na Good quality a.k.a.: Ri Ch'un-hwan Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 563233049 (Expires 09 May 2018) National identification no: na Address: na Listed on: 22 Dec. 2017 Other information: Gender: male;

xviii. at page 10, 5th paragraph under the rubric KPI. 075 Name: RI also known as CHUN SONG also known as RI CHUN-SONG, more particularly described therein as Title: na Designation: Ri Chun Song is an overseas Foreign Trade Bank representative DOB: 30 Oct. 1965 POB: na Good quality a.k.a.: Ri Ch'un-so'ng Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 654133553 (Expires 11 March 2019) National identification no: na Address: na Listed on: 22 Dec. 2017 Other information: Gender: male;

xix. at page 10, 7th paragraph under the rubric KPI. 077 Name: RI also known as SONG HYOK also known as LI CHENG HE, more particularly described therein as Title: na Designation: Ri Song Hyok is an overseas representative for Koryo Bank and Koryo Credit Development Bank DOB: 19 Mar. 1965 POB: na Good quality a.k.a.: Li Cheng He Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 22 Dec. 2017 Other information: Ri Song Hyok has reportedly established front companies to procure items and conduct financial transactions on behalf of North Korea. Gender: male;

xx. at page 10, 8th paragraph under the rubric KPI. 078 Name: RI also known as U'N SO'NG also known as RI EUN SONG also known as RI UN SONG, more particularly described therein as Title: na Designation: Ri U'n-so'ng is an overseas Korea Unification Development Bank representative DOB: 23 Jul. 1969 POB: na Good quality a.k.a.: a) Ri Eun Song b) Ri Un Song Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 22 Dec. 2017 Other information: Gender: male;

xxi. at page 10, 9th paragraph under the rubric KPI. 079 Name: PAK also known as MUN IL also known as PAK MUN-IL, more particularly described therein as Title: na Designation: Pak Mun Il is an overseas official of Korea Daesong Bank DOB: 1 Jan. 1965 POB: na Good quality a.k.a.: Pak Mun-il Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 563335509 (Expires 27 August 2018) National identification no: na Address: na Listed on: 22 Dec. 2017 Other information: Gender: male; and

xxii. at page 11, 1st paragraph under the rubric KPI. 080 Name: TSANG also known as YUNG YUAN also known as NEIL TSANG also known as YUN YUAN TSANG, more particularly described therein as Title: na Designation: na DOB: 20 Oct. 1957 POB: na Good quality a.k.a.: a) Neil Tsang b) Yun Yuan Tsang Low quality a.k.a.: na Nationality: na Passport no: 302001581 National identification no: na Address: na Listed on: 30 Mar. 2018 Other information: Tsang Yung Yuan has coordinated DPRK coal exports with a DPRK broker operating in a third country, and he has a history of other sanctions evasion activities,

is a listed entity for the purposes of the DPRK Order.

IT IS FURTHER ORDERED that:

1. this matter be deemed fit for hearing as a matter of urgency;
2. pursuant to Clause 4(1)(a) of the DPRK Order that, subject to Clause 4(3) of the DPRK Order, the property including:
 - (a) funds or assets of any kind, whether tangible or intangible, moveable or immovable, however acquired;
 - (b) legal documents or instruments in any form, including electronic or digital, evidencing title to, or interest in, such assets, including but not limited to-
 - i) bank credits;
 - ii) payment cards;
 - iii) payment instruments;
 - iv) travellers' cheques;
 - v) bank cheques;
 - vi) money orders;
 - vii) shares;
 - viii) securities;
 - ix) bonds;
 - x) drafts; or

- xi) letters of credit;
- (c) precious stones, including diamonds, rubies, sapphires, or emeralds, whether in a treated or untreated state;
- (d) precious metals, including gold, silver or platinum, whether in a manufactured or unmanufactured state;
- (e) oil and other natural resources, and their refined products, modular refineries and related material; and
- (f) other economic resources which may be used to obtain property, goods or services,

and including a legal or equitable interest, whether full or partial, in any such property that is

- i) owned or controlled by each Defendant;
- ii) wholly or jointly owned or controlled, directly or indirectly, by each Defendant; and
- iii) derived or generated from funds or other assets owned or controlled directly or indirectly by each Defendant,

be frozen as and when they arise for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court,

3. pursuant to Clause 4(1)(b) of the DPRK Order, subject to Clause 4(3) of the DPRK Order, each Defendant is prohibited from possessing, controlling or having access to any property, whether owned or controlled, directly or indirectly by:

- i) the Worker's Party of Korea; or
- ii) an individual or entity owned or controlled by the Government of the DPRK or the Worker's Party of Korea,

which is associated with the nuclear or ballistic missile programme of the DPRK, as and when they arise, and including a legal or equitable interest, whether full or partial, in any such property for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

4. pursuant to Clause 4(4)(b) of the DPRK Order, the Registrar of the Supreme Court do serve an Office Copy of the Order on the Financial Intelligence Unit immediately in accordance with the Civil Proceedings Rules 1998 (as amended);

5. an Order that pursuant to Clause 4(7) of the DPRK Order, the Attorney General shall within seven (7) days after the date of the Order, cause a copy of the Order and a statement that the matter will be reviewed every six (6) months, to be published in the Trinidad and Tobago Gazette and in two (2) daily newspapers of general circulation in Trinidad and Tobago;

6. costs to be costs in the cause; and

7. liberty to apply.

.....
Assistant Registrar
Supreme Court

Notice is hereby given that the matter which is the subject of the aforementioned Order will be reviewed by the Honourable Attorney General every six (6) months in accordance with Clause 4(8) of the Economic Sanctions (Implementation of United Nations Resolutions On The Democratic People's Republic Of Korea) Order, 2018.

THE REPUBLIC OF TRINIDAD AND TOBAGO

IN THE HIGH COURT OF JUSTICE

Claim No. CV2019-00348

IN THE MATTER OF THE ECONOMIC SANCTIONS ACT, CHAPTER 81:05

AND

IN THE MATTER OF THE ECONOMIC SANCTIONS (IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

AND

IN THE MATTER OF AN APPLICATION BY THE ATTORNEY GENERAL OF TRINIDAD AND TOBAGO FOR A PROHIBITORY ORDER UNDER CLAUSE 4 OF THE ECONOMIC SANCTIONS (IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

BETWEEN

THE ATTORNEY GENERAL OF TRINIDAD AND TOBAGO

Claimant

AND

1. KOREA MINING DEVELOPMENT TRADING CORPORATION also known as CHANGGWANG SINYONG CORPORATION also known as EXTERNAL TECHNOLOGY GENERAL CORPORATION also known as DPRKN MINING DEVELOPMENT TRADING COOPERATION also known as "KOMID";
2. HONG KONG ELECTRONICS also known as HONG KONG ELECTRONICS KISH CO.;

3. KOREA HYOKSIN TRADING CORPORATION also known as KOREA HYOKSIN EXPORT AND IMPORT CORPORATION;

4. GENERAL BUREAU OF ATOMIC ENERGY (GBAE) also known as GENERAL DEPARTMENT OF ATOMIC ENERGY (GDAE);

5. KOREAN TANGUN TRADING CORPORATION;

6. AMROGGANG DEVELOPMENT BANKING CORPORATION also known as AMROGGANG DEVELOPMENT BANK also known as AMNOKKANG DEVELOPMENT BANK;

7. KOREA HEUNGJIN TRADING COMPANY also known as HUNJIN TRADING CO. also known as KOREA HENJIN TRADING CO. also known as KOREA HENGJIN TRADING COMPANY;

8. KOREAN COMMITTEE FOR SPACE TECHNOLOGY also known as DPRK COMMITTEE FOR SPACE TECHNOLOGY also known as DEPARTMENT OF SPACE TECHNOLOGY OF THE DPRK also known as COMMITTEE FOR SPACE TECHNOLOGY also known as KCST;

9. TOSONG TECHNOLOGY TRADING CORPORATION;

10. SECOND ACADEMY OF NATURAL SCIENCES also known as 2ND ACADEMY OF NATURAL SCIENCES also known as CHE 2 CHAYON KWAHAKWON also known as ACADEMY OF NATURAL SCIENCES also known as CHAYON KWAHAK-WON also known as NATIONAL DEFENSE ACADEMY also known as KUKPANG KWAHAKWON also known as SECOND ACADEMY OF NATURAL SCIENCES RESEARCH INSTITUTE also known as SANSRI;

11. KOREA COMPLEX EQUIPMENT IMPORT CORPORATION;

12. ACADEMY OF NATIONAL DEFENSE SCIENCE;

13. HESONG TRADING COMPANY;

14. MUNITIONS INDUSTRY DEPARTMENT also known as MILITARY SUPPLIES INDUSTRY DEPARTMENT also known as MID;

15. NATIONAL AEROSPACE DEVELOPMENT ADMINISTRATION also known as NADA;

16. OFFICE 39 also known as OFFICE #39 also known as OFFICE NO. 39 also known as BUREAU 39 also known as CENTRAL COMMITTEE BUREAU 39 also known as THIRD FLOOR also known as DIVISION 39;

17. SECOND ECONOMIC COMMITTEE;

18. KOREA UNITED DEVELOPMENT BANK;

19. ILSIM INTERNATIONAL BANK;

20. SINGWANG ECONOMICS AND TRADING GENERAL CORPORATION; Defendants

ORDER

Before the Honourable Mr. Justice Kevin Ramcharan
Dated the 25th day of January 2019

UPON READING the Fixed Date Claim Form filed on January 25, 2019 and the affidavit of [redacted] sworn and filed on January 25, 2019 together with the exhibits attached thereto;

AND UPON this Application being determined without a hearing;

IT IS ORDERED THAT personal service of the Order on the Defendants be dispensed with.

IT IS HEREBY DECLARED that in accordance with Clause 2 of the Economic Sanctions (Implementation of United Nations Resolutions on The Democratic People's Republic of Korea) Order, 2018 ("the DPRK Order"), each of the Defendants, who is listed on the United Nations Security Council Sanctions List established and maintained pursuant to United Nations Security Council Resolution 1718 (2006) Concerning the Democratic People's Republic of Korea dated January 24, 2019,

i. at page 11, 2nd paragraph under the rubric KPe. 001 Name: KOREA MINING DEVELOPMENT TRADING CORPORATION also known as CHANGGWANG SINYONG CORPORATION also known as EXTERNAL TECHNOLOGY GENERAL CORPORATION also known as DPRKN MINING DEVELOPMENT TRADING COOPERATION also known as "KOMID", more particularly described therein as A.k.a.: a) CHANGGWANG SINYONG CORPORATION b) EXTERNAL TECHNOLOGY GENERAL CORPORATION c) DPRKN MINING DEVELOPMENT TRADING COOPERATION d) "KOMID" F.k.a.: na Address: Central District, Pyongyang, Democratic People's Republic of Korea Listed on: 24 Apr. 2009 Other information: Primary arms dealer and main exporter of goods and equipment related to ballistic missiles and conventional weapons;

ii. at page 11, 6th paragraph under the rubric KPe. 005 Name: HONG KONG ELECTRONICS also known as HONG KONG ELECTRONICS KISH CO., more particularly described therein as A.k.a.: HONG KONG ELECTRONICS KISH CO. F.k.a.: na Address: Sanae Street, Kish Island, Iran Listed on: 16 Jul. 2009 Other information: Owned or controlled by, or acts or purports to act for or on behalf of Tanchon Commercial Bank and KOMID. Hong Kong Electronics has transferred millions of dollars of proliferation-related funds on behalf of Tanchon Commercial Bank and KOMID (both designated by the Committee in April 2009) since 2007. Hong Kong Electronics has facilitated the movement of money from Iran to the DPRK on behalf of KOMID;

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iii. at page 11, 7th paragraph under the rubric KPe. 006 Name: KOREA HYOKSIN TRADING CORPORATION also known as KOREA HYOKSIN EXPORT AND IMPORT CORPORATION, more particularly described therein as A.k.a.: KOREA HYOKSIN EXPORT AND IMPORT CORPORATION F.k.a.: na Address: Rakwon-dong, Pothonggang District, Pyongyang, Democratic People's Republic of Korea Listed on: 16 Jul. 2009 Other information: A DPRK company based in Pyongyang that is subordinate to Korea Ryonbong General Corporation (designated by the Committee in April 2009) and is involved in the development of WMD;

iv. at page 12, 1st paragraph under the rubric KPe. 007 Name: GENERAL BUREAU OF ATOMIC ENERGY (GBAE) also known as GENERAL DEPARTMENT OF ATOMIC ENERGY (GDAE), more particularly described therein as A.k.a.: General Department of Atomic Energy (GDAE) F.k.a.: na Address: Haeudong, Pyongchon District, Pyongyang, Democratic People's Republic of Korea Listed on: 16 Jul. 2009 Other information: The GBAE is responsible for the DPRK's nuclear program, which includes the Yongbyon Nuclear Research Center and its 5 MWe (25 MW) plutonium production research reactor, as well as its fuel fabrication and reprocessing facilities. The GBAE has held nuclear-related meetings and discussions with the International Atomic Energy Agency. GBAE is the primary DPRK government agency that oversees nuclear programs, including the operation of the Yongbyon Nuclear Research Center;

v. at page 12, 2nd paragraph under the rubric KPe. 008 Name: KOREAN TANGUN TRADING CORPORATION, more particularly described therein as A.k.a.: na F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 16 Jul. 2009 Other information: Korea Tangun Trading Corporation is subordinate to DPRK's Second Academy of Natural Sciences and is primarily responsible for the procurement of commodities and technologies to support DPRK's defense research and development programs, including, but not limited to, WMD and delivery system programs and procurement, including materials that are controlled or prohibited under relevant multilateral control regimes;

vi. at page 12, 3rd paragraph under the rubric KPe. 009 Name: AMROGGANG DEVELOPMENT BANKING CORPORATION also known as AMROGGANG DEVELOPMENT BANK also known as AMNOKKANG DEVELOPMENT BANK, more particularly described therein as A.k.a.: a) AMROGGANG Development Bank b) Amnokkang Development Bank F.k.a.: na Address: Tongdangong, Pyongyang, Democratic People's Republic of Korea Listed on: 2 May 2012 Other information: Amroggang, which was established in 2006, is a Tanchon Commercial Bank-related company managed by Tanchon officials. Tanchon plays a role in financing KOMID's sales of ballistic missiles and has also been involved in ballistic missile transactions from KOMID to Iran's Shahid Hemmat Industrial Group (SHIG). Tanchon Commercial Bank was designated by the Committee in April 2009 and is the main DPRK financial entity for sales of conventional arms, ballistic missiles, and goods related to the assembly and manufacture of such weapons. KOMID was designated by the Committee in April 2009 and is the DPRK's primary arms dealer and main exporter of goods and equipment related to ballistic missiles and conventional weapons. The Security Council designated SHIG in resolution 1737 (2006) as an entity involved in Iran's ballistic missile programme;

vii. at page 12, 5th paragraph under the rubric KPe. 011 Name: KOREA HEUNGJIN TRADING COMPANY also known as HUNJIN TRADING CO. also known as KOREA HENJIN TRADING CO. also known as KOREA HENGJIN TRADING COMPANY, more particularly described therein as A.k.a.: a) Hunjin Trading Co. b) Korea Henjin Trading Co. c) Korea Hengjin Trading Company F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 2 May 2012 Other information: The Korea Heungjin Trading Company is used by KOMID for trading purposes. We suspect it has been involved in supplying missile-related goods to Iran's Shahid Hemmat Industrial Group (SHIG). Heungjin has been associated with KOMID, and, more specifically, KOMID's procurement office. Heungjin has been used to procure an advanced digital controller with applications in missile design. KOMID was designated by the Committee in April 2009 and is the DPRK's primary arms dealer and main exporter of goods and equipment related to ballistic missiles and conventional weapons. The Security Council designated SHIG in resolution 1737 (2006) as an entity involved in Iran's ballistic missile programme;

viii. at page 13, 1st paragraph under the rubric KPe. 012 Name: KOREAN COMMITTEE FOR SPACE TECHNOLOGY also known as DPRK COMMITTEE FOR SPACE TECHNOLOGY also known as DEPARTMENT OF SPACE TECHNOLOGY OF THE DPRK also known as COMMITTEE FOR SPACE TECHNOLOGY also known as KCST, more particularly described therein as A.k.a.: a) DPRK Committee for Space Technology b) Department of Space Technology of the DPRK c) Committee for Space Technology d) KCST F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 22 Jan. 2013 Other information: The Korean Committee for Space Technology (KCST) orchestrated the DPRK's launches on 13 April 2012 and 12 December 2012 via the satellite control center and Sohae launch area;

ix. at page 13, 4th paragraph under the rubric KPe. 015 Name: TOSONG TECHNOLOGY TRADING CORPORATION, more particularly described therein as A.k.a.: na F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 22 Jan. 2013 Other information: The Korea Mining Development Corporation (KOMID) is the parent company of Tosong Technology Trading Corporation. KOMID was designated by the Committee in April 2009 and is the DPRK's primary arms dealer and main exporter of goods and equipment related to ballistic missiles and conventional weapons. This Defendant was first listed by the United Nations Security Council on January 22, 2013 and remains so listed up to the date of this application. As such, I verily believe that this Defendant is a listed entity;

x. at page 14, 1st paragraph under the rubric KPe. 018 Name: SECOND ACADEMY OF NATURAL SCIENCES also known as 2ND ACADEMY OF NATURAL SCIENCES also known as CHE 2 CHAYON KWAHAKWON also known as ACADEMY OF NATURAL SCIENCES

also known as CHAYON KWAHAK-WON also known as NATIONAL DEFENSE ACADEMY also known as KUKPANG KWAHAKWON also known as SECOND ACADEMY OF NATURAL SCIENCES RESEARCH INSTITUTE also known as SANSRI, more particularly described therein as A.k.a.: a) 2ND ACADEMY OF NATURAL SCIENCES b) CHE 2 CHAYON KWAHAKWON c) ACADEMY OF NATURAL SCIENCES d) CHAYON KWAHAK-WON e) NATIONAL DEFENSE ACADEMY f) KUKPANG KWAHAKWON g) SECOND ACADEMY OF NATURAL SCIENCES RESEARCH INSTITUTE h) SANSRI F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 7 Mar. 2013 Other information: The Second Academy of Natural Sciences is a national-level organization responsible for research and development of the DPRK's advanced weapons systems, including missiles and probably nuclear weapons. The Second Academy of Natural Sciences uses a number of subordinate organizations to obtain technology, equipment, and information from overseas, including Tangun Trading Corporation, for use in the DPRK's missile and probably nuclear weapons programs. Tangun Trading Corporation was designated by the Committee in July 2009 and is primarily responsible for the procurement of commodities and technologies to support DPRK's defense research and development programs, including, but not limited to, weapons of mass destruction and delivery system programs and procurement, including materials that are controlled or prohibited under relevant multilateral control regimes;

xi. at page 14, 2nd paragraph under the rubric KPe. 019 Name: KOREA COMPLEX EQUIPMENT IMPORT CORPORATION, more particularly described therein as A.k.a.: na F.k.a.: na Address: Rakwon-dong, Pothonggang District, Pyongyang, Democratic People's Republic of Korea Listed on: 7 Mar. 2013 Other information: Korea Ryonbong General Corporation is the parent company of Korea Complex Equipment Import Corporation. Korea Ryonbong General Corporation was designated by the Committee in April 2009 and is a defense conglomerate specializing in acquisition for DPRK defense industries and support to that country's military-related sales;

xii. at page 14, 4th paragraph under the rubric KPe. 021 Name: ACADEMY OF NATIONAL DEFENSE SCIENCE, more particularly described therein as A.k.a.: na F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 2 Mar. 2016 Other information: The Academy of National Defense Science is involved in the DPRK's efforts to advance the development of its ballistic missile and nuclear weapons programs;

xiii. at page 14, 7th paragraph under the rubric KPe. 024 Name: HESONG TRADING COMPANY, more particularly described therein as A.k.a.: na F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 2 Mar. 2016 Other information: The Korea Mining Development Trading Corporation (KOMID) is the parent company of Hesong Trading Corporation;

xiv. at page 15, 4th paragraph under the rubric KPe. 028 Name: MUNITIONS INDUSTRY DEPARTMENT also known as MILITARY SUPPLIES INDUSTRY DEPARTMENT also known as MID, more particularly described therein as A.k.a.: a) Military Supplies Industry Department b) MID F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 2 Mar. 2016 (amended on 9 Jul. 2018) Other information: The Munitions Industry Department is involved in key aspects of the DPRK's missile program. MID is responsible for overseeing the development of the DPRK's ballistic missiles, including the Taepo Dong-2. The MID oversees the DPRK's weapons production and R&D programs, including the DPRK's ballistic missile program. The Second Economic Committee and the Second Academy of Natural Sciences – also designated in August 2010 – are subordinate to the MID. The MID in recent years has worked to develop the KN08 road-mobile ICBM. The MID oversees the DPRK's nuclear program. The Nuclear Weapons Institute is subordinate to the MID;

xv. at page 15, 5th paragraph under the rubric KPe. 029 Name: NATIONAL AEROSPACE DEVELOPMENT ADMINISTRATION also known as NADA, more particularly described therein as A.k.a.: NADA F.k.a.: na Address: Democratic People's Republic of Korea Listed on: 2 Mar. 2016 Other information: NADA is involved in the DPRK's development of space science and technology, including satellite launches and carrier rockets;

xvi. at page 15, 6th paragraph under the rubric KPe. 030 Name: OFFICE 39 also known as OFFICE #39 also known as OFFICE NO. 39 also known as BUREAU 39 also known as CENTRAL COMMITTEE BUREAU 39 also known as THIRD FLOOR also known as DIVISION 39, more particularly described therein as A.k.a.: a) Office #39 b) Office No. 39 c) Bureau 39 d) Central Committee Bureau 39 e) Third Floor f) Division 39 F.k.a.: na Address: Democratic People's Republic of Korea Listed on: 2 Mar. 2016 Other information: DPRK government entity;

xvii. at page 16, 1st paragraph under the rubric KPe. 032 Name: SECOND ECONOMIC COMMITTEE, more particularly described therein as A.k.a.: na F.k.a.: na Address: Kangdong, Democratic People's Republic of Korea Listed on: 2 Mar. 2016 Other information: The Second Economic Committee is responsible for overseeing the production of the DPRK's ballistic missiles, and directs the activities of KOMID;

xviii. at page 16, 2nd paragraph under the rubric KPe. 033 Name: KOREA UNITED DEVELOPMENT BANK, more particularly described therein as A.k.a.: na F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 30 Nov. 2016 Other information: Korea United Development Bank operates in the financial services industry of the DPRK economy. SWIFT/BIC: KUDBKPPY;

xix. at page 16, 3rd paragraph under the rubric KPe. 034 Name: ILSIM INTERNATIONAL BANK, more particularly described therein as A.k.a.: na F.k.a.: na Address: Pyongyang, Democratic People's Republic of Korea Listed on: 30 Nov. 2016 Other information: Ilim International Bank is affiliated with the DPRK military and has a close relationship with Korea Kwangson Banking Corporation (KKBC). Ilim International Bank has attempted to evade United Nations sanctions. SWIFT: ILSIKPPY; and

xx. at page 16, 5th paragraph under the rubric KPe. 036 Name: SINGWANG ECONOMICS AND TRADING GENERAL CORPORATION, more particularly described therein as A.k.a.: na F.k.a.: na Address: Democratic People's Republic of Korea Listed on: 30 Nov. 2016 Other information: Singwang Economics and Trading General Corporation is a DPRK firm for trading in coal. DPRK generates a significant share of the money for its nuclear and ballistic missile programs by mining natural resources and selling those resources abroad;

is a listed entity for the purposes of the DPRK Order.

IT IS FURTHER ORDERED that:

1. this matter be deemed fit for hearing as a matter of urgency;
2. pursuant to Clause 4(1)(a) of the DPRK Order that, subject to Clause 4(3) of the DPRK Order, the property including:
 - (a) funds or assets of any kind, whether tangible or intangible, moveable or immovable, however acquired;
 - (b) legal documents or instruments in any form, including electronic or digital, evidencing title to, or interest in, such assets, including but not limited to-
 - i) bank credits;
 - ii) payment cards;
 - iii) payment instruments;
 - iv) travellers' cheques;
 - v) bank cheques;
 - vi) money orders;
 - vii) shares;
 - viii) securities;
 - ix) bonds;
 - x) drafts; or
 - xi) letters of credit;
 - (c) precious stones, including diamonds, rubies, sapphires, or emeralds, whether in a treated or untreated state;
 - (d) precious metals, including gold, silver or platinum, whether in a manufactured or unmanufactured state;
 - (e) oil and other natural resources, and their refined products, modular refineries and related material; and
 - (f) other economic resources which may be used to obtain property, goods or services,

and including a legal or equitable interest, whether full or partial, in any such property that is

- i) owned or controlled by each Defendant;
- ii) wholly or jointly owned or controlled, directly or indirectly, by each Defendant; and
- iii) derived or generated from funds or other assets owned or controlled directly or indirectly by each Defendant,

be frozen as and when they arise for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

3. pursuant to Clause 4(1)(b) of the DPRK Order, subject to Clause 4(3) of the DPRK Order, each Defendant is prohibited from possessing, controlling or having access to any property, whether owned or controlled, directly or indirectly by:
 - i) the Worker's Party of Korea; or
 - ii) an individual or entity owned or controlled by the Government of the DPRK or the Worker's Party of Korea,

which is associated with the nuclear or ballistic missile programme of the DPRK, as and when they arise, and including a legal or equitable interest, whether full or partial, in any such property for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

4. pursuant to Clause 4(4)(b) of the DPRK Order, the Registrar of the Supreme Court do serve an Office Copy of the Order on the Financial Intelligence Unit immediately in accordance with the Civil Proceedings Rules 1998 (as amended);

5. an Order that pursuant to Clause 4(7) of the DPRK Order, the Attorney General shall within seven (7) days after the date of the Order, cause a copy of the Order and a statement that the matter will be reviewed every six (6) months, to be published in the Trinidad and Tobago Gazette and in two (2) daily newspapers of general circulation in Trinidad and Tobago;

6. costs to be costs in the cause; and
7. liberty to apply.

.....
Assistant Registrar
Supreme Court

Notice is hereby given that the matter which is the subject of the aforementioned Order will be reviewed by the Honourable Attorney General every six (6) months in accordance with Clause 4(8) of the Economic Sanctions (Implementation of United Nations Resolutions On The Democratic People's Republic Of Korea) Order, 2018.

THE REPUBLIC OF TRINIDAD AND TOBAGO

IN THE HIGH COURT OF JUSTICE

Claim No. CV2019-00352

209—Continued



Government of the Republic of Trinidad and Tobago
MINISTRY OF THE ATTORNEY GENERAL AND LEGAL AFFAIRS

IN THE MATTER OF THE ECONOMIC SANCTIONS ACT, CHAPTER 81:05

AND

IN THE MATTER OF THE ECONOMIC SANCTIONS (IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

AND

IN THE MATTER OF AN APPLICATION BY THE ATTORNEY GENERAL OF TRINIDAD AND TOBAGO FOR A PROHIBITORY ORDER UNDER CLAUSE 4 OF THE ECONOMIC SANCTIONS (IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

BETWEEN

THE ATTORNEY GENERAL OF TRINIDAD AND TOBAGO

Claimant

AND

1. YUN also known as HO-JIN also known as YUN HO-CHIN;
2. RI also known as JE-SON also known as RI CHE-SON;
3. HWANG also known as SOK-HWA;
4. RI also known as HONG-SOP;
5. HAN also known as YU-RO;
6. PAEK also known as CHANG-HO also known as PAK CHANG-HO also known as PAEK CHANG-HO;
7. CHANG also known as MYONG-CHIN also known as JANG MYONG-JIN;
8. RA also known as KYONG-SU also known as RA KYUNG-SU also known as CHANG MYONG HO;
9. KIM also known as KWANG-IL;
10. KO also known as CHOL-CHAE;
11. MUN also known as CHONG-CHOL;
12. CHOE also known as CHUN-SIK also known as CHOE CHUN SIK also known as CH'OE CH'UN SIK;
13. CHOE also known as SONG IL;
14. HYON also known as KWANG IL also known as HYON GWANG IL;
15. JANG also known as BOM SU also known as JANG POM SU also known as JANG HYON U;
16. JANG also known as YONG SON;
17. JON also known as TONG GUK also known as CHO'N MYO'NG-KUK also known as JON YONG SANG;
18. KANG also known as MUN KIL also known as JIANG WEN-JI;
19. KANG also known as RYONG;
20. KIM also known as JUNG JONG also known as KIM CHUNG CHONG;
21. KIM also known as KYU;
22. KIM also known as TONG MY'ONG also known as KIM CHIN-SO'K also known as KIM TONG-MYONG also known as KIM JIN-SOK also known as KIM HYOK-CHOL;
23. KIM also known as YONG CHOL

Defendants

ORDER

Before the Honourable Mr. Justice Kevin Ramcharan
Dated the 25th day of January 2019

UPON READING the Fixed Date Claim Form filed on January 25, 2019 and the affidavit of [redacted] sworn and filed on January 25, 2019 together with the exhibits attached thereto;

AND UPON this Application being determined without a hearing;

IT IS ORDERED THAT personal service of the Order on the Defendants be dispensed with.

IT IS HEREBY DECLARED that in accordance with Clause 2 of the Economic Sanctions (Implementation of United Nations Resolutions on The Democratic People's Republic of Korea) Order, 2018 ("the DPRK Order"), each of the Defendants, who is listed on the United Nations Security Council Sanctions List established and maintained pursuant to United Nations Security Council Resolution 1718 (2006) concerning the Democratic People's Republic of Korea dated January 24, 2019,

i. at page 1, 3rd paragraph under the rubric KPI. 001 Name: YUN also known as HO-JIN also known as YUN HO-CHIN, more particularly described therein as Title: na Designation: Director of Namchongang Trading Corporation DOB: 13 Oct. 1944 POB: na Good quality a.k.a.: Yun Ho-chin

Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 16 Jul. 2009 Other information: Director of Namchongang Trading Corporation; oversees the import of items needed for the uranium enrichment program;

ii. at page 1, 4th paragraph under the rubric KPI. 002 Name: RI also known as JE-SON also known as RI CHE-SON, more particularly described therein as Title: na Designation: Minister of Atomic Energy Industry since April 2014. DOB: 1938 POB: na Good quality a.k.a.: Ri Che-son Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 16 Jul. 2009 (amended on 30 Jul. 2014) Other information: Minister of Atomic Energy Industry since April 2014. Former Director of the General Bureau of Atomic Energy (GBAE), chief agency directing DPRK's nuclear program; facilitated several nuclear endeavors including GBAE's management of Yongbyon Nuclear Research Center and Namchongang Trading Corporation;

iii. at page 1, 5th paragraph under the rubric KPI. 003 Name: HWANG also known as SOK-HWA, more particularly described therein as Title: na Designation: Director in the General Bureau of Atomic Energy (GBAE) DOB: na POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 16 Jul. 2009 Other information: Director in the General Bureau of Atomic Energy (GBAE); involved in DPRK's nuclear program; as Chief of the Scientific Guidance Bureau in the GBAE, served on the Science Committee inside the Joint Institute for Nuclear Research;

iv. at page 1, 6th paragraph under the rubric KPI. 004 Name: RI also known as HONG-SOP, more particularly described therein as Title: na Designation: Former director, Yongbyon Nuclear Research Center, and Head of Nuclear Weapons Institute DOB: 1940 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 16 Jul. 2009 (amended on 9 Jul. 2018) Other information: Former director, Yongbyon Nuclear Research Center, oversaw three core facilities that assist in the production of weapons-grade plutonium: the Fuel Fabrication Facility, the Nuclear Reactor, and the Reprocessing Plant;

v. at page 1, 7th paragraph under the rubric KPI. 005 Name: HAN also known as YU-RO, more particularly described therein as Title: na Designation: Director of Korea Ryongaksan General Trading Corporation DOB: na POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 16 Jul. 2009 Other information: Director of Korea Ryongaksan General Trading Corporation; involved in DPRK's ballistic missile program;

vi. at page 2, 1st paragraph under the rubric KPI. 006 Name: PAEK also known as CHANG-HO also known as PAK CHANG-HO also known as PAEK CHANG-HO, more particularly described therein as Title: na Designation: Senior official and head of the satellite control center of Korean Committee for Space Technology DOB: 18 Jun. 1964 POB: Kaesong, Democratic People's Republic of Korea Good quality a.k.a.: a) Pak Chang-Ho b) Paek Ch'ang-Ho Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: 381420754, issued on 7 Dec. 2011 (Expires on 7 Dec. 2016) National identification no: na Address: na Listed on: 22 Jan. 2013 Other information: Senior official and head of the satellite control center of Korean Committee for Space Technology;

vii. at page 2, 2nd paragraph under the rubric KPI. 007 Name: CHANG also known as MYONG-CHIN also known as JANG MYONG-JIN, more particularly described therein as Title: na Designation: General Manager of the Sohae Satellite Launching Station and head of launch center at which the 13 April and 12 December 2012 launches took place. DOB: a) 19 Feb. 1968 b) 1965 c) 1966 POB: na Good quality a.k.a.: Jang Myong-Jin Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 22 Jan. 2013 Other information: General Manager of the Sohae Satellite Launching Station and head of launch center at which the 13 April and 12 December 2012 launches took place;

viii. at page 2, 3rd paragraph under the rubric KPI. 008 Name: RA also known as KYONG-SU also known as RA KYUNG-SU also known as CHANG MYONG HO, more particularly described therein as Title: na Designation: Tanchon Commercial Bank (TCB) official DOB: 4 Jun. 1954 POB: na Good quality a.k.a.: a) Ra Kyung-Su b) Chang Myong Ho Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: number 645120196, issued in Democratic People's Republic of Korea National identification no: na Address: na Listed on: 22 Jan. 2013 (amended on 2 Mar. 2016) Other information: Ra Ky'ong-Su is a Tanchon Commercial Bank (TCB) official. In this capacity he has facilitated transactions for TCB. Tanchon was designated by the Committee in April 2009 as the main DPRK financial entity responsible for sales of conventional arms, ballistic missiles, and goods related to the assembly and manufacture of such weapons;

ix. at page 2, 4th paragraph under the rubric KPI. 009 Name: KIM also known as KWANG-IL, more particularly described therein as Title: na Designation: Tanchon Commercial Bank (TCB) official DOB: 1 Sep. 1969 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: number PS381420397, issued in Democratic People's Republic of Korea National identification no: na Address: na Listed on: 22 Jan. 2013 Other information: Kim Kwang-il is a Tanchon Commercial Bank (TCB) official. In this capacity, he has facilitated transactions for TCB and the Korea Mining Development Trading Corporation (KOMID). Tanchon was designated by the Committee in April 2009 as the main DPRK financial entity responsible for sales of conventional arms, ballistic missiles, and goods related to the assembly and manufacture of such weapons. KOMID was designated by the Committee in April 2009 and is the DPRK's primary arms dealer and main exporter of goods and equipment related to ballistic missiles and conventional weapons;

x. at page 2, 6th paragraph under the rubric KPI. 011 Name: KO also

known as CHOL-CHAE, more particularly described therein as Title: na Designation: Deputy Chief Representative for the Korea Mining Development Trading Corporation (KOMID) DOB: na POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 7 Mar. 2013 Other information: Deputy Chief Representative for the Korea Mining Development Trading Corporation (KOMID). The KOMID was designated by the Committee in April 2009 and is the DPRK's primary arms dealer and main exporter of goods and equipment related to ballistic missiles and conventional weapons;

xi. at page 3, 1st paragraph under the rubric KPI. 012 Name: MUN also known as CH'ONG-CH'OL, more particularly described therein as Title: na Designation: Tanchon Commercial Bank (TCB) official DOB: na POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na Address: na Listed on: 7 Mar. 2013 Other information: Mun Ch'ong-Ch'o'l is a TCB official. In this capacity he has facilitated transactions for TCB. Tanchon was designated by the Committee in April 2009 is the main DPRK financial entity for sales of conventional arms, ballistic missiles, and goods related to the assembly and manufacture of such weapons;

xii. at page 3, 2nd paragraph under the rubric KPI. 013 Name: CHOE also known as CHUN-SIK also known as CHOE CHUN SIK also known as CH'OE CH'UN SIK, more particularly described therein as Title: na Designation: a) Director of the Second Academy of Natural Sciences (SANS) b) Former head of the DPRK's long-range missile program DOB: 12 Oct. 1954 POB: na Good quality a.k.a.: a) Choe Chun Sik b) Ch'oe Ch'un Sik Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 2 Mar. 2016 Other information: Choe Chun-sik was the director of the Second Academy of Natural Sciences (SANS) and was the head of the DPRK's long-range missile program;

xiii. at page 3, 3rd paragraph under the rubric KPI. 014 Name: CHOE also known as SONG IL, more particularly described therein as Title: na Designation: Tanchon Commercial Bank Representative DOB: na POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: a) 472320665 (Expires 26 Sep. 2017) b) 563120356 National identification no: na Address: na Listed on: 2 Mar. 2016 (amended on 1 Jun. 2017) Other information: Served as the Tanchon Commercial Bank representative in Vietnam;

xiv. at page 3, 4th paragraph under the rubric KPI. 015 Name: HYON also known as KWANG IL also known as HYON GWANG IL, more particularly described therein as Title: na Designation: Department Director for Scientific Development at the National Aerospace Development Administration DOB: 27 May 1961 POB: na Good quality a.k.a.: Hyon Gwang Il Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 2 Mar. 2016 Other information;

xv. at page 3, 5th paragraph under the rubric KPI. 016 Name: JANG also known as BOM SU also known as JANG POM SU also known as JANG HYON U, more particularly described therein as Title: na Designation: Tanchon Commercial Bank Representative in Syria DOB: 15 Apr. 1957 POB: na Good quality a.k.a.: a) Jang Pom Su b) Jang Hyon U born 22 Feb. 1958 Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 2 Mar. 2016 (amended on 5 Aug. 2017) Other information: Pursuant to Resolution 2371(2017) the Security Council added the following information: New AKA: Jang Hyon U with date of birth 22 February 1958 and diplomatic passport number 836110034, which expires on 1 January 2020;

xvi. at page 3, 6th paragraph under the rubric KPI. 017 Name: JANG also known as YONG SON, more particularly described therein as Title: na Designation: Korea Mining Development Trading Corporation (KOMID) Representative DOB: 20 Feb. 1957 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 2 Mar. 2016 (amended on 1 Jun. 2017) Other information: Served as the KOMID representative in Iran;

xvii. at page 3, 7th paragraph under the rubric KPI. 018 Name: JON also known as MYONG GUK also known as CHO'N MYO'NG-KUK also known as JON YONG SANG, more particularly described therein as Title: na Designation: Tanchon Commercial Bank Representative in Syria DOB: 18 Oct. 1976 POB: na Good quality a.k.a.: a) Cho'n Myo'ng-kuk b) Jon Yong Sang born 25 Aug. 1976 Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: number 4721202031 (Expires 21 Feb. 2017) National identification no: na Address: na Listed on: 2 Mar. 2016 (amended on 5 Aug. 2017) Other information: Pursuant to Resolution 2371(2017) the Security Council added the following information: New AKA: Jon Yong Sang with date of birth 25 August 1976 and diplomatic passport number 836110035, which expires on 1 January 2020;

xviii. at page 3, 8th paragraph under the rubric KPI. 019 Name: KANG also known as MUN KIL also known as JIANG WEN-JI, more particularly described therein as Title: na Designation: na DOB: na POB: na Good quality a.k.a.: JIANG Wen-Ji Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: PS 472330208 (Expires 4 Jul. 2017) National identification no: na Address: na Listed on: 2 Mar. 2016 Other information: Kang Mun Kil has conducted nuclear procurement activities as a representative of Namchongang, also known as Namhung;

xix. at page 4, 1st paragraph under the rubric KPI. 020 Name: KANG also known as RYONG, more particularly described therein as Title: na Designation: Korea Mining Development Trading Corporation (KOMID) Representative in Syria DOB: 21 Aug. 1969 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 2 Mar. 2016 Other information;



Government of the Republic of Trinidad and Tobago
MINISTRY OF THE ATTORNEY GENERAL AND LEGAL AFFAIRS

xx. at page 4, 2nd paragraph under the rubric KPI. 021 Name: KIM also known as JUNG JONG also known as KIM CHUNG CHONG, more particularly described therein as Title: na Designation: Tanchon Commercial Bank Representative DOB: 7 Nov. 1966 POB: na Good quality a.k.a.: Kim Chung Chong Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: a) 199421147 (Expired 29 Dec. 2014) b) 381110042 (Expired 25 Jun. 2016) c) 563210184 (Expires 18 Jun. 2018) National identification no: na Address: na Listed on: 2 Mar. 2016 (amended on 1 Jun. 2017) Other information: Served as the Tanchon Commercial Bank representative in Vietnam;

xxi. at page 4, 3rd paragraph under the rubric KPI. 022 Name: KIM also known as KYU, more particularly described therein as Title: na Designation: Korea Mining Development Trading Corporation (KOMID) External Affairs Officer DOB: 30 Jul. 1968 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 2 Mar. 2016 Other information;

xxii. at page 4, 4th paragraph under the rubric KPI. 023 Name: KIM also known as TONG MY'ONG also known as KIM CHIN-SO'K also known as KIM TONG-MYONG also known as KIM JIN-SOK also known as KIM HYOK-CHOL, more particularly described therein as Title: na Designation: President of Tanchon Commercial Bank DOB: 1964 POB: na Good quality a.k.a.: a) Kim Chin-So'k b) Kim Tong-Myong c) Kim Jin-Sok d) Kim Hyok-Chol Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 2 Mar. 2016 Other information: Kim Tong My'ong is the President of Tanchon Commercial Bank and has held various positions within Tanchon Commercial bank since at least 2002. He has also played a role in managing Amrogang's affairs; and

xxiii. at page 4, 5th paragraph under the rubric KPI. 024 Name: KIM also known as YONG CHOL, more particularly described therein as Title: na Designation: KOMID Representative DOB: 18 Feb. 1962 POB: na Good quality a.k.a.: na Low quality a.k.a.: na Nationality: Democratic People's Republic of Korea Passport no: na National identification no: na Address: na Listed on: 2 Mar. 2016 (amended on 1 Jun. 2017) Other information: Served as the KOMID representative in Iran,

is a listed entity for the purposes of the DPRK Order.

IT IS FURTHER ORDERED THAT:

1. this matter be deemed fit for hearing as a matter of urgency;
2. pursuant to Clause 4(1)(a) of the DPRK Order that, subject to Clause 4(3) of the DPRK Order, the property including:
 - (a) funds or assets of any kind, whether tangible or intangible, moveable or immovable, however acquired;
 - (b) legal documents or instruments in any form, including electronic or digital, evidencing title to, or interest in, such assets, including but not limited to-
 - i) bank credits;
 - ii) payment cards;
 - iii) payment instruments;
 - iv) travellers' cheques;
 - v) bank cheques;
 - vi) money orders;
 - vii) shares;
 - viii) securities;
 - ix) bonds;
 - x) drafts; or
 - xi) letters of credit;
 - (c) precious stones, including diamonds, rubies, sapphires, or emeralds, whether in a treated or untreated state;
 - (d) precious metals, including gold, silver or platinum, whether in a manufactured or unmanufactured state;
 - (e) oil and other natural resources, and their refined products, modular refineries and related material; and
 - (f) other economic resources which may be used to obtain property, goods or services,

and including a legal or equitable interest, whether full or partial, in any such property that is

- i) owned or controlled by each Defendant;
- ii) wholly or jointly owned or controlled, directly or indirectly, by each Defendant; and
- iii) derived or generated from funds or other assets owned or controlled directly or indirectly by each Defendant,

be frozen as and when they arise for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

3. pursuant to Clause 4(1)(b) of the DPRK Order, subject to Clause 4(3) of the DPRK Order, each Defendant is prohibited from possessing, controlling or having access to any property, whether owned or controlled, directly or indirectly by:
 - i) the Worker's Party of Korea; or
 - ii) an individual or entity owned or controlled by the Government of the DPRK or the Worker's Party of Korea,

which is associated with the nuclear or ballistic missile programme of the DPRK, as and when they arise, and including a legal or equitable interest, whether full or partial, in any such property for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

4. pursuant to Clause 4(4)(b) of the DPRK Order, the Registrar of the Supreme Court do serve an Office Copy of the Order on the Financial Intelligence

Unit immediately in accordance with the Civil Proceedings Rules 1998 (as amended);

5. an Order that pursuant to Clause 4(7) of the DPRK Order, the Attorney General shall within seven (7) days after the date of the Order, cause a copy of the Order and a statement that the matter will be reviewed every six (6) months, to be published in the Trinidad and Tobago Gazette and in two (2) daily newspapers of general circulation in Trinidad and Tobago;

6. costs to be costs in the cause; and

7. liberty to apply.

.....
Assistant Registrar
Supreme Court

Notice is hereby given that the matter which is the subject of the aforementioned Order will be reviewed by the Honourable Attorney General every six (6) months in accordance with Clause 4(8) of the Economic Sanctions (Implementation Of United Nations Resolutions On The Democratic People's Republic Of Korea) Order, 2018.

THE REPUBLIC OF TRINIDAD AND TOBAGO

IN THE HIGH COURT OF JUSTICE

Claim No. CV2019-00354

IN THE MATTER OF THE ECONOMIC SANCTIONS ACT, CHAPTER 81:05

AND

IN THE MATTER OF THE ECONOMIC SANCTIONS (IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

AND

IN THE MATTER OF AN APPLICATION BY THE ATTORNEY GENERAL OF TRINIDAD AND TOBAGO FOR A PROHIBITORY ORDER UNDER CLAUSE 4 OF THE ECONOMIC SANCTIONS (IMPLEMENTATION OF UNITED NATIONS RESOLUTIONS ON THE DEMOCRATIC PEOPLE'S REPUBLIC OF KOREA) ORDER, 2018

BETWEEN

THE ATTORNEY GENERAL OF TRINIDAD AND TOBAGO

Claimant

AND

1. TANCHON COMMERCIAL BANK;
2. BANK OF EAST LAND also known as DONGBANG BANK also known as TONGBANG U'NHAENG also known as TONGBANG BANK;
3. LEADER (HONG KONG) INTERNATIONAL also known as LEADER INTERNATIONAL TRADING LIMITED also known as LEADER (HONG KONG) INTERNATIONAL TRADING LIMITED;
4. KOREA KWANGSON BANKING CORPORATION (KKBC) also known as KKBC;
5. KOREA KWANGSONG TRADING CORPORATION;
6. MINISTRY OF ATOMIC ENERGY INDUSTRY also known as MAEI;
7. KOREA DAESONG BANK also known as CHOSON TAESONG UNHAENG also known as TAESONG BANK;
8. KOREA PUGANG TRADING CORPORATION;
9. KOREA DAESONG GENERAL TRADING CORPORATION also known as DAESONG TRADING as also known as KOREA DAESONG TRADING COMPANY also known as KOREA DAESONG TRADING CORPORATION;
10. FOREIGN TRADE BANK (FTB);
11. CHANG AN SHIPPING & TECHNOLOGY also known as CHANG AN SHIPPING AND TECHNOLOGY;
12. FIRST OIL JV CO LTD;
13. HAPIJANGGANG SHIPPING CORP.;
14. HUAXIN SHIPPING HONGKONG LTD;
15. KINGLY WON INTERNATIONAL CO., LTD;
16. KOREAACHIM SHIPPING CO.;
17. KOREA ANSAN SHIPPING COMPANY also known as KOREA ANSAN SHIP COMPANY;
18. KOREA MYONGDOK SHIPPING CO.;

19. KOREA SAMJONG SHIPPING;

20. KOREA SAMMA SHIPPING CO.;

21. KOREA YUJONG SHIPPING CO LTD;

22. MYOHYANG SHIPPING CO.;

23. PAEKMA SHIPPING CO also known as CARE OF FIRST OIL JV CO LTD;

24. PHYONGCHON SHIPPING & MARINE also known as PHYONGCHON SHIPPING AND MARINE;

25. SHANGHAI DONGFENG SHIPPING CO LTD;

26. SHEN ZHONG INTERNATIONAL SHIPPING;

27. WEIHAI WORLD-SHIPPING FREIGHT;

28. YUK TUNG ENERGY PTE LTD

Defendants

ORDER

Before the Honourable Mr. Justice Kevin Ramcharan
Dated the 25th day of January 2019

UPON READING the Fixed Date Claim Form filed on January 25, 2019 and the affidavit of [redacted] sworn and filed on January 25, 2019 together with the exhibits attached thereto;

AND UPON this Application being determined without a hearing;

IT IS ORDERED THAT personal service of the Order on the Defendants be dispensed with.

IT IS HEREBY DECLARED that in accordance with Clause 2 of the Economic Sanctions (Implementation of United Nations Resolutions On The Democratic People's Republic of Korea) Order, 2018 ("the DPRK Order"), each of the Defendants, who is listed on the United Nations Security Council Sanctions List established and maintained pursuant to United Nations Security Council Resolution 1718 (2006) Concerning the Democratic People's Republic of Korea dated January 24, 2019,

i. at page 11, 4th paragraph under the rubric KPe. 003 Name: TANCHON COMMERCIAL BANK, more particularly described therein as A.k.a.: a) CHANGGWANG CREDIT BANK b) KOREA CHANGGWANG CREDIT BANK Address: Saemul 1-Dong Pyongchon District, Pyongyang, Democratic People's Republic of Korea Listed on: 24 Apr. 2009 Other information: Main DPRK financial entity for sales of conventional arms, ballistic missiles, and goods related to the assembly and manufacture of such weapons;

ii. at page 13, 2nd paragraph under the rubric KPe. 013 Name: BANK OF EAST LAND also known as DONGBANG BANK also known as TONGBANG U'NHAENG also known as TONGBANG BANK, more particularly described therein as A.k.a.: a) Dongbang BANK b) TONGBANG U'NHAENG c) TONGBANG BANK F.k.a.: na Address: PO Box 32, BEL Building, Juseung-Dong, Moranbong District, Pyongyang, Democratic People's Republic of Korea Listed on: 22 Jan. 2013 Other information: DPRK financial institution Bank of East Land facilitates weapons-related transactions for, and other support to, arms manufacturer and exporter Green Pine Associated Corporation (Green Pine). Bank of East Land has actively worked with Green Pine to transfer funds in a manner that circumvents sanctions. In 2007 and 2008, Bank of East Land facilitated transactions involving Green Pine and Iranian financial institutions, including Bank Melli and Bank Sepah. The Security Council designated Bank Sepah in resolution 1747 (2007) for providing support to Iran's ballistic missile program. Green Pine was designated by the Committee in April 2012;

iii. at page 13, 6th paragraph under the rubric KPe. 017 Name: LEADER (HONG KONG) INTERNATIONAL also known as LEADER INTERNATIONAL TRADING LIMITED also known as LEADER (HONG KONG) INTERNATIONAL TRADING LIMITED, more particularly described therein as A.k.a.: a) Leader International Trading Limited b) Leader (Hong Kong) International Trading Limited F.k.a.: na Address: LM-873, RM B, 14/F, Wah Hen Commercial Centre, 383 Hennessy Road, Wanchai, Hong Kong Special Administrative Region Listed on: 22 Jan. 2013 (amended on 20 Jun. 2014) Other information: Facilitates shipments on behalf of the Korea Mining Development Trading Corporation (KOMID). KOMID was designated by the Committee in April 2009 and is the DPRK's primary arms dealer and main exporter of goods and equipment related to ballistic missiles and conventional weapons. Hong Kong company registration number 1177053;

iv. at page 15, 1st paragraph under the rubric KPe. 025 Name: KOREA KWANGSON BANKING CORPORATION (KKBC) also known as KKBC, more particularly described therein as A.k.a.: KKBC F.k.a.: na Address: Jungson-dong, Sungri Street, Central District, Pyongyang, Democratic People's Republic of Korea Listed on: 2 Mar. 2016 Other information: KKBC provides financial services in support to Tanchon Commercial Bank and Korea Hyoksin Trading Corporation, a subordinate of the Korea Ryongbong General Corporation. Tanchon Commercial Bank has used KKBC to facilitate funds transfers likely amounting to millions of dollars, including transfers involving Korea Mining Development Corporation related funds;

v. at page 15, 2nd paragraph under the rubric KPe. 026 Name: KOREA KWANGSONG TRADING CORPORATION, more particularly described therein as A.k.a.: na F.k.a.: na Address: Rakwon-dong, Pothonggang District, Pyongyang, Democratic People's Republic of Korea Listed on: 2 Mar. 2016 Other information: The Korea Ryongbong General Corporation is the parent company of Korea Kwangsong Trading Corporation;



Government of the Republic of Trinidad and Tobago
MINISTRY OF THE ATTORNEY GENERAL AND LEGAL AFFAIRS

vi. at page 15, 3rd paragraph under the rubric KPe. 027 Name: MINISTRY OF ATOMIC ENERGY INDUSTRY also known as MAEI, more particularly described therein as A.k.a.: MAEI F.k.a.: na Address: Haeun-2-dong, Pyongchon District, Pyongyang, Democratic People's Republic of Korea Listed on: 2 Mar. 2016 Other information: The Ministry of Atomic Energy Industry was created in 2013 for the purpose of modernizing the DPRK's atomic energy industry to increase the production of nuclear materials, improve their quality, and further develop an independent DPRK nuclear industry. As such, the MAEI is known to be a critical player in the DPRK's development of nuclear weapons and is in charge of day-to-day operation of the country's nuclear weapons program, and under it are other nuclear-related organizations. Under this ministry are a number of nuclear-related organizations and research centers, as well as two committees: an Isotope Application Committee and a Nuclear Energy Committee. The MAEI also directs a nuclear research center at Yongbyun, the site of the DPRK's known plutonium facilities. Furthermore, in the 2015 Panel of Experts (POE) report, the POE stated that Ri Je-son, a former director of the GBAE who was designated by the Committee established pursuant to resolution 1718 (2006) in 2009 for engagement in or support for nuclear related programs, was appointed as head of the MAEI on April 9, 2014;

vii. at page 16, 4th paragraph under the rubric KPe. 035 Name: KOREA DAESONG BANK also known as CHOSON TAESONG UNHAENG also known as TAESONG BANK, more particularly described therein as A.k.a.: a) Choson Taesong Unhaeng b) Taesong Bank F.k.a.: na Address: Segori-dong, Gyongheung St. Potonggang District, Pyongyang, Democratic People's Republic of Korea Listed on: 30 Nov. 2016 Other information: Daesong Bank is owned and controlled by Office 39 of the Korea Workers' Party. SWIFT/BIC: KDBKPPYY;

viii. at page 16, 7th paragraph under the rubric KPe. 038 Name: KOREA PUGANG TRADING CORPORATION, more particularly described therein as A.k.a.: na F.k.a.: na Address: Rakwon-dong, Pothonggang District, Pyongyang, Democratic People's Republic of Korea Listed on: 30 Nov. 2016 Other information: Korea Pugang Trading Corporation is owned by the Korea Ryobong General Corporation, DPRK's defense conglomerate specializing in acquisition for DPRK defense industries and support to Pyongyang's military related sales;

ix. at page 17, 2nd paragraph under the rubric KPe. 042 Name: KOREA DAESONG GENERAL TRADING CORPORATION also known as DAESONG TRADING as also known as DAESONG TRADING COMPANY also known as KOREA DAESONG TRADING COMPANY also known as KOREA DAESONG TRADING CORPORATION, more particularly described therein as A.k.a.: a) Daesong Trading b) Daesong Trading Company c) Korea Daesong Trading Corporation d) Korea Daesong Trading Corporation F.k.a.: na Address: Pulgan Gori Dong 1, Potonggang District, Pyongyang City, Democratic People's Republic of Korea Listed on: 30 Nov. 2016 Other information: Korea Daesong General Trading Corporation is affiliated with Office 39 through minerals (gold) exports, metals, machinery, agricultural products, ginseng, jewelry, and light industry products;

x. at page 17, 7th paragraph under the rubric KPe. 047 Name: FOREIGN TRADE BANK (FTB), more particularly described therein as A.k.a.: na F.k.a.: na Address: FTB Building, Jungseong-dong, Central District, Pyongyang, Democratic People's Republic of Korea Listed on: 5 Aug. 2017 Other information: Foreign Trade Bank is a state-owned bank and acts as the DPRK's primary foreign exchange bank and has provided key financial support to the Korea Kwangson Banking Corporation;

xi. at page 18, 6th paragraph under the rubric KPe. 055 Name: CHANG AN SHIPPING & TECHNOLOGY also known as CHANG AN SHIPPING AND TECHNOLOGY, more particularly described therein as F.k.a.: na Address: Room 2105, DL1849, Trend Centre, 29-31 Cheung Lee Street, Chai Wan, Hong Kong, China Listed on: 30 Mar. 2018 Other information: Registered owner, ship manager, and commercial manager of Panama-flagged vessel HUA FU, a cargo ship that loaded DPRK coal at Najin, DPRK on 24 September 2017;

xii. at page 18, 8th paragraph under the rubric KPe. 057 Name: FIRST OIL JV CO LTD, more particularly described therein as A.k.a.: na Address: Jonghaek 1-dong, Rakrang-guyok, Pyongyang, Democratic People's Republic of Korea Listed on: 30 Mar. 2018 Other information: Owner of the DPRK tanker PAEK MA, which was involved in ship-to-ship transfer operations for oil in mid-January 2018;

xiii. at page 18, 9th paragraph under the rubric KPe. 058 Name: HAPJANGANG SHIPPING CORP, more particularly described therein as A.k.a.: na F.k.a.: na Address: Kumsong 3-dong, Mangyongdae-guyok, Pyongyang, Democratic People's Republic of Korea Listed on: 30 Mar. 2018 Other information: Registered owner of the DPRK tanker NAM SAN 8, believed to have been involved in ship-to-ship transfer operations for oil, and owner of vessel HAP JANG GANG 6;

xiv. at page 19, 1st paragraph under the rubric KPe. 059 Name: HUAXIN SHIPPING HONGKONG LTD, more particularly described therein as F.k.a.: na Address: Room 2105, Trend Centre, 29-31 Cheung Lee Street, Chai Wan, Hong Kong, China Listed on: 30 Mar. 2018 Other information: Ship and commercial manager of the ASIA BRIDGE 1. Hong Kong-owned vessel, the probable "ASIA BRIDGE 1" was instructed on 19 October 2017 by Huaxin Shipping to make preparations for entry into Nampo, DPRK to receive a shipment of coal bound for Vietnam. The "ASIA BRIDGE 1" was instructed by an unidentified employee of Huaxin Shipping Ltd. to make preparations to receive 8,000 metric tons of coal and then sail to Cam Pha, Vietnam. The master of the vessel was instructed to cover the ship's name and other markings using canvas while in port at Nampo;

xv. at page 19, 2nd paragraph under the rubric KPe. 060 Name: KINGLY WON INTERNATIONAL CO., LTD, more particularly described therein as A.k.a.: na F.k.a.: na Address: Trust Company Complex, Ajeltake Road, Ajeltake Island, Majuro MH, 96960, Marshall Islands Listed on: 30 Mar. 2018 Other information: In 2017, Tsang Yung Yuan (aka Neil Tsang) and Kingly

Won attempted to engage in an oil deal valued at over \$1 million with a petroleum company in a third country to illicitly transfer to the DPRK. Kingly Won acted as a broker for that petroleum company and a Chinese company that reached out to Kingly Won to purchase marine oil on its behalf;

xvi. at page 19, 3rd paragraph under the rubric KPe. 061 Name: KOREA ACHIM SHIPPING CO, more particularly described therein as A.k.a.: na F.k.a.: na Address: Sochang-dong, Chung-guyok, Pyongyang, Democratic People's Republic of Korea Listed on: 30 Mar. 2018 Other information: Registered owner of DPRK tanker CHON MA SAN. DPRK-flagged CHON MA SAN prepared for likely ship-to-ship transfer operations in late January 2018. The master of the DPRK flagged motor tanker YU JONG 2 reported on 18 November 2017 to an unidentified DPRK-based controller that the vessel was avoiding a storm in advance of a ship-to-ship transfer. The master suggested that the YU JONG 2 load fuel oil before the DPRK-flagged tanker CHON MA SAN since the CHON MA SAN's larger size was better suited to conduct ship-to-ship transfers in a storm. After the CHON MA SAN loaded fuel oil from a vessel, the YU JONG 2 loaded 1,168 kiloliters of fuel oil on 19 November 2017 through a ship-to-ship transfer operation;

xvii. at page 19, 4th paragraph under the rubric KPe. 062 Name: KOREA ANSAN SHIPPING COMPANY also known as KOREA ANSAN SHPG COMPANY, more particularly described therein as A.k.a.: KOREA ANSAN SHPG COMPANY F.k.a.: na Address: Pyongchon 1-dong, Pyongchon-guyok, Pyongyang, Democratic People's Republic of Korea Listed on: 30 Mar. 2018 Other information: Registered owner of DPRK tanker AN SAN 1 believed to have been involved in ship-to-ship transfer operations for oil;

xviii. at page 19, 5th paragraph under the rubric KPe. 063 Name: KOREA MYONGDOK SHIPPING CO, more particularly described therein as A.k.a.: na F.k.a.: na Address: Chilgol 2-dong, Mangyongdae-guyok, Pyongyang, Democratic People's Republic of Korea Listed on: 30 Mar. 2018 Other information: Registered owner of the YU PHYONG 5. In late November 2017, the YU PHYONG 5 conducted a ship-to-ship transfer of 1,721 metric tons of fuel oil;

xix. at page 19, 6th paragraph under the rubric KPe. 064 Name: KOREA SAMJONG SHIPPING, more particularly described therein as A.k.a.: na F.k.a.: na Address: Tonghung-dong, Chung-guyok, Pyongyang, Democratic People's Republic of Korea Listed on: 30 Mar. 2018 Other information: Registered owner of DPRK tankers SAM JONG 1 and SAM JONG 2. Both vessels are believed to have imported refined petroleum to DPRK in violation of UN sanctions in late January 2018;

xx. at page 19, 7th paragraph under the rubric KPe. 065 Name: KOREA SAMMA SHIPPING CO, more particularly described therein as A.k.a.: na F.k.a.: na Address: Rakrang 3-dong, Rakrang-guyok, Pyongyang, Democratic People's Republic of Korea Listed on: 30 Mar. 2018 Other information: A DPRK-flagged tanker, SAM MA 2 owned by Korea Samma Shipping Company, conducted a ship-to-ship transfer of oil and fabricated documents in mid-October 2017, loading almost 1,600 metric tons of fuel oil in one transaction. The ship master was instructed to erase SAMMA SHIPPING and the Korean words found on the ship's seal and instead put "Hai Xin Yu 606" to mask its identity as a DPRK vessel;

xxi. at page 19, 8th paragraph under the rubric KPe. 066 Name: KOREA YUJONG SHIPPING CO LTD, more particularly described therein as A.k.a.: na F.k.a.: na Address: Pukseong 2-dong, Pyongchon-guyok, Pyongyang, Democratic People's Republic of Korea Listed on: 30 Mar. 2018 Other information: Registered owner of the DPRK tanker YU JONG 2, which loaded 1,168 kiloliters of fuel oil on 19 November 2017 through a ship-to-ship transfer operation. Company Number IMO 5434358;

xxii. at page 20, 2nd paragraph under the rubric KPe. 068 Name: MYOHYANG SHIPPING CO, more particularly described therein as A.k.a.: na F.k.a.: na Address: Kumsong 3-dong, Mangyongdae-guyok, Pyongyang, Democratic People's Republic of Korea Listed on: 30 Mar. 2018 Other information: Ship manager of DPRK oil products tanker YU SON, which is believed to have been involved in ship-to-ship transfer operations for oil;

xxiii. at page 20, 3rd paragraph under the rubric KPe. 069 Name: PAEKMA SHIPPING CO also known as CARE OF FIRST OIL JV CO LTD, more particularly described therein as A.k.a.: Care of First Oil JV Co Ltd. F.k.a.: na Address: Jonghaek 1-dong, Rakrang-guyok, Pyongyang, Democratic People's Republic of Korea Listed on: 30 Mar. 2018 Other information: Registered owner of the DPRK tanker PAEK MA, which was involved in ship-to-ship transfer operations for oil in mid-January 2018;

xxiv. at page 20, 4th paragraph under the rubric KPe. 070 Name: PHYONGCHON SHIPPING & MARINE also known as PHYONGCHON SHIPPING AND MARINE, more particularly described therein as A.k.a.: PHYONGCHON SHIPPING AND MARINE F.k.a.: na Address: Otan-dong, Chung-guyok, Pyongyang, Democratic People's Republic of Korea Listed on: 30 Mar. 2018 Other information: Registered owner of DPRK tanker JI SONG 6, which is believed to have been involved in ship-to-ship transfer operations of oil in late January 2018. The company also owns vessels JI SONG 8 and WOORY STAR;

xxv. at page 20, 6th paragraph under the rubric KPe. 072 Name: SHANGHAI DONGFENG SHIPPING CO LTD, more particularly described therein as A.k.a.: na F.k.a.: na Address: Room 601, 433, Chifeng Lu, Hongkou Qu, Shanghai, 200083, China Listed on: 30 Mar. 2018 Other information: Registered owner, ship and commercial manager of the DONG FENG 6, a vessel that loaded coal at Hamhung, DPRK, on 11 July 2017 for export in violation of UN sanctions;

xxvi. at page 20, 7th paragraph under the rubric KPe. 073 Name: SHEN ZHONG INTERNATIONAL SHIPPING, more particularly described therein as F.k.a.: na Address: Unit 503, 5th Floor, Silvercord Tower 2, 30, Canton Road, Tsim Sha Tsui, Kowloon, Hong Kong, China Listed on: 30 Mar. 2018 Other information: Ship and commercial manager of HAO FAN 2 and HAO FAN 6, St. Kitts-Nevis-flagged vessels. The HAO FAN 6 loaded coal at

Nampo, DPRK, on 27 August 2017. HAO FAN 2 loaded DPRK coal at Nampo, DPRK, on 3 June 2017;

xxvii. at page 20, 8th paragraph under the rubric KPe. 074 Name: WEIHAI WORLD-SHIPPING FREIGHT, more particularly described therein as A.k.a.: na F.k.a.: na Address: 419-201, Tongyi Lu, Huancai Qu, Weihai, Shandong, 264200, China Listed on: 30 Mar. 2018 (amended on 23 May 2018) Other information: Ship and commercial manager of the XIN GUANG HAI, a vessel that on loaded coal at Taean, DPRK, on 27 October 2017 and had an ETA of 14 November 2017 to Cam Pha, Vietnam, but it did not arrive; and

xxviii. at page 20, 9th paragraph under the rubric KPe. 075 Name: YUK TUNG ENERGY PTE LTD, more particularly described therein as A.k.a.: na F.k.a.: na Address: 17-22, UOB Plaza 2, Raffles Place, Singapore, 048624, Singapore Listed on: 30 Mar. 2018 Other information: Ship manager and commercial manager of the YUK TUNG, which conducted ship-to-ship transfer of refined petroleum product,

is a listed entity for the purposes of the DPRK Order.

IT IS FURTHER ORDERED that:

1. this matter be deemed fit for hearing as a matter of urgency;
2. pursuant to Clause 4(1)(a) of the DPRK Order that, subject to Clause 4(3) of the DPRK Order, the property including:
 - (a) funds or assets of any kind, whether tangible or intangible, moveable or immovable, however acquired;
 - (b) legal documents or instruments in any form, including electronic or digital, evidencing title to, or interest in, such assets, including but not limited to-
 - i) bank credits;
 - ii) payment cards;
 - iii) payment instruments;
 - iv) travellers' cheques;
 - v) bank cheques;
 - vi) money orders;
 - vii) shares;
 - viii) securities;
 - ix) bonds;
 - x) drafts; or
 - xi) letters of credit;
 - (c) precious stones, including diamonds, rubies, sapphires, or emeralds, whether in a treated or untreated state;
 - (d) precious metals, including gold, silver or platinum, whether in a manufactured or unmanufactured state;
 - (e) oil and other natural resources, and their refined products, modular refineries and related material; and
 - (f) other economic resources which may be used to obtain property, goods or services,

and including a legal or equitable interest, whether full or partial, in any such property that is

- i) owned or controlled by each Defendant;
- ii) wholly or jointly owned or controlled, directly or indirectly, by each Defendant; and
- iii) derived or generated from funds or other assets owned or controlled directly or indirectly by each Defendant,

be frozen as and when they arise for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

3. pursuant to Clause 4(1)(b) of the DPRK Order, subject to Clause 4(3) of the DPRK Order, each Defendant is prohibited from possessing, controlling or having access to any property, whether owned or controlled, directly or indirectly by:
 - i) the Worker's Party of Korea; or
 - ii) an individual or entity owned or controlled by the Government of the DPRK or the Worker's Party of Korea,

which is associated with the nuclear or ballistic missile programme of the DPRK, as and when they arise, and including a legal or equitable interest, whether full or partial, in any such property for a period lasting until midnight on the 13th day of March, 2019 unless further extended by the Honourable Court;

4. pursuant to Clause 4(4)(b) of the DPRK Order, the Registrar of the Supreme Court do serve an Office Copy of the Order on the Financial Intelligence Unit immediately in accordance with the Civil Proceedings Rules 1998 (as amended);

5. an Order that pursuant to Clause 4(7) of the DPRK Order, the Attorney General shall within seven (7) days after the date of the Order, cause a copy of the Order and a statement that the matter will be reviewed every six (6) months, to be published in the Trinidad and Tobago Gazette and in two (2) daily newspapers of general circulation in Trinidad and Tobago;

6. costs to be costs in the cause; and

7. liberty to apply.

Assistant Registrar
Supreme Court

Notice is hereby given that the matter which is the subject of the aforementioned Order will be reviewed by the Honourable Attorney General every six (6) months in accordance with Clause 4(8) of the Economic Sanctions (Implementation of United Nations Resolutions On The Democratic People's Republic Of Korea) Order, 2018.



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 66(1) of the Securities Act, Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago**

By

Scotiabank Short-Term Income Fund Inc.

ORDER OF THE COMMISSION

Dated this 1ST day of November 2018

WHEREAS Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file interim financial statements with the Commission, within a prescribed timeframe;

WHEREAS Scotiabank Short-Term Income Fund Inc. (“the Respondent”), filed its interim financial statements for the interim period ended June 30, 2018 with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”), past the due date of August 29, 2018;

AND WHEREAS Staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 66(1) of the Act by failing to file its interim financial statements with the Commission for the aforementioned interim period, within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 66(1) of the Act by filing the aforementioned interim financial statement past the due date of August 29, 2018;

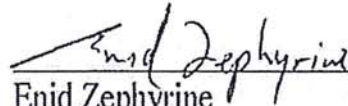
AND UPON the Board of Commissioners considering the submissions in Board Paper No. 047 of 2018 and being satisfied that no hearing is required in accordance with Section 159(10) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

IT IS HEREBY ORDERED THAT:

1. Scotiabank Short-Term Income Fund Inc. (“the Respondent”) has contravened Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”);
2. Pursuant to Section 156(2) of the Act, the Respondent has paid to the Commission an administrative fine in the sum of One Thousand Trinidad and Tobago Dollars (TT\$1,000.00), receipt of which the Commission hereby acknowledges; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.




Douglas Mendes, S.C.
Chairman



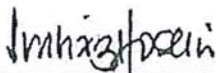
Enid Zephyrine
Deputy Chairman




Suzette Taylor-Lee Chee
Commissioner



Patrick Solomon
Commissioner



Imtiaz Hosein
Commissioner



Elaine Green
Commissioner



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of the Contravention of Section 63(a) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago; and By-Law 47(c) of the Securities (General) By-Laws, 2015.

By

Caroni (1975) Limited

ORDER OF THE COMMISSION

Dated this 1st day of November, 2018

WHEREAS section 63(a) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 47(c) of the Securities (General) By-Laws (“the By-Laws”) require a reporting issuer to file within the Commission within the prescribed time frame, certain annual reports;

AND WHEREAS Caroni (1975) Limited (“the Respondent”), filed its annual report with the Commission for the financial year ended June 30, 2016 past the due date of October 28, 2016;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 63(a) of the Act and By-Law 47(c) of the By-Laws by failing to file its annual report with the Commission for the financial year ended June 30, 2016, within the prescribed timeframe;

AND WHEREAS on October 15, 2018 the Respondent agreed to entered into a settlement agreement with the Staff (“the Settlement Agreement”) and the Settlement Agreement was approved by the Staff and the Board of Commissioners;

AND WHEREAS pursuant to the Settlement Agreement, the Respondent shall pay to the Commission the sum of Twenty-Eight Thousand Two Hundred Trinidad and Tobago Dollars (TT\$28,200.00) within three months of the making of this Order;

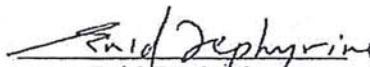
AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated October 15, 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

IT IS HEREBY ORDERED THAT:

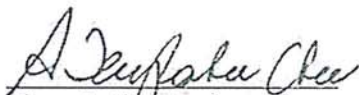
1. Caroni (1975) Limited (“the Respondent”) has contravened 63(a) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 47(c) of the Securities (General) By-Laws (“the By-Laws”);
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Twenty-Eight Thousand Two Hundred Trinidad and Tobago Dollars (TT\$28,200.00) within three months of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



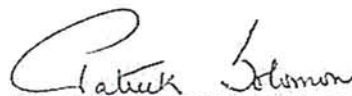
Douglas Mendes, S.C.
Chairman



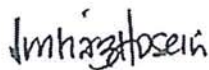
Enid Zephyrine
Deputy Chairman



Suzette Taylor-Lee Chee
Commissioner



Patrick Solomon
Commissioner



Imtiaz Hosein
Commissioner



Elaine Green
Commissioner



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 65(1) of the Securities Act, Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago; and By-Law 48(1)
of the Securities (General) By-Laws, 2015.**

By

Caroni (1975) Limited

ORDER OF THE COMMISSION

Dated this 1st day of November, 2018

WHEREAS section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 48(1) of the Securities (General) By-Laws (“the By-Laws”) require a reporting issuer to file within the Commission within the prescribed time frame, certain annually comparative financial statements;

AND WHEREAS Caroni (1975) Limited (“the Respondent”), filed its audited annual comparative financial statements with the Commission for the financial year ended June 30, 2016 past the due date of September 28, 2016;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 65(1) of the Act and By-Law 48(1) of the By-Laws by failing to file its audited annual comparative financial statements with the Commission for the financial year ended June 30, 2016, within the prescribed timeframe;

AND WHEREAS on October 15, 2018 the Respondent agreed to entered into a settlement agreement with the Staff (“the Settlement Agreement”) and the Settlement Agreement was approved by the Staff and the Board of Commissioners;

AND WHEREAS pursuant to the Settlement Agreement, the Respondent shall pay to the Commission, the sum of Thirty-Four Thousand Two Hundred Trinidad and Tobago Dollars (TT\$34,200.00) within three months of the making of this Order;

AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement date October 15, 2018 and being satisfied that the making of this Order

212—Continued

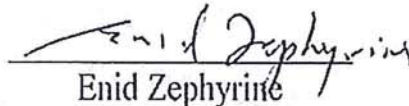
is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

IT IS HEREBY ORDERED THAT:

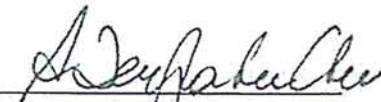
1. Caroni (1975) Limited (“the Respondent”) has contravened section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago, and By-Law 48(1) of the Securities (General) By-Laws, 2015 (“the By-Laws”);
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Thirty-Four Thousand Two Hundred Trinidad and Tobago Dollars (TT\$34,200.00) within three months of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



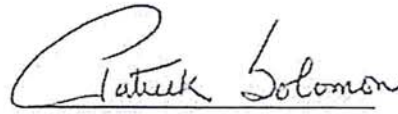
Douglas Mendes, S.C.
Chairman



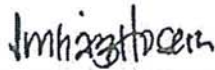
Enid Zephyrine
Deputy Chairman



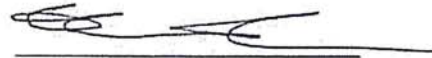
Suzette Taylor-Lee Chee
Commissioner



Patrick Solomon
Commissioner



Intiaz Hosein
Commissioner



Elaine Green
Commissioner



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 64(1)(a), (b) and (c) of the Securities Act,
Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago**

By

Caroni (1975) Limited

ORDER OF THE COMMISSION

Dated this 1st day of November, 2018

WHEREAS sections 64(1)(a), (b) and (c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) imposes certain obligations on reporting issuers with respect to material changes;

AND WHEREAS Caroni (1975) Limited (“the Respondent”), breached section 64(1)(a), (b) and (c) of the Act, by:

- i. filing material change reports (“MCR”) with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) past the due dates;
- ii. publishing notices of the material changes in two daily newspapers of general circulation in Trinidad and Tobago past the due dates; and
- iii. filing copies of the notices published in the newspapers, with the Commission past the due dates.

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 64(1)(a), (b) and (c) of the Act by failing to file and publish the aforementioned documents within the prescribed timeframes;

AND WHEREAS on October 15, 2018 the Respondent agreed to enter into a settlement agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

AND WHEREAS pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Eighty-Two Thousand Two Hundred Trinidad and Tobago Dollars (TT\$82,200.00), within twenty-eight days of the making of this Order;

213—Continued

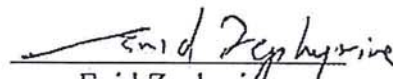
AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated October 15, 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

IT IS HEREBY ORDERED THAT:

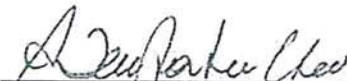
1. Caroni (1975) Limited (“the Respondent”) has contravened sections 64(1)(a), (b) and (c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”);
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Eighty-Two Thousand Two Hundred Trinidad and Tobago Dollars (TT\$82,200.00), within twenty-eight days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



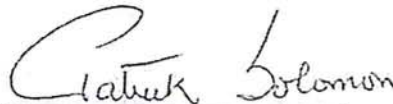
Douglas Mendes, S.C.
Chairman



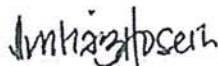
Enid Zephyrine
Deputy Chairman



Suzette Taylor-Lee Chee
Commissioner



Patrick Solomon
Commissioner



Intiaz Hosein
Commissioner



Elaine Green
Commissioner



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 56(4) of the Securities Act, 2012
and By-Law 53(3) of the Securities (General) By-Laws 2015.**

By

First Citizens Brokerage and Advisory Services Limited

ORDER OF THE COMMISSION

Dated this 1st day of November, 2018

WHEREAS First Citizens Brokerage and Advisory Services Limited (“the Respondent”), appointed Mr. Idrees Omardeen to its Board of Directors, effective April 4, 2017;

AND WHEREAS by letter dated April 5, 2017 the Respondent advised the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) of the change of Director, however the Respondent filed a Form 6 Notification with the Commission, in relation to the said appointment, past the due date of April 13, 2017;

AND WHEREAS by letter dated September 5, 2017 Staff of the Commission (“the Staff”) notified the Respondent that it was in contravention of section 56(4) of the Act and By-Law 53(3) of the By-Laws by filing the said Form 6 Notification with the Commission past the due date of April 13, 2017;

AND WHEREAS by letter dated September 19, 2017 the Respondent agreed to enter into settlement discussions with the Staff to resolve the contravention;

AND WHEREAS On June 8, 2018 the Respondent entered into a proposed settlement agreement with the Staff which was signed by the duly authorized representative of the Commission for and on behalf of the Commission and the duly authorized representative of the Respondent for and on behalf of the Respondent (“the Settlement Agreement”), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel.

214—Continued


AND WHEREAS The Settlement Panel approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission.

AND WHEREAS pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Twenty Thousand Trinidad and Tobago dollars (TT\$20,000.00) within twenty-eight days of the making of this Order.


AND UPON: the Board of Commissioners considering the submissions in the Settlement Agreement dated June 8, 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

IT IS HEREBY ORDERED THAT:

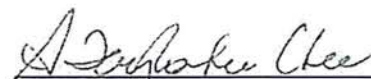
1. First Citizens Brokerage and Advisory Services Limited (“the Respondent”) has contravened section 56(4) of the Act and By-Law 53(3) of the By-Laws;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Twenty Thousand Trinidad and Tobago Dollars (TT\$20,000.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



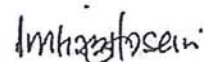
Douglas Mendes, S.C.
(Chairman)



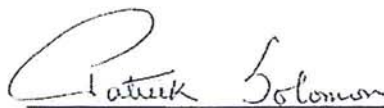
Enid Zephyrine
(Deputy Chairman)



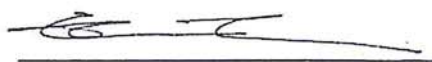
Suzette Taylor Lee Chee
(Commissioner)



Imtiaz Hosein
(Commissioner)



Patrick Solomon
(Commissioner)



Elaine Green
(Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of By-Law 40(1) of
the Securities (General) By-Laws, 2015**

By

Mondial (Trinidad) Limited

ORDER OF THE COMMISSION

Dated this 1st day of November 2018

WHEREAS By-Law 40(1) of the Securities (General) By-Laws, 2015 (“the By-Laws”) requires a registrant, registered under section 51(1) of the Securities Act, Chapter 83:02 Act of the Laws of the Republic of Trinidad and Tobago (“the Act”) to file an interim financial statement with the Commission, within a prescribed timeframe.

WHEREAS Mondial (Trinidad) Limited (“the Respondent”) filed its interim financial statements with the Commission for the interim period ending June 30, 2018, past the due date of August 29, 2018;

AND WHEREAS by letter dated September 28, 2018, Staff of the Commission informed the Respondent that it was in breach of By-Law 40(1) of the By-Laws by filing its interim financial statements with the Commission for the interim period ending June 30, 2018, past the due date of August 29, 2018;

AND WHEREAS by letter dated October 3, 2018 the Respondent acknowledged that it was in contravention of By-Law 40(1) of the By-Laws, and enclosed a cheque in the sum of One Thousand Trinidad and Tobago Dollars (TT\$1,000.00) as payment of an administrative fine for the contravention.

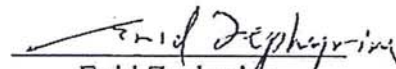
AND UPON the Board of Commissioners considering the submissions in Board Paper No. 058 of 2018 and being satisfied that no hearing is required in accordance with Section 159(10) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

IT IS HEREBY ORDERED THAT:

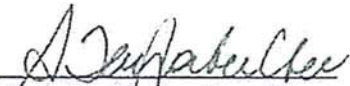
1. Mondial (Trinidad) Limited (“the Respondent”) has contravened By-Law 40(1) of the Securities (General) By-Laws, 2015 (“the By-Laws”);
1. Pursuant to Section 156(2) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”), the Respondent has paid to the Commission, an administrative fine in the sum of One Thousand Trinidad and Tobago Dollars (TT\$1,000.00), receipt of which the Commission hereby acknowledges; and
2. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



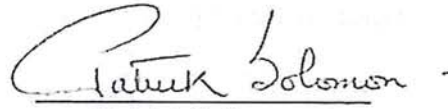
Douglas Mendes, S.C.
Chairman



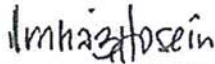
Enid Zephyrine
Deputy Chairman



Suzette Taylor-Lee Chee
Commissioner



Patrick Solomon
Commissioner



Imtiaz Hosein
Commissioner



Elaine Green
Commissioner



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 66(1) of the Securities Act, Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago**

By

National Insurance Property Development Company Limited

ORDER OF THE COMMISSION

Dated this 1st day of November 2018

WHEREAS Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file interim financial statements with the Commission, within a prescribed timeframe;

AND WHEREAS National Insurance Property Development Company Limited (“the Respondent”), filed its interim financial statements for the interim period ended March 31, 2018 with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”), past the due date of June 1, 2018;

AND WHEREAS Staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 66(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) by failing to file its interim financial statements with the Commission for the aforementioned interim period, within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 66(1) of the Act by filing the aforementioned interim financial statement past the due date of June 1, 2018;

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 051 of 2018 and being satisfied that no hearing is required in accordance with Section 159(10) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

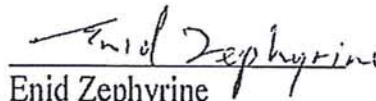
216—Continued

IT IS HEREBY ORDERED THAT:

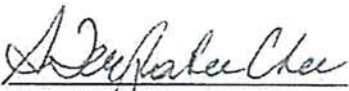
1. National Insurance Property Development Company Limited (“the Respondent”) has contravened Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”);
2. Pursuant to Section 156(2) of the Act, the Respondent has paid to the Commission, an administrative fine in the sum of Fifty-Four Thousand Trinidad and Tobago Dollars (TT\$54,000.00), receipt of which the Commission hereby acknowledges; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



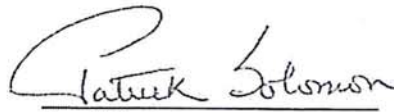
Douglas Mendes, S.C.
Chairman



Enid Zephyrine
Deputy Chairman



Suzette Taylor-Lee Chee
Commissioner



Patrick Solomon
Commissioner



Imtiaz Hosein
Commissioner



Elaine Green
Commissioner



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 64(1)(c) of the Securities Act, Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago**

By

NCB Financial Group Limited

ORDER OF THE COMMISSION

Dated this 1st day of November, 2018

WHEREAS section 64(1)(c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) imposes certain obligations on reporting issuers with respect to material changes;

AND WHEREAS NCB Financial Group Limited (“the Respondent”), following:

- i. The retirement of Mr. Wayne Chen from its Board of Directors effective January 26, 2018, filed its notice published in the newspapers with the Commission past the due date of February 6, 2018.
- ii. The cessation of the appointment of Mr. Noel Hylton to its Board of Directors as a result of his passing effective on February 24, 2018, filed its notice published in the newspapers with the Commission past the due date of March 6, 2018.

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 64(1)(c) of the Act by failing to file its published notice within the prescribed timeframe;


AND WHEREAS on October 5, 2018 the Respondent agreed to a settlement and entered into a settlement agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

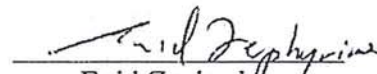
AND WHEREAS pursuant to the Settlement Agreement, the Respondent shall pay to the Commission, the sum of Sixteen Thousand Trinidad and Tobago Dollars (TT\$16,000.00), within twenty-eight days of the making of this Order;


AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated October 5, 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10) (a) of the Act.

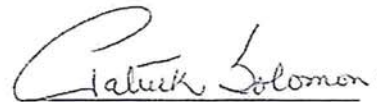
IT IS HEREBY ORDERED THAT:

1. NCB Financial Group Limited (“the Respondent”) has contravened section 64(1)(c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”);
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Sixteen Thousand Trinidad and Tobago Dollars (TT\$16,000.00), within twenty-eight (28) days of the making of this Order;
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.


Douglas Mendes, S.C.
Chairman


Enid Zephyrine
Deputy Chairman


Suzette Taylor-Lee Chee
Commissioner


Patrick Solomon
Commissioner


Intiaz Hosein
Commissioner


Elaine Green
Commissioner



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of Section 66(1) of the Securities Act, Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago**

By

Public Transport Service Corporation

ORDER OF THE COMMISSION

Dated this 1st day of November, 2018

WHEREAS section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file with the Commission, interim financial statements within a prescribed timeframe;

WHEREAS Public Transport Service Corporation (“the Respondent”), failed to file its interim financial statements with the Commission for the interim periods ended December 31, 2015, March 31, 2016, and June 30, 2016 by the required due dates of February 29, 2016, May 30, 2016, and August 29, 2016;

AND WHEREAS Staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 66(1) of the Act, by failing to file its interim financial statements with the Commission for the aforementioned interim periods, past their due dates;

AND WHEREAS on October 18, 2018 the Respondent agreed to a settlement and entered into a settlement agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

AND WHEREAS pursuant to the Settlement Agreement, the Respondent shall pay to the Commission the sum of Seventy-Two Thousand Eight Hundred Trinidad and Tobago Dollars (TT\$72,800.00) within twenty-eight days of the making of this Order;

AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated October 18, 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

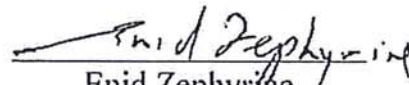
218—Continued

IT IS HEREBY ORDERED THAT:

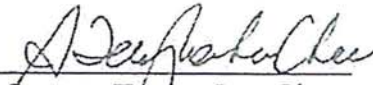
1. Public Transport Service Corporation (“the Respondent”) has contravened section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”);
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Seventy-Two Thousand Eight Hundred Trinidad and Tobago dollars (TT\$72,800.00) within twenty-eight days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



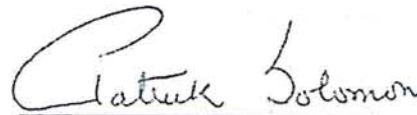
Douglas Mendes, S.C.
Chairman



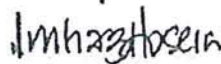
Enid Zephyrine
Deputy Chairman



Suzette Taylor-Lee Chee
Commissioner



Patrick Solomon
Commissioner



Imtiaz Hosein
Commissioner



Elaine Green
Commissioner



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of the Contravention of Section 64(1)(a), (b) and (c) and Section 56(4) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago

By

Public Transport Service Corporation

ORDER OF THE COMMISSION

Dated this 1st day of November, 2018

WHEREAS section 64(1)(a), (b) and (c); and Section 56(4) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) imposes certain obligations on reporting issuers with respect to material changes and prescribed events, respectively;

WHEREAS Public Transport Service Corporation (“the Respondent”), breached section 64(1)(a), (b) and (c); and section 56(4) of the Act by:

- i. filing Material Change Reports (“MCR”) with the Commission past the due dates;
- ii. publishing notices of the material changes in two daily newspapers of general circulation in Trinidad and Tobago past the due dates;
- iii. filing copies of the notices published in the newspapers, with the Commission past the due dates; and
- iv. filing Form 6 Notifications with the Commission past the due dates.

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 64(1)(a), (b) and (c); and section 56(4) of the Act by failing to file and publish the aforementioned documents within the prescribed timeframes;

AND WHEREAS on October 18, 2018 the Respondent agreed to enter into a settlement agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

AND WHEREAS pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Three Hundred and Sixty-Nine Thousand Nine Hundred Trinidad and Tobago dollars (TT\$369,900.00), within three months of the making of this Order;

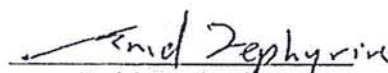
AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated October 18, 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

IT IS HEREBY ORDERED THAT:

1. Public Transport Service Corporation (“the Respondent”) has contravened section 64(1)(a), (b) and (c); and section 56(4) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Three Hundred and Sixty-Nine Thousand Nine Hundred Trinidad and Tobago Dollars (TT\$369,900.00), within three months of the making of this Order;
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago



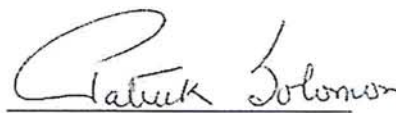
Douglas Mendes, S.C.
Chairman



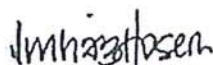
Enid Zephyrine
Deputy Chairman




Suzette Taylor-Lee Chee
Commissioner



Patrick Solomon
Commissioner



Imtiaz Hosein
Commissioner



Elaine Green
Commissioner



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 64(1)(c) of the Securities Act Chapter
83:02
of the Laws of the Republic of Trinidad and Tobago**

By

Scotiabank Trinidad and Tobago Growth Fund Inc.

ORDER OF THE COMMISSION

Dated this ^{1ST} day of *November*, 2018

WHEREAS Section 64(1)(c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file with the Commission within seven days of the occurrence of a material change, a copy of the notice of the said material change, that is published in accordance with Section 64(1) of the Act;

AND WHEREAS Scotiabank Trinidad and Tobago Growth Fund Inc. (“the Respondent”), appointed Mr. Dwight Burrows and Mr. Lissant Mitchell to its Board of Directors effective April 24, 2018.

AND WHEREAS the Respondent breached section 64(1)(c) of the Act, by filing a copy of its notice published in the newspaper, with the Commission past the due date of May 3, 2018;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 64(1)(c) of the Act by failing to file its published notice within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of section 64(1)(c) of the Act;

220—Continued

AND WHEREAS on June 8, 2018, the Respondent agreed to a settlement and entered into a settlement agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

AND WHEREAS pursuant to the Settlement Agreement, the Respondent shall pay to the Commission the sum of Six Thousand Three Hundred Trinidad and Tobago Dollars (TT\$6,300.00), within twenty-eight days of the making of this Order;

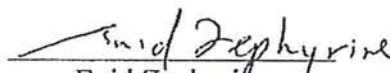
AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated October 15, 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

IT IS HEREBY ORDERED THAT:


1. Scotiabank Trinidad and Tobago Growth Fund Inc. (“the Respondent”) has contravened 64(1)(c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”);
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission, an administrative fine in the sum of Six Thousand Three Hundred Trinidad and Tobago Dollars (TT\$6,300.00), within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



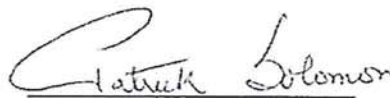
Douglas Mendes, S.C.
Chairman



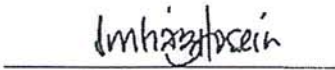
Enid Zephyrine
Deputy Chairman



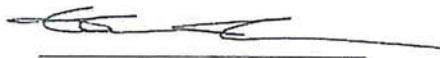
Suzette Taylor-Lee Chee
Commissioner



Patrick Solomon
Commissioner



Intiaz Hosein
Commissioner



Elaine Green
Commissioner



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 66(1) of the Securities Act, Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago**

By

Scotiabank Trinidad and Tobago Fixed Income Fund Inc.

ORDER OF THE COMMISSION

Dated this 1st day of November 2018

WHEREAS Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file interim financial statements with the Commission, within a prescribed timeframe;

WHEREAS Scotiabank Trinidad and Tobago Fixed Income Fund Inc. (“the Respondent”), filed its interim financial statements for the interim period ended June 30, 2018 with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”), past the due date of August 29, 2018;

AND WHEREAS Staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 66(1) of the Act by failing to file its interim financial statements with the Commission for the aforementioned interim period, within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of section 66(1) of the Act by filing the aforementioned interim financial statement past the due date of August 29, 2018;

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 049 of 2018 and being satisfied that no hearing is required in accordance with Section 159(10) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

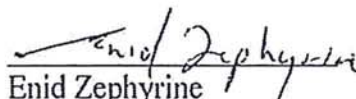
221—Continued

IT IS HEREBY ORDERED THAT:

1. Scotiabank Trinidad and Tobago Fixed Income Fund Inc. (“the Respondent”) has contravened Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”);
2. Pursuant to Section 156(2) of the Act, the Respondent has paid to the Commission an administrative fine in the sum of One Thousand Trinidad and Tobago Dollars (TT\$1,000.00), receipt of which the Commission will acknowledge; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



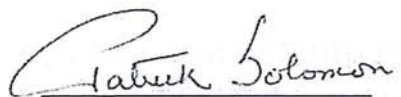
Douglas Mendes, S.C.
Chairman



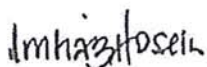
Enid Zephyrine
Deputy Chairman



Suzette Taylor-Lee Chee
Commissioner



Patrick Solomon
Commissioner



Intiaz Hosein
Commissioner



Elaine Green
Commissioner



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 64(1)(c) of the Securities Act Chapter
83:02
of the Laws of the Republic of Trinidad and Tobago**

By

Scotiabank Trinidad and Tobago Fixed Income Fund Inc.

ORDER OF THE COMMISSION

Dated this ^{1ST} day of *November*, 2018

WHEREAS Section 64(1)(c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file with the Commission within seven days of the occurrence of a material change, a copy of the notice of the said material change, that is published in accordance with Section 64(1) of the Act;

AND WHEREAS Scotiabank Trinidad and Tobago Fixed Income Fund Inc. (“the Respondent”), appointed Mr. Dwight Burrows and Mr. Lissant Mitchell to its Board of Directors effective April 24, 2018.

AND WHEREAS the Respondent breached section 64(1)(c) of the Act, by filing a copy of its notice published in the newspaper, with the Commission past the due date of May 3, 2018;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 64(1)(c) of the Act by failing to file its published notice within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of section 64(1)(c) of the Act;

222—Continued

AND WHEREAS on June 8, 2018, the Respondent agreed to a settlement and entered into a settlement agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

AND WHEREAS pursuant to the Settlement Agreement, the Respondent shall pay to the Commission the sum of Six Thousand Three Hundred Trinidad and Tobago Dollars (TT\$6,300.00), within twenty-eight days of the making of this Order;

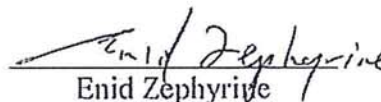
AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated October 15, 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

IT IS HEREBY ORDERED THAT:

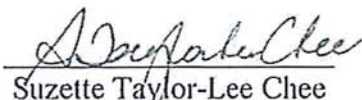
1. Scotiabank Trinidad and Tobago Fixed Income Fund Inc. (“the Respondent”) has contravened 64(1)(c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”);
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission, an administrative fine in the sum of Six Thousand Three Hundred Trinidad and Tobago Dollars (TT\$6,300.00), within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



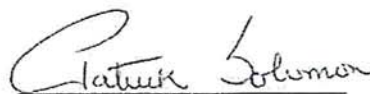
Douglas Mendes, S.C.
Chairman



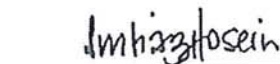
Enid Zephyrine
Deputy Chairman



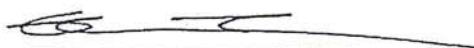
Suzette Taylor-Lee Chee
Commissioner



Patrick Solomon
Commissioner



Imtiaz Hosein
Commissioner



Elaine Green
Commissioner



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 66(1) of the Securities Act, Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago**

By

Scotiabank Trinidad and Tobago Growth Fund Inc.

ORDER OF THE COMMISSION

Dated this 1ST day of ~~November~~ 2018

WHEREAS Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file interim financial statements with the Commission, within a prescribed timeframe;

WHEREAS Scotiabank Trinidad and Tobago Growth Fund Inc. (“the Respondent”), filed its interim financial statements for the interim period ended June 30, 2018 with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”), past the due date of August 29, 2018;

AND WHEREAS Staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 66(1) of the Act by failing to file its interim financial statements with the Commission for the aforementioned interim period, within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of section 66(1) of the Act by filing the aforementioned interim financial statement past the due date of August 29, 2018;

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 048 of 2018 and being satisfied that no hearing is required in accordance with Section 159(10) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

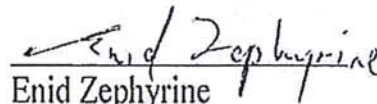
223—Continued

IT IS HEREBY ORDERED THAT:

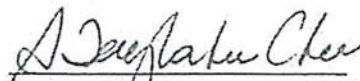
1. Scotiabank Trinidad and Tobago Growth Fund Inc. ("the Respondent") has contravened Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago ("the Act");
2. Pursuant to Section 156(2) of the Act, the Respondent has paid to the Commission an administrative fine in the sum of One Thousand Trinidad and Tobago Dollars (TT\$1,000.00), receipt of which the Commission will acknowledge; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



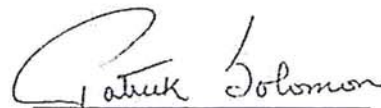
Douglas Mendes, S.C.
Chairman



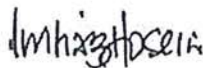
Enid Zephyrine
Deputy Chairman



Suzette Taylor-Lee Chee
Commissioner



Patrick Solomon
Commissioner



Imtiaz Hosein
Commissioner



Elaine Green
Commissioner



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 64(1)(a), (b) and (c)
of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago**

by

Unilever Caribbean limited

ORDER OF THE COMMISSION

Dated this 1ST day of November 2018.

WHEREAS Unilever Caribbean Limited (“the Respondent”), following the disposal of its Spread Business effective July 2, 2018, breached Sections 64(1)(a), (b) and (c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) by: -

- i. filing its Material Change Report with the Commission past the due date of July 5, 2018;
- ii. publishing a notice of the material change in two daily newspapers of general circulation in Trinidad and Tobago past the due date of July 11, 2018; and
- iii. filing a copy of the notice published in the newspapers, with the Commission past the due date of July 11, 2018.

AND WHEREAS by letter dated September 4, 2018 Staff of the Commission advised the Respondent that it was in contravention of Sections 64(1)(a), (b) and (c) of the Act by failing to file and publish the aforementioned documents within the prescribed timeframes;

AND WHEREAS by letter dated September 7, 2018 the Respondent acknowledged that it was in Contravention of Sections 64(1)(a), (b) and (c) of the Act, and paid the sum of Thirty-Two Thousand Trinidad and Tobago Dollars (TT\$32,000.00) representing the administrative fine for the contraventions.

AND UPON the Board of Commissioners considering the submissions in Board Paper No. 056 of 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(10) of the Act.

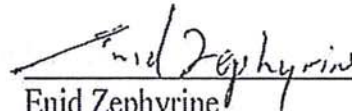
224—Continued

IT IS HEREBY ORDERED THAT:

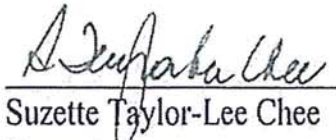
1. Unilever Caribbean Limited (“the Respondent”) has contravened Sections 64(1)(a), (b) and (c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”);
2. Pursuant to Section 156(2) of the Act, the Respondent has paid to the Commission, an administrative fine in the total sum of Thirty-Two Thousand Trinidad and Tobago Dollars (TT\$32,000.00), receipt of which the Commission hereby acknowledges; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



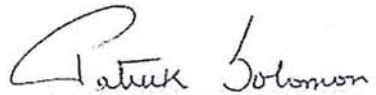
Douglas Mendes, S.C.
Chairman



Enid Zephyrine
Deputy Chairman



Suzette Taylor-Lee Chee
Commissioner



Patrick Solomon
Commissioner



Imtiaz Hosein
Commissioner



Elaine Green
Commissioner



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of Section 45(1) of the Securities Act, Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago**

and

**In the Matter of an Application by the Trinidad and Tobago Stock Exchange Limited to De-List
the Government of the Republic of Trinidad and Tobago's TT\$42.0616M Floating Rate Bond due
April 25, 2018**

ORDER OF THE COMMISSION

Dated this 1st day of November 2018

WHEREAS:

1. The Government of the Republic of Trinidad and Tobago TT\$42.0616M Floating Rate Bond due April 25, 2018 ("the Bond") was listed on the Trinidad and Tobago Stock Exchange Limited ("TTSE") on April 21, 2011;

AND WHEREAS:

2. The Bond matured on April 25, 2018 thereby rendering it untradeable on TTSE;
3. By way of correspondence dated May 3, 2018, Citicorp Merchant Bank confirmed that the Bond was repaid in full on April 26, 2018;
4. The TTSE submitted an application ("the said application") to the Trinidad and Tobago Securities and Exchange Commission ("the Commission") to de-list the bond under cover of letter dated May 8, 2018;
5. The TTSE submitted a Statement of Substance and Purpose dated May 8, 2018 and received by the Commission on May 9, 2018, together with the prescribed fees, requesting the approval of the de-listing of the Bond from its Official List;
6. The TTSE has confirmed that there have been no breaches of the TTSE Rules or Listing Agreement;

225—Continued

7. By way of Order dated July 27, 2016, the Commission delegated its power to approve de-listing applications that do not adversely affect the rights or interests of any person, and that are essentially procedural (“the powers so delegated”), to the Chief Executive Officer;
8. The Chief Executive Officer considered the said application on July 26, 2018 and concluded that the power to approve the said application was within his delegated powers; and
9. The Chief Executive Officer approved the said application in accordance with the delegated authority granted by the Board of Commissioners pursuant to the Consolidated Delegation of Powers Order dated July 27, 2016.

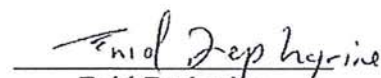
AND UPON the Board of Commissioners:

10. Being satisfied that the Chief Executive Officer acted in accordance with the delegated authority conferred on him in approving the said application for de-listing the Bond;
11. Being satisfied that no hearing is required in accordance with Section 159(10) if the Act as the making of this Order for the de-listing of the Bond is essentially procedural and does not adversely affect the rights and interests of any person; and


IT IS HEREBY ORDERED THAT:

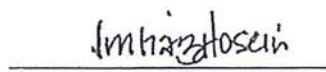
- I. The Government of the Republic of Trinidad and Tobago’s TT\$42.0616M Floating Rate Bond due April 25, 2018, shall be de-listed from the Official List, of the Trinidad and Tobago Stock Exchange Limited;
- II. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago; and
- III. This Order shall take effect from July 26, 2018.


 Douglas Mendes, S.C.
 Chairman


 Enid Zephyrine
 Deputy Chairman


 Suzette Taylor-Lee Chee
 Commissioner


 Patrick Solomon
 Commissioner


 Intiaz Hosein
 Commissioner


 Elaine Green
 Commissioner

TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION
ORDER OF THE COMMISSION



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of Section 155 (1A) (c) of the Securities Act, Chapter 83:02 of the Laws of the
Republic of Trinidad and Tobago

and

In the Matter of Section 159 (10) of the Securities Act, Chapter 83:02 of the Laws of the
Republic of Trinidad and Tobago

and

In the Matter of an Application
for First Citizens Bank Limited to be exempted from the requirement to have its branch
network registered for the purpose of the distribution of the National Investment Fund
Holding Company Limited TTS 4,000,000,000.00 Fixed Rate Bonds

and

In the Matter of an Application by First Citizens Brokerage and Advisory Services Limited
to be allowed to provide investment services at a Tobago branch of First Citizens Bank
Limited.

ORDER OF THE COMMISSION

Dated this 1st day of November 2018

WHEREAS First Citizens Brokerage and Advisory Services Limited ("FCBAS") was registered with the Trinidad and Tobago Securities and Exchange Commission ("the Commission"), as a Broker-Dealer, effective 7th March, 2002.

WHEREAS First Citizen Bank Limited ("FCB") was registered with the Commission as a Broker Dealer, effective 11th January, 2008.

TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

ORDER OF THE COMMISSION—CONTINUED

AND WHEREAS:

1. The National Investment Fund Holding Company Limited (“NIFHCL”) was registered with the Commission as a Reporting Issuer and NIFHCL TT\$400,000,000 Fixed Rate Bonds (the “NIFHL Bonds”), effective 11th July, 2018.
2. FCBAS applied as a registered broker-dealer and lead broker for the Offer for sale of the NIFHL Bonds to be exempted from the need to have FCB’s branch offices registered for the purposes of the distribution of the NIFHL Bonds. These Bonds were offered in three tranches beginning on 11th July 2018;
3. FCBAS indicated that the activities FCB conducted at its branch network in respect of the Bonds would be limited to limited to:
 - (i) Receiving subscription forms for the purchase of fixed income instruments for amounts less than (TT\$90,000) for investors with existing brokerage accounts;
 - (ii) Receiving cheque payments in respect of the offer for sale of the NIFHL Bonds; and
 - (iii) First pass verification of documents and information received and submitting same to FCBAS for processing.
4. FCBAS wrote to the Commission on July 3, 2018, requesting that it be allowed to provide investment advice at the Scarborough branch of FCB in Tobago (Tobago Branch office) in respect of the distribution of the NIFHL Bonds. FCBAS committed to ensuring that any advice provided at the Tobago branch office would be discharged by its Registered Representatives;
5. Section 51(1) of the SA provides that subject to the SA, *“no person shall carry on business or hold himself out as, or engage in any act, action or course of conduct in connection with, or incidental to, the business activities of—*
 - (a) a broker-dealer;*
 - (b) an investment adviser; or*
 - (c) an underwriter,**unless the person is registered, deemed to be registered as such, or otherwise exempted in accordance with this Act, and except for persons deemed registered, the person has received written notice of the registration from the Commission.”*
6. Section 56 (6) of the SA requires that *“A person opening a branch office where the class of business for which the person is registered under section 51(1) is intended to be conducted, shall apply to the Commission for registration of the branch office in such form as the Commission may determine and shall pay the prescribed fee and the Commission may grant such application subject to such conditions as it considers appropriate”*

226—Continued

TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION
ORDER OF THE COMMISSION—CONTINUED

7. Section 155 (1A) (c) of the SA provides that “*Where the Commission on its own motion or on an application by an interested person considers it to be not contrary to the public interest, it may make an order that any person be exempted from any requirement of this Act*”.
8. Prior to making an Order, the Commission is required under Section 159(1) of the Act to provide an opportunity for a hearing to each person affected by the Order. However, in accordance with Section 159(10) a hearing is not necessary in respect of
 - (a) “*An order that is essentially procedural;*
 - (b) *An order that does not adversely affect the rights or interests of any person.*”

AND UPON:

9. The Board of Commissioners considering submissions from Staff of the Commission in the form of Board Paper No. 028 of 2018;
10. The Board of Commissioners considering and being satisfied that this Order for FCB’s exemption from the requirement to register its branch offices for the purposes of the distribution of the NIFHL Bonds; and to allow FCBAS to provide investment services at a Tobago branch of First Citizens Bank Limited, does not adversely affect the rights or interests of any person, and therefore, no hearing is required in accordance with Section 159(1) of the Act; and
11. The Commission considering it to be not contrary to the public interest to make the following Orders in accordance with section 155(1A) (c) of the Act.

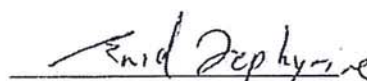
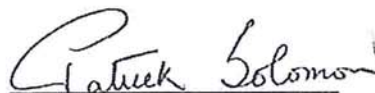
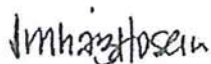
IT IS HERBY ORDERED THAT:

- I. First Citizens Bank Limited be exempted from the need to have its branch offices registered for the purposes of the distribution of the NIFHL Bonds subject to First Citizens Bank ensuring:
 - (i) That the activities it conducts in respect of the distribution are limited to the areas identified in FCBAS’s letter of 3rd July, 2018 to the Commission, namely:
 - (a) Receiving subscription forms for the purchase of fixed income instruments for amounts less than Ninety Thousand Trinidad and Tobago Dollars (TT\$90,000) for investors with existing brokerage accounts;
 - (b) Receiving cheque payments in respect of the offer for sale of the NIFHL Bonds; and
 - (c) First pass verification of documents and information received and submitting same to FCBAS for processing;

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TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION
ORDER OF THE COMMISSION—CONTINUED

- (ii) That adequate controls are in place at branches to ensure that no investment advice is provided to potential investors at the branches unless that advice is provided by a Registered Representative;
 - (iii) That it cooperates with the staff of the Commission with a view to determining, and if necessary ensuring, that the registration of its branch offices is regularised.
- II. First Citizens Brokerage and Advisory Services Limited (“FCBAS”) is authorized provide investment advice at a Tobago branch of First Citizens Bank Limited provided that it submits an application for registration of that branch forthwith and it ensures that while the application is pending, any advice provided at the Tobago branch is discharged by Registered Representatives of FCBAS;
- III. This Order shall be published in the Trinidad and Tobago Gazette and on the Commission’s website. A Notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago;
- IV. This Order shall be effective from 10th July, 2018.

Douglas Mendes, S.C.
ChairmanEnid Zephyrine
Deputy ChairmanSuzette Taylor-Lee Chee
CommissionerPatrick Solomon
CommissionerImtiaz Hosein
CommissionerElaine Green
Commissioner

**MEMBERSHIP OF THE BOARD OF GOVERNORS
OF THE CIPRIANI COLLEGE OF LABOUR AND CO-OPERATIVE STUDIES AS AT JANUARY 15, 2019**

Designation	Name of Member	Date Of Appointment	Date Of Termination	Term Of Appointment
Chairman	Dr. Marlene Attzs	12 January, 2018	15 February, 2019	Co-terminus with that of other Members, that is up to 15 February, 2019
Deputy Chairman	Mr. Trevor Johnson	16 February, 2016 (Member) 12 January, 2018 (Deputy Chairman)	15 February, 2019	Co-terminus with that of other Members, that is up to 15 February, 2019
Member	Mr. Nirvan Maharaj	16 February, 2016	15 February, 2019	Co-terminus with that of other Members, that is up to 15 February, 2019
Member	Mrs. Jillian Joy Bartlett-Alleyne Mr. Aynsley Matthews (deceased)	15 January, 2019 16 February, 2016	15 February, 2019 (passed on) 22, September 2018	Co-terminus with that of other Members, that is up to 15 February, 2019
Member	Mr. Michael Annisette	20 October, 2017	15 February, 2019	Co-terminus with that of other Members, that is up to 15 February, 2019
Member	Mrs. Shanmatee Singh Ng Sang	30 August, 2017	15 February, 2019	Co-terminus with that of other Members, that is up to 15 February, 2019
Member	Mr. Anderson Thorington	16 February, 2016	15 February, 2019	Co-terminus with that of other Members, that is up to 15 February, 2019
Member	Ms. Andrea Marcano-Quildon	12 January, 2018		Co-terminus with that of other Members, that is up to 15 February, 2019
Member	Mr. Christopher Auguste	12 January, 2018		Co-terminus with that of other Members, that is up to 15 February, 2019

January 15, 2019

N WILLIS
Acting Permanent Secretary
Ministry of Labour and Small Enterprise Development