



# TRINIDAD AND TOBAGO GAZETTE (EXTRAORDINARY)

VOL. 58

Caroni, Trinidad, Tuesday 16th July, 2019—Price \$1.00

No. 100

1290

## APPOINTMENT TO ACT AS MINISTER OF TRADE AND INDUSTRY

IT IS HEREBY NOTIFIED for general information that Her Excellency the President, acting in accordance with the advice of the Prime Minister, in exercise of the power vested in her by section 79(2) of the Constitution of the Republic of Trinidad and Tobago, has appointed the HONOURABLE CLARENCE RAMBHARAT, a member of the Senate who is a Minister, to act in the office of Senator the Honourable PAULA GOPEE-SCOON, Minister of Trade and Industry, with effect from 22nd June, 2019 and continuing during the absence from Trinidad and Tobago of the said Senator the Honourable Paula Gopee-Scoon, in addition to the discharge of his normal duties and those of the Minister of Labour and Small Enterprise Development.

C. JACKMAN-WALDRON  
*Secretary to Her Excellency  
the President*

24th June, 2019.

1291

## APPOINTMENT TO ACT AS MINISTER OF PLANNING AND DEVELOPMENT

IT IS HEREBY NOTIFIED for general information that Her Excellency the President, acting in accordance with the advice of the Prime Minister, in exercise of the power vested in her by subsection (2) of section 79 of the Constitution of the Republic of Trinidad and Tobago, has appointed ALLYSON WEST, a member of the Senate, who is a Minister, to act in the office of the Honourable CAMILLE ROBINSON-REGIS, Minister of Planning and Development, with effect from 22nd June, 2019 and continuing during the absence from Trinidad and Tobago of the said the Honourable Camille Robinson-Regis, M.P., in addition to the discharge of her normal duties.

C. JACKMAN-WALDRON  
*Secretary to Her Excellency  
the President*

24th June, 2019.

1292

## APPOINTMENT TO ACT AS MINISTER OF SPORT AND YOUTH AFFAIRS

IT IS HEREBY NOTIFIED for general information that Her Excellency the President, acting in accordance with the advice of the Prime Minister, in exercise of the power vested in her by subsection (2) of section 79 of the Constitution of the Republic of Trinidad and Tobago, has appointed the Honourable AYANNA WEBSTER-ROY, a member of the House of Representatives who is a Minister, to act in the office of the Honourable SHAMFA CUDJOE, Minister of Sport and Youth Affairs, with effect from 24th June, 2019 and continuing during the absence from Trinidad and Tobago of the said the Honourable Shamfa Cudjoe, M.P., in addition to the discharge of her normal duties.

C. JACKMAN-WALDRON  
*Secretary to Her Excellency  
the President*

24th June, 2019.

1293

## APPOINTMENT TO ACT AS MINISTER OF FOREIGN AND CARICOM AFFAIRS

IT IS HEREBY NOTIFIED for general information that Her Excellency the President, acting in accordance with the advice of the Prime Minister, in exercise of the power vested in her by subsection (2) of section 79 of the Constitution of the Republic of Trinidad and Tobago, has appointed the HONOURABLE STUART YOUNG, a member of the House of Representatives who is a Minister, to act in the office of the Honourable DENNIS MOSES, Minister of Foreign and CARICOM Affairs, with effect from 25th June, 2019 and continuing during the absence from Trinidad and Tobago of the said Senator the Honourable Dennis Moses, in addition to the discharge of his normal duties.

25th June, 2019.

C. JACKMAN-WALDRON  
Secretary to Her Excellency  
the President

1294

## APPOINTMENT TO BE TEMPORARILY MEMBERS OF THE SENATE

IT IS HEREBY NOTIFIED for general information that Her Excellency the President, acting in accordance with the advice of the Prime Minister, in exercise of the power vested in her, under the provisions of section 44(1)(a) and section 44(4)(a) of the Constitution of the Republic of Trinidad and Tobago, has appointed Mr. WAYNE A. M. INNIS, to be temporarily a member of the Senate, with effect from 17th June, 2019 and continuing during the absence from Trinidad and Tobago of Senator the Honourable JENNIFER BATISTE-PRIMUS.

17th June, 2019.

C. JACKMAN-WALDRON  
Secretary to Her Excellency  
the President

1295

IT IS HEREBY NOTIFIED for general information that Her Excellency the President, acting in accordance with the advice of the Prime Minister, in exercise of the power vested in her, under the provisions of section 44(1)(a) and section 44(4)(a) of the Constitution of the Republic of Trinidad and Tobago, has appointed Mr. NDALE YOUNG, to be temporarily a member of the Senate, with effect from 25th June, 2019 and continuing during the absence from Trinidad and Tobago of Senator the Honourable DENNIS MOSES.

25th June, 2019.

C. JACKMAN-WALDRON  
Secretary to Her Excellency  
the President

1296

APPOINTMENT OF MEMBERS TO THE BOARD OF DIRECTORS OF THE TELECOMMUNICATIONS  
AUTHORITY OF TRINIDAD AND TOBAGO (TATT)

PURSUANT to the provisions of section 6(2) to (8) of the Telecommunications Act, Chap. 47:31, Her Excellency the President, has appointed the undermentioned persons to the Board of Directors of the Telecommunications Authority of Trinidad and Tobago (TATT) effective 10th June, 2019 for the respective terms:

MR. GILBERT PETERSON	...	...	...	Chairman (3 years)
DR. KIM MALLALIEU	...	...	...	Deputy Chairman (3years)

Dated this 26th day of June, 2019.

J. MENDEZ  
Permanent Secretary  
Ministry of Public Administration

1297

## RESIGNATIONS FROM THE TRINIDAD AND TOBAGO DEFENCE FORCE (AIR GUARD AND COAST GUARD)

IN ACCORDANCE with the provisions of Section 16, of the Defence Act, Chap. 14:01 of the Laws of Trinidad and Tobago, it is notified for general information that Her Excellency the President, has accepted the resignation of the following members officers of the Trinidad and Tobago Defence Force (Air Guard and Coast Guard) with effect from the dates listed against their names:

No.	Name and Rank of Officer	Date of Resignation
7056	Squadron Leader DEVON PINHEIRO ... ..	1st October, 2019
15081	Sub Lieutenant AMIT SEERAM ... ..	1st July, 2019

3rd July, 2019.

V. A. LEWIS  
Permanent Secretary  
Ministry of National Security



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In the Matter of the Contravention of Section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago and By-Law 48(1) of the Securities (General) By-Laws, 2015**

by

**Epley Caribbean Property Fund Limited SCC**

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**ORDER OF THE COMMISSION**

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Dated this <sup>th</sup> 13 day of March 2019.

**WHEREAS** Epley Caribbean Property Fund Limited SCC (“the Respondent”) filed its comparative financial statements for its financial year ended September 30, 2018, with the Commission, past the due date of December 31, 2018.

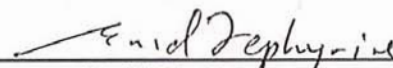

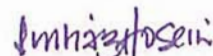
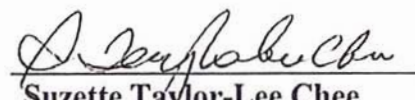
**AND WHEREAS**

1. By written communication, during the period of January 28, 2019 to February 5, 2019, Staff of the Commission (“the Staff”) informed the Respondent that it was in breach of Section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 48(1) of the Securities (General) By-Laws, 2015 (“the By-Laws”) by filing its audited annual comparative financial statements for its financial year ended September 30, 2018 with the Commission, three (3) days past the due date of December 31, 2018; and
2. By letter dated February 4, 2018 the Respondent acknowledged that it was in contravention of Section 65(1) of the Act and By-Law 48(1) of the By-Laws, and liable to pay an administrative fine for the late filing of the said audited annual comparative financial statements.

**AND UPON** the Board of Commissioners considering the submissions in Board Paper No.14 of 2019 and being satisfied that the making of the Order is essentially procedural and does not require a Hearing Pursuant to Section 159(10) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. Eppley Caribbean Property Fund Limited SCC (“the Respondent”) has contravened Section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 48(1) of the Securities (General) By-Laws, 2015 (“the By-Laws”);
2. Pursuant to section 156(2) of the Act, the Respondent is liable to pay to the Commission, an administrative fine in the sum of Three Thousand Trinidad and Tobago Dollars (TT\$3,000.00) within twenty-eight (28) days of the date of this Order; and
3. The Order is to be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

  
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**Douglas Mendes, S.C.**  
(Chairman)  
\_\_\_\_\_  
**Enid Zephyrine**  
(Deputy Chairman)  
\_\_\_\_\_  
**Elaine Green**  
(Commissioner)  
\_\_\_\_\_  
**Imtiaz Hosein**  
(Commissioner)  
\_\_\_\_\_  
**Patrick Solomon**  
(Commissioner)  
\_\_\_\_\_  
**Suzette Taylor-Lee Chee**  
(Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Contravention of Section 64(1)(a)  
of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago

By

Home Mortgage Bank

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ORDER OF THE COMMISSION

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Dated this 13<sup>th</sup> day of March, 2019

**WHEREAS** Section 64(1)(a) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file material change reports with the Commission, within a prescribed timeframe;

**AND WHEREAS** Home Mortgage Bank (“**the Respondent**”), following the appointment of Mr. Mark Wight as Chief Executive Officer effective April 30, 2018, breached section 64(1)(a) of the Act by filing its Material Change Report with the Commission past the due date of May 3, 2018;

**AND WHEREAS** staff of the Commission (“**the Staff**”) advised the Respondent that it was in contravention of section 64(1)(a) of the Act by failing to file its Material Change Report within the prescribed timeframe;

**AND WHEREAS** the Respondent agreed to enter into a settlement agreement with the Staff (“**the Settlement Agreement**”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

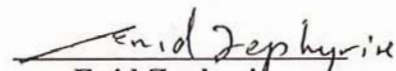
**AND WHEREAS** pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Four Thousand Five Hundred Trinidad and Tobago Dollars (TT\$4,500.00), within twenty-eight (28) days of the making of this Order;

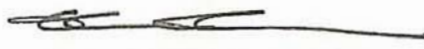
AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated February 6, 2019 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

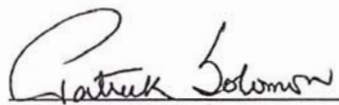
1. Home Mortgage Bank (“the Respondent”) has contravened 64(1)(a) of the Securities Act;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Four Thousand Five Hundred Trinidad and Tobago dollars (TT\$4,500.00), receipt of which the Commission hereby acknowledges; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.


  
Douglas Mendes, S.C.  
Chairman

  
Enid Zephyrine  
Deputy Chairman

  
Elaine Green  
Commissioner

  
Imtiaz Hosein  
Commissioner

  
Patrick Solomon  
Commissioner

  
Suzette Taylor-Lee Chee  
Commissioner



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matters of the Contraventions of Section 64(1)(a), (b) and (c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago**

**By**

**National Infrastructure Development Company Limited**

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**ORDER OF THE COMMISSION**

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Dated this 13<sup>th</sup> day of March, 2019

**WHEREAS** section 64(1)(a), (b) and (c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) imposes certain obligations on reporting issuers with respect to material changes;

**AND WHEREAS** National Infrastructure Development Company Limited (“the Respondent”), breached section 64(1)(a), (b) and (c) of the Act by:

- i. filing a Material Change Report (“MCR”) with the Commission past the due date;
- ii. publishing notices of the material changes in two daily newspapers of general circulation in Trinidad and Tobago past the due dates; and
- iii. filing copies of the notices published in the newspapers, with the Commission past the due dates;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 64(1)(a), (b) and (c) of the Act by failing to file and publish the aforementioned documents within the prescribed timeframes;

**AND WHEREAS** the Respondent entered into a settlement agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

**AND WHEREAS** pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Two Hundred and Fifty Thousand Two Hundred Trinidad and Tobago dollars (TT\$250,200.00), within three months of the making of this Order;

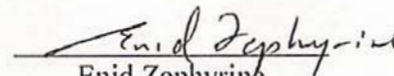
AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated January 18, 2019 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. National Infrastructure Development Company Limited (“the Respondent”) has contravened section 64(1)(a), (b) and (c) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Two Hundred and Fifty Thousand Two Hundred Trinidad and Tobago dollars (TT\$250,200.00), within three months of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



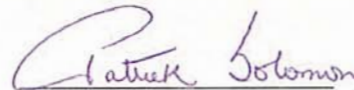
Douglas Mendes, S.C.  
Chairman



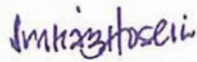
Enid Zephyrine  
Deputy Chairman



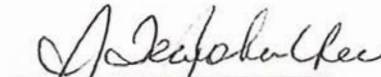
Elaine Green  
Commissioner



Patrick Solomon  
Commissioner



Intiaz Hosein  
Commissioner



Suzette Taylor-Lee Chee  
Commissioner





**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In the Matter of the Contravention of Section 64(1)(a) of the Securities Act, Chapter 83:02  
of the Laws of the Republic of Trinidad and Tobago**

by

**One Caribbean Media Limited**

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**ORDER OF THE COMMISSION**

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Dated this 13<sup>th</sup> day of March, 2019

**WHEREAS** section 64(1)(a) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file material change reports with the Commission, within a prescribed timeframe;

**AND WHEREAS** One Caribbean Media Limited (“the Respondent”), following the decision to invest in a new business venture and hold a fifty-five per cent (55%) shareholding in One Caribbean FlexiPac Industries and Solutions Limited effective April 25, 2018, breached section 64(1)(a) of the Act by filing its Material Change Report with the Commission past the due date of April 27, 2018.

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 64(1)(a) of the Act by failing to file its Material Change Report within the prescribed timeframe;


**AND WHEREAS** the Respondent agreed to enter into a settlement agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

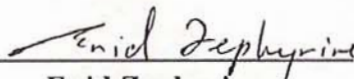
**AND WHEREAS** pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Three Thousand and Six Hundred Trinidad and Tobago Dollars (TT\$3,600.00) within twenty-eight (28) days of the making of this Order;

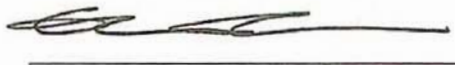
AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated January 18, 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

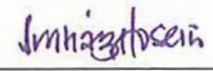
**IT IS HEREBY ORDERED THAT:**

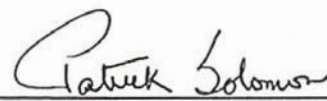
1. One Caribbean Media Limited (“the Respondent”) has contravened 64(1)(a) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Three Thousand, Six Hundred Trinidad and Tobago Dollars (TT\$3,600.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago

  
\_\_\_\_\_  
Douglas Mendes, S.C.  
(Chairman)

  
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Enid Zephyrine  
(Deputy Chairman)

  
\_\_\_\_\_  
Elaine Green  
(Commissioner)

  
\_\_\_\_\_  
Intiaz Hosein  
(Commissioner)

  
\_\_\_\_\_  
Patrick Solomon  
(Commissioner)

  
\_\_\_\_\_  
Suzette Taylor Lee Chee  
(Commissioner)



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In the Matter of the Contravention of Sections 64(1)(a), (b) and (c) of the Securities Act,  
Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago**

by

**The Sports Company of Trinidad and Tobago Limited**

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**ORDER OF THE COMMISSION**

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Dated this 13<sup>th</sup> day of March, 2019

**WHEREAS** sections 64(1)(a), (b) and (c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) imposes certain obligations on reporting issuers with respect to material changes;

**AND WHEREAS** The Sports Company of Trinidad and Tobago Limited (“the Respondent”), breached sections 64(1)(a), (b) and (c) of the Act by:

- i. filing Material Change Reports (“MCR”) with the Commission past the due dates;
- ii. publishing notices of the material changes in two daily newspapers of general circulation in Trinidad and Tobago past the due dates; and
- iii. filing copies of the notices published in the newspapers, with the Commission past the due dates.

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of sections 64(1)(a), (b) and (c) of the Act by failing to file and publish the aforementioned documents within the prescribed timeframes;

**AND WHEREAS** the Respondent entered into a Settlement Agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

**AND WHEREAS** pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of One Million Eight Hundred and Sixty-Four Thousand Trinidad and Tobago Dollars (TT\$1,864,000.00), within three months of the making of this Order;

**AND UPON** the Board of Commissioners considering the submissions in the Settlement Agreement dated January 18, 2019 and being satisfied that the making of this Order

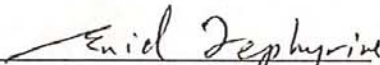
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is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

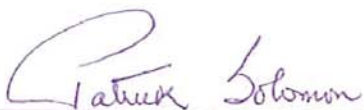
1. The Sports Company of Trinidad and Tobago Limited (“the Respondent”) has contravened sections 64(1)(a), (b) and (c) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of One Million Eight Hundred and Sixty-Four Thousand Trinidad and Tobago Dollars (TT\$1,864,000.00), within three months of the making of this Order;
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago

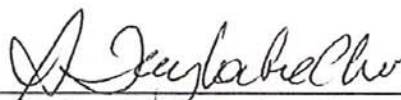
  
\_\_\_\_\_  
Douglas Mendes, S.C.  
(Chairman)

  
\_\_\_\_\_  
Enid Zephyrine  
(Deputy Chairman)

  
\_\_\_\_\_  
Elaine Green  
(Commissioner)

  
\_\_\_\_\_  
Imtiaz Hosein  
(Commissioner)

  
\_\_\_\_\_  
Patrick Solomon  
(Commissioner)

  
\_\_\_\_\_  
Suzette Taylor-Lee Chee  
(Commissioner)



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In the Matter of the Contravention of Section 61(2) of the Securities Act Chapter 83:02  
of the Laws of the Republic of Trinidad and Tobago**

**By**

**The Sports Company of Trinidad and Tobago Limited**

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**ORDER OF THE COMMISSION**

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Dated this <sup>th</sup> 13 day of March, 2019

**WHEREAS** section 61(2) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file with the Commission, within the prescribed time frame, its interim financial statements;

**AND WHEREAS** The Sports Company of Trinidad and Tobago Limited (“the Respondent”), filed its revised registration statement for the financial years ended September 30, 2016, with the Commission, past the due date of October 14, 2016;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 61(2) of the Act by failing to file its revised registration statements for the financial year ended September 30, 2016, with the Commission, within the prescribed timeframe;

**AND WHEREAS** the Respondent entered into a Settlement Agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

**AND WHEREAS** pursuant to the Settlement Agreement the Respondent shall pay to the Commission, the sum of Forty-One Thousand Four Hundred Trinidad and Tobago Dollars (TT\$41,400.00) within three months of the making of this Order;

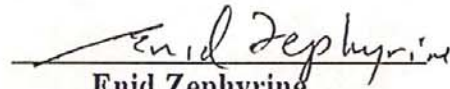
**AND UPON** the Board of Commissioners considering the submissions in the Settlement Agreement dated January 18, 2019 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. The Sports Company of Trinidad and Tobago Limited (“the Respondent”) has contravened section 61(2) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Forty-One Thousand Four Hundred Trinidad and Tobago Dollars (TT\$41,400.00) within three months of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



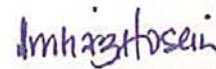
Douglas Mendes, S.C.  
(Chairman)



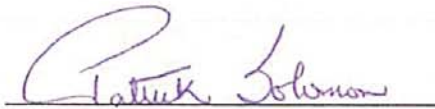
Enid Zephyrine  
(Deputy Chairman)



Elaine Green  
(Commissioner)



Intiaz Hosein  
(Commissioner)



Patrick Solomon  
(Commissioner)



Suzette Taylor-Lee Chee  
(Commissioner)



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In the Matter of the Contravention of Section 66(1) of the Securities Act Chapter 83:02  
of the Laws of the Republic of Trinidad and Tobago**

by

**The Sports Company of Trinidad and Tobago Limited**

---

**ORDER OF THE COMMISSION**

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Dated this 13<sup>th</sup> day of March, 2019

**WHEREAS** section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file with the Commission, within the prescribed time frame, its interim financial statements;

**AND WHEREAS** The Sports Company of Trinidad and Tobago Limited (“the Respondent”), filed its interim financial statements for the interim periods ended December 31, 2015 and June 30, 2017, with the Commission, past the required due dates of February 29, 2016 and August 29, 2017 respectively;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 66(1) of the Act by failing to file its interim financial statements for the aforementioned interim periods, with the Commission, by the required due dates;

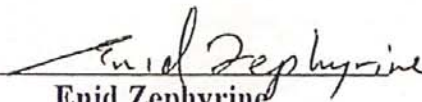

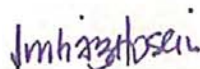
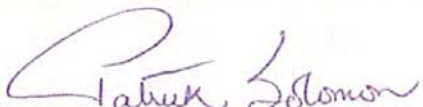
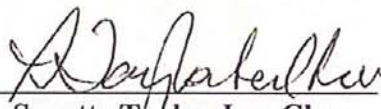
**AND WHEREAS** the Respondent entered into a Settlement Agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

**AND WHEREAS** pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Sixty-Four Thousand Eight Hundred Trinidad and Tobago Dollars (TT\$64,800.00) within three months of the making of this Order;

**AND UPON** the Board of Commissioners considering the submissions in the Settlement Agreement dated January 18, 2019 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. The Sports Company of Trinidad and Tobago Limited (“the Respondent”) has contravened section 66(1) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Sixty-Four Thousand Eight Hundred Trinidad and Tobago Dollars (TT\$64,800.00) within three months of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

  
\_\_\_\_\_  
**Douglas Mendes, S.C.**  
(Chairman)  
\_\_\_\_\_  
**Enid Zephyrine**  
(Deputy Chairman)  
\_\_\_\_\_  
**Elaine Green**  
(Commissioner)  
\_\_\_\_\_  
**Imtiaz Hosein**  
(Commissioner)  
\_\_\_\_\_  
**Patrick Solomon**  
(Commissioner)  
\_\_\_\_\_  
**Suzette Taylor-Lee Chee**  
(Commissioner)





**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In the Matter of the Contravention of Section 65(1) of the Securities Act Chapter 83:02  
and By-Law 48(1) of the Securities (General) By-Laws 2015.**

**By**

**The Sports Company of Trinidad and Tobago Limited**

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**ORDER OF THE COMMISSION**

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Dated this 13<sup>th</sup> day of March, 2019

**WHEREAS** section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 48(1) of the Securities (General) By-Laws (“the By-Laws”) require a reporting issuer to file with the Commission, within the prescribed time frame, its audited annual comparative financial statements;

**AND WHEREAS** The Sports Company of Trinidad and Tobago Limited (“the Respondent”), filed its audited annual comparative financial statement for the financial year ended September 30, 2016, with the Commission, past the due date of December 29, 2016;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 65(1) of the Act and By-Law 48(1) of the By-Laws by failing to file its audited annual comparative financial statements for the financial year ended September 30, 2016, with the Commission, within the prescribed timeframe;

**AND WHEREAS** the Respondent entered into a settlement agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

**AND WHEREAS** pursuant to the Settlement Agreement, the Respondent shall pay to the Commission the sum of One Hundred and Fifty-Five Thousand and Four Hundred Trinidad and Tobago Dollars (TT\$155,400.00) within three months of the making of this Order;

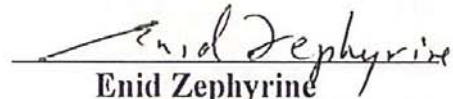
**AND UPON** the Board of Commissioners considering the submissions in the Settlement Agreement dated January 18, 2019, and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. The Sports Company of Trinidad and Tobago Limited (“the Respondent”) has contravened section 65(1) of the Act, and By-Law 48(1) of the By-Laws;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of One Hundred and Fifty-Five Thousand and Four Hundred Trinidad and Tobago Dollars (TT\$155,400.00) within three months of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



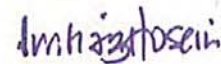
Douglas Mendes, S.C.  
(Chairman)



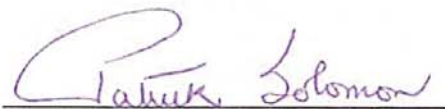
Enid Zephyrine  
(Deputy Chairman)



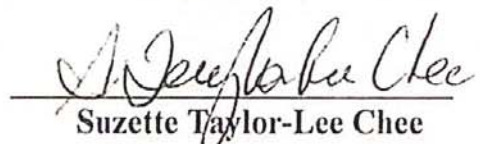
Elaine Green  
(Commissioner)



Imtiaz Hosein  
(Commissioner)



Patrick Solomon  
(Commissioner)



Suzette Taylor-Lee Chee  
(Commissioner)



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In the Matter of the Contravention of Section 63(a) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago and By-Law 47(c) of the Securities (General) By-Laws, 2015**

by

**The Sports Company of Trinidad and Tobago Limited**

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**ORDER OF THE COMMISSION**

---

Dated this 13<sup>th</sup> day of March, 2019

**WHEREAS** section 63(a) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 47(c) of the Securities (General) By-Laws, 2015 (“the By-Laws”) require a reporting issuer to file with the Commission, within the prescribed time frame, its annual report;

**AND WHEREAS** The Sports Company of Trinidad and Tobago Limited (“the Respondent”), failed to file its annual report for the financial years ended September 30, 2015 and September 30, 2016, with the Commission, by the required due dates of January 28, 2016, January 28, 2017 respectively;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 63(a) of the Act and By-Law 47(c) of the By-Laws by failing to file its annual report for the financial years ended September 30, 2015 and September 30, 2016, with the Commission, within the prescribed timeframe;

**AND WHEREAS** the Respondent entered into a Settlement Agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

**AND WHEREAS** pursuant to the Settlement Agreement, the Respondent shall pay to the Commission the sum of Two Hundred and One Thousand Trinidad and Tobago Dollars (TT\$201,000.00) within three months of the making of this Order;

**AND UPON** the Board of Commissioners considering the submissions in the Settlement Agreement dated January 18, 2019 and being satisfied that the making of this Order

is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. The Sports Company of Trinidad and Tobago Limited (“the Respondent”) has contravened section 63(a) of the Act and By-Law 47(c) of the By-Laws;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Two Hundred and One Thousand Trinidad and Tobago Dollars (TT\$201,000.00) within three months of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



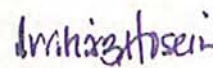
Douglas Mendes, S.C.  
(Chairman)



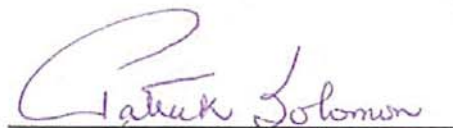
Enid Zephyrine  
(Deputy Chairman)



Elaine Green  
(Commissioner)



Imtiaz Hosein  
(Commissioner)



Patrick Solomon  
(Commissioner)



Suzette Taylor-Lee Chee  
(Commissioner)



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 136(1) of the Securities Act Chapter 83:02 of the  
Laws of the Republic of Trinidad and Tobago**

**By**

**Anthony Subero**

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**ORDER OF THE COMMISSION**

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Dated this <sup>th</sup> 26 day of April, 2019

**WHEREAS** Section 136(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) imposes certain filing obligations on persons who become connected to a reporting issuer;

**AND WHEREAS** Anthony Subero (“the Respondent”) became a person connected to Republic Financial Holdings Limited (“RFHL”) by virtue of his appointment as RFHL’s Chief Risk Officer effective August 1, 2016;

**AND WHEREAS** RFHL acting on behalf of the Respondent, filed with the Commission a Form No. 22 notification past the due date of August 8, 2016;

**AND WHEREAS** the late filing of the Form 22 notification with the Commission past the due date of August 8, 2016 amounted to a contravention by the Respondent;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that he was in contravention of Section 136(1) of the Act by failing to file the said Form No. 22 notification within the prescribed timeframe;

**AND WHEREAS** the Respondent acknowledged that he was in contravention of Section 136(1) of the Act arising from the filing of the aforementioned Form No. 22 notification past the due date;

**AND UPON** the Board of Commissioners considering the submissions in Board Paper No. 021 of 2019 and being satisfied that no hearing is required in accordance with Section 159(10)(a) of the Act,

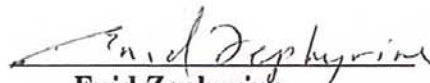
since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

**IT IS HEREBY ORDERED THAT:**

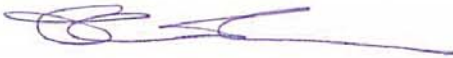
1. Anthony Subero (“the Respondent”) has contravened Section 136(1) of the Act;
2. Pursuant to Section 156(1) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Three Thousand Trinidad and Tobago dollars (TT\$3,000.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



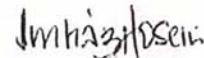
**Douglas Mendes, S.C.**  
(Chairman)



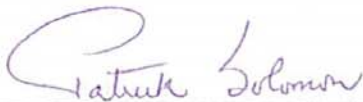
**Enid Zephyrine**  
(Deputy Chairman)



**Elaine Green**  
(Commissioner)



**Imtiaz Hosein**  
(Commissioner)



**Patrick Solomon**  
(Commissioner)



**Suzette Taylor-Lee Chee**  
(Commissioner)



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 63(a) of the Securities Act Chapter 83:02  
of the Laws of the Republic of Trinidad and Tobago and By-Law 47(c)  
of the Securities (General) By-Laws 2015**

**By**

**CinemaOne Limited**

---

**ORDER OF THE COMMISSION**

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Dated this <sup>7<sup>th</sup></sup> 26 day of April, 2019

**WHEREAS** Section 63(a) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (the “Act”) and By-Law 47(c) of the Securities (General) By-laws (the “By-Laws”) require a reporting issuer to file with the Commission its annual report within a prescribed timeframe;

**AND WHEREAS** CinemaOne Limited (“the Respondent”), failed to file its annual report with the Commission for the financial year ended September 30, 2018 by the required due date of January 28, 2019;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 63(a) of the Act and By-Law 47(c) of the By-Laws by failing to file its annual report with the Commission for the aforementioned financial year end, within the prescribed timeframe;

**AND WHEREAS** the Respondent acknowledged that it was in contravention of Section 63(a) of the Act and By-Law 47(c) of the By-Laws by filing the aforementioned annual report past the due date of January 28, 2019;

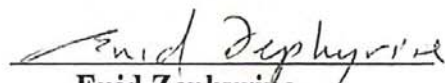
**AND UPON** the Board of Commissioners considering the submissions in Board Paper No. 023 of 2019 and being satisfied that no hearing is required in accordance with Section 159(10)(a) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

**IT IS HEREBY ORDERED THAT:**

1. CinemaOne Limited (“the Respondent”) has contravened Section 63(a) of the Act and By-Law 47(c) of the By-laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Eight Thousand Trinidad and Tobago Dollars (TT\$8,000.00), within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



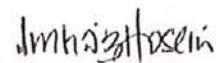
**Douglas Mendes, S.C.**  
(Chairman)



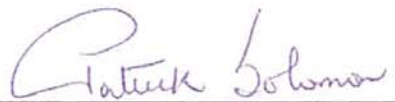
**Enid Zephyrine**  
(Deputy Chairman)




**Elaine Green**  
(Commissioner)



**Imtiaz Hosein**  
(Commissioner)



**Patrick Solomon**  
(Commissioner)



**Suzette Taylor-Lee Chee**  
(Commissioner)





**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 65(1) of the Securities Act Chapter 83:02  
of the Laws of the Republic of Trinidad and Tobago and By-Law 48(1) of the  
Securities (General) By-Laws 2015**

**By**

**CinemaOne Limited**

---

**ORDER OF THE COMMISSION**

---

Dated this <sup>th</sup>26 day of April, 2019

**WHEREAS** section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 48(1) of the Securities (General) By-Laws (“the By-Laws”) require a reporting issuer to file with the Commission, within the prescribed time frame, its audited annual comparative financial statements;

**WHEREAS** CinemaOne Limited (“the Respondent”), filed with the Commission its audited annual comparative financial statements for the financial year ended September 30, 2018 past the due date of December 31, 2018;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of section 65(1) of the Act and By-Law 48(1) of the By-Laws by failing to file its audited annual comparative financial statements with the Commission for the financial year ended September 30, 2018 within the prescribed timeframe;

**AND WHEREAS** the Respondent entered into a settlement agreement with the Staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

**AND WHEREAS** pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Twenty-Nine Thousand Seven Hundred and Fifty Trinidad and Tobago dollars (TT\$29,750.00) within twenty-eight days of the making of this Order;

**AND UPON:** the Board of Commissioners considering the submissions in the Settlement Agreement dated 22<sup>nd</sup> March, 2019 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. CinemaOne Limited (“the Respondent”) has contravened 65(1) of the Act and By-Law 48(1) of the By-Laws;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Twenty-Nine Thousand Seven Hundred and Fifty Trinidad and Tobago dollars (TT\$29,750.00) within twenty-eight days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



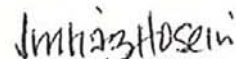
**Douglas Mendes, S.C.**  
(Chairman)



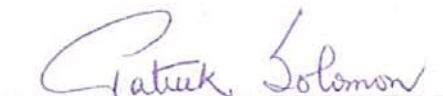
**Enid Zephyrine**  
(Deputy Chairman)




**Elaine Green**  
(Commissioner)



**Imtiaz Hosein**  
(Commissioner)



**Patrick Solomon**  
(Commissioner)



**Suzette Taylor-Lee Chee**  
(Commissioner)



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 61(2) of the Securities Act Chapter 83:02  
of the Laws of the Republic of Trinidad and Tobago**

**By**

**Development Finance Limited**

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**ORDER OF THE COMMISSION**

---

Dated this <sup>th</sup> 26 day of April, 2019

**WHEREAS** Section 61(2) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a reporting issuer to file with the Commission, within the prescribed time frame, its revised registration statement;

**AND WHEREAS** Development Finance Limited (“the Respondent”), filed its revised registration statement with the Commission for the financial year ended December 31, 2018 past the due date of January 14, 2019;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 61(2) of the Act by failing to file its revised registration statement with the Commission for the financial year ended December 31, 2018 within the prescribed timeframe;

**AND WHEREAS** the Respondent acknowledged that it was in contravention of Section 61(2) of the Act by filing the aforementioned revised registrations statement with the Commission past the due date of January 14, 2019;

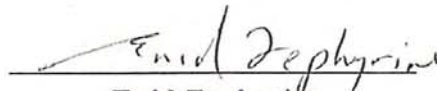
**AND UPON** the Board of Commissioners considering the submissions in Board Paper No. 024 of 2019 and being satisfied that no hearing is required in accordance with Section 159(10)(a) of the Act, since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

**IT IS HEREBY ORDERED THAT:**

1. Development Finance Limited (“the Respondent”) has contravened Section 61(2) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of One Thousand Trinidad and Tobago dollars (TT\$1,000.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



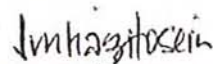
**Douglas Mendes, S.C.**  
(Chairman)



**Enid Zephyrine**  
(Deputy Chairman)



**Elaine Green**  
(Commissioner)



**Imtiaz Hosein**  
(Commissioner)



**Patrick Solomon**  
(Commissioner)



**Suzette Taylor-Lee Chee**  
(Commissioner)



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 66(1) of the Securities Act Chapter 83:02  
of the Laws of the Republic of Trinidad and Tobago**

**By**

**National Infrastructure Development Company Limited**

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**ORDER OF THE COMMISSION**

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Dated this <sup>7<sup>th</sup></sup> 26 day of April, 2019

**WHEREAS** Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) require a reporting issuer to file with the Commission, within the prescribed time frame, its interim financial statements;

**AND WHEREAS** National Infrastructure Development Company Limited (“the Respondent”), filed with the Commission its interim financial statements for the interim period ended December 31, 2017 past the required due date of March 1, 2018;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 66(1) of the Act by failing to file its interim financial statements with the Commission for the aforementioned interim period, by the required due date;

**AND WHEREAS** the Respondent acknowledged that it was in contravention of Section 66(1) of the Act by filing the aforementioned interim financial statements with the Commission past the due date of March 1, 2018;

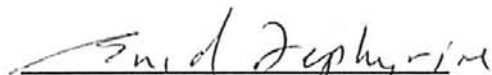
**AND UPON** the Board of Commissioners considering the submissions in Board Paper No. 026 of 2019 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. National Infrastructure Development Company Limited (“the Respondent”) has contravened Section 66(1) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of One Thousand Trinidad and Tobago Dollars (TT\$1,000.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



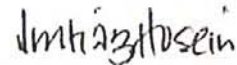
**Douglas Mendes, S.C.**  
(Chairman)



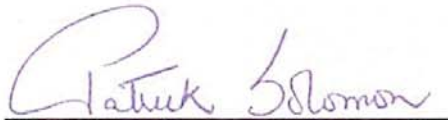
**Enid Zephyrine**  
(Deputy Chairman)



**Elaine Green**  
(Commissioner)



**Intiaz Hosein**  
(Commissioner)



**Patrick Solomon**  
(Commissioner)



**Suzette Taylor-Lee Chee**  
(Commissioner)



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 66(1) of the Securities Act Chapter 83:02  
of the Laws of the Republic of Trinidad and Tobago**

**By**

**RBTT Finance Limited**

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**ORDER OF THE COMMISSION**

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Dated this <sup>7<sup>th</sup></sup> 26 day of April, 2019

**WHEREAS** Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) require a Reporting Issuer to file with the Commission, within the prescribed time frame, its interim financial statements;

**AND WHEREAS** RBTT Finance Limited (“the Respondent”), filed with the Commission its interim financial statements for the interim period ended January 31, 2018 past the required due date of April 3, 2018;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 66(1) of the Act by failing to file its interim financial statements with the Commission for the aforementioned interim period, by the required due date;

**AND WHEREAS** the Respondent acknowledged that it was in contravention of Section 66(1) of the Act by filing the aforementioned interim financial statements with the Commission past the due date of April 3, 2018;

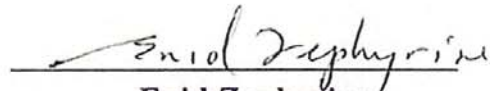
**AND UPON** the Board of Commissioners considering the submissions in Board Paper No. 025 of 2019 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. RBTT Finance Limited (“the Respondent”) has contravened Section 66(1) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Forty-Two Thousand Trinidad and Tobago Dollars (TT\$42,000.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



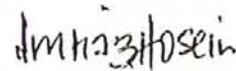
**Douglas Mendes, S.C.**  
(Chairman)



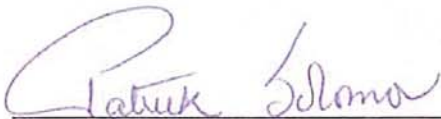
**Enid Zephyrine**  
(Deputy Chairman)



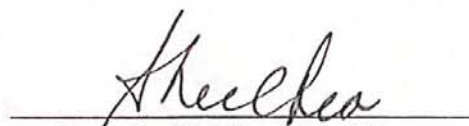
**Elaine Green**  
(Commissioner)



**Intiaz Hosein**  
(Commissioner)



**Patrick Solomon**  
(Commissioner)



**Suzette Taylor-Lee Chee**  
(Commissioner)





**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 136(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago**

**By**

**Riah Dass-Mungal**

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**ORDER OF THE COMMISSION**

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Dated this <sup>th</sup> 26 day of April, 2019

**WHEREAS** Section 136(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) imposes certain filing obligations on persons who become connected to a reporting issuer;

**AND WHEREAS** Riah Dass-Mungal (“the Respondent”) became a person connected to Republic Financial Holdings Limited (“RFHL”) by virtue of her appointment as RFHL’s Chief Internal Auditor effective August 1, 2016;

**AND WHEREAS** RFHL acting on behalf of the Respondent, filed with the Commission a Form No. 22 notification past the due date of August 8, 2016;

**AND WHEREAS** the late filing of the Form 22 notification with the Commission past the due date of August 8, 2016 amounted to a contravention by the Respondent;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that she was in contravention of Section 136(1) of the Act by failing to file the said Form No. 22 notification within the prescribed timeframe;

**AND WHEREAS** the Respondent acknowledged that she was in contravention of Section 136(1) of the Act arising from the filing of the aforementioned Form No. 22 notification past the due date;

**AND UPON** the Board of Commissioners considering the submissions in Board Paper No. 022 of 2019 and being satisfied that no hearing is required in accordance with Section 159(10)(a) of the Act,

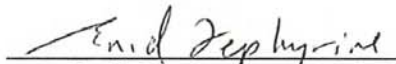
since the making of this Order is essentially procedural and does not adversely affect the rights or interest of any person.

**IT IS HEREBY ORDERED THAT:**

1. Riah Dass-Mungal (“the Respondent”) has contravened Section 136(1) of the Act;
2. Pursuant to Section 156(1) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Four Thousand Trinidad and Tobago dollars (TT\$4,000.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



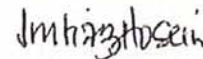
**Douglas Mendes, S.C.**  
(Chairman)



**Enid Zephyrine**  
(Deputy Chairman)



**Elaine Green**  
(Commissioner)



**Imtiaz Hosein**  
(Commissioner)



**Patrick Solomon**  
(Commissioner)



**Suzette Taylor-Lee Chee**  
(Commissioner)



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 65(1) of the Securities Act Chapter 83:02  
of the Laws of the Republic of Trinidad and Tobago and By-Law 48(1) of the  
Securities (General) By-Laws 2015**

by

**Royal Bank of Canada**

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**ORDER OF THE COMMISSION**

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Dated this <sup>th</sup> 26 day of April 2019

**WHEREAS** Section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 48(1) of the Securities (General) By-Laws (“the By-Laws”) require a reporting issuer to file with the Commission, within the prescribed time frame, its audited annual comparative financial statements;

**WHEREAS** Royal Bank of Canada (“the Respondent”), filed with the Commission its audited annual comparative financial statements for the financial year ended October 31, 2018 past the due date of January 29, 2019;

**AND WHEREAS** staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 65(1) of the Act and By-Law 48(1) of the By-Laws by failing to file its audited annual comparative financial statements with the Commission for the financial year ended October 31, 2018 within the prescribed timeframe;

**AND UPON:** The Board of Commissioners considering the submissions in Board Paper No. 020 of 2019 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. Royal Bank of Canada (“the Respondent”) has contravened Section 65(1) of Act and By-Law 48(1) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Twenty-Two Thousand Trinidad and Tobago dollars (TT\$22,000.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



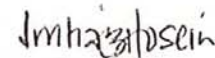
**Douglas Mendes, S.C.**  
(Chairman)



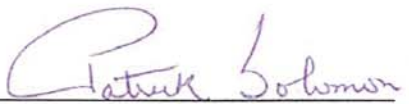
**Enid Zephyrine**  
(Deputy Chairman)



**Elaine Green**  
(Commissioner)



**Imtiaz Hosein**  
(Commissioner)



**Patrick Solomon**  
(Commissioner)



**Suzette Taylor-Lee Chee**  
(Commissioner)



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 136(1) of the Securities Act, Chapter 83:02  
of the Laws of the Republic of Trinidad and Tobago**

**By**

**Renee-Ann Kowlessar**

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**ORDER OF THE COMMISSION**

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Dated this 3<sup>rd</sup> day of May 2019

**WHEREAS** Section 136(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) requires a person connected to a reporting issuer to file a Form 22- Trading Report of a connected person to a Reporting Issuer with the Commission, within a prescribed timeframe;

**AND WHEREAS** Renee-Ann Kowlessar (“the Respondent”), filed a Form 22- Trading Report of a connected person to a Reporting Issuer with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”), past the due date of June 14, 2018;

**AND WHEREAS** Staff of the Commission (“the Staff”) advised the Respondent that she was in contravention of Section 136(1) of the Act by failing to file a Form 22- Trading Report of a connected person to a Reporting Issuer with the Commission, within the prescribed timeframe;

**AND WHEREAS** the Respondent entered into a settlement agreement with the staff (“the Settlement Agreement”), and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

**AND WHEREAS** pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Forty Thousand Eight Hundred Trinidad and Tobago Dollars (TT40,800.00) within twenty-eight days of the making of this Order;

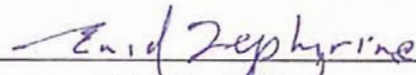
**AND UPON** the Board of Commissioners considering the submissions in the Settlement Agreement dated March 22, 2019 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10) (a) of the Act.

**IT IS HEREBY ORDERED THAT:**

1. Renee-Ann Kowlessar (“the Respondent”) has contravened Section 136(1) of the Securities Act,
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission, an administrative fine in the sum of Forty Thousand Eight Hundred Trinidad and Tobago Dollars (TT40,800.00), within twenty-eight (28) days of the date of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



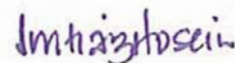
Douglas Mendes, S.C.  
(Chairman)



Enid Zephyrine  
(Deputy Chairman)



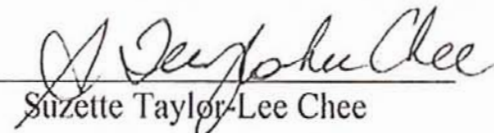
Elaine Green  
(Commissioner)



Imtiaz Hosein  
(Commissioner)



Patrick Solomon  
(Commissioner)



Suzette Taylor-Lee Chee  
(Commissioner)

1316

LOSS OF MARITIME LIFE (CARIBBEAN) LIMITED  
POLICIES

CHARLENE HARDING having made sworn declaration that Policy Number 383152 issued by MARITIME LIFE (CARIBBEAN) LIMITED on the life of HAROON KHAN (Deceased), has been lost and having made application to the Company for the death benefit of the policy, notice is hereby given that unless objection is raised within one month of the date thereof, the death benefit due will be paid.

Dated the 4th day of July, 2019.

MARITIME LIFE (CARIBBEAN) LIMITED  
No. 29 Tenth Avenue  
Barataria.

1317

JOANNE MARCANO having made sworn declaration that Policy Number 386611 issued by MARITIME LIFE (CARIBBEAN) LIMITED has been lost and having made application to the Company for a duplicate policy, notice is hereby given that unless objection is raised within one month of the date thereof, the duplicate policy asked for will be issued.

Dated the 4th day of July, 2019.

MARITIME LIFE (CARIBBEAN) LIMITED  
No. 29 Tenth Avenue  
Barataria.

1318

KURT LLOYD PHILBERT having made sworn declaration that Policy Number 316694 issued by MARITIME LIFE (CARIBBEAN) LIMITED has been lost and having made application to the Company for a duplicate policy, notice is hereby given that unless objection is raised within one month of the date thereof, the duplicate policy asked for will be issued.

Dated the 4th day of July, 2019.

MARITIME LIFE (CARIBBEAN) LIMITED  
No. 29 Tenth Avenue  
Barataria.

1319

JAMEELA BOODOO having made sworn declaration that Policy Number 409069 issued by MARITIME LIFE (CARIBBEAN) LIMITED on the life of MITRA TAAREK BEHARRY has been lost and having made application to the Company for a duplicate policy, notice is hereby given that unless objection is raised within one month of the date thereof, the duplicate policy asked for will be issued.

Dated the 4th day of July, 2019.

MARITIME LIFE (CARIBBEAN) LIMITED  
No. 29 Tenth Avenue  
Barataria.

1320

GARNET MORTIMER HANSON having made sworn declaration that Policy Number 353735 issued by MARITIME LIFE (CARIBBEAN) LIMITED has been lost and having made application to the Company for a duplicate policy, notice is hereby given that unless objection is raised within one month of the date thereof, the duplicate policy asked for will be issued.

Dated the 4th day of July, 2019.

MARITIME LIFE (CARIBBEAN) LIMITED  
No. 29 Tenth Avenue  
Barataria.

1321

BELINDA GLYNIS DILLON having made sworn declaration that Policy Number 213121 issued by MARITIME LIFE (CARIBBEAN) LIMITED has been lost and having made application to the Company for a duplicate policy, notice is hereby given that unless objection is raised within one month of the date thereof, the duplicate policy asked for will be issued.

Dated the 5th day of July, 2019.

MARITIME LIFE (CARIBBEAN) LIMITED  
No. 29 Tenth Avenue  
Barataria.

1322

MITRA SANT having made sworn declaration that Policy Number 295200 issued by MARITIME LIFE (CARIBBEAN) LIMITED has been lost and having made application to the Company for a duplicate policy, notice is hereby given that unless objection is raised within one month of the date thereof, the duplicate policy asked for will be issued.

Dated the 5th day of July, 2019.

MARITIME LIFE (CARIBBEAN) LIMITED  
No. 29 Tenth Avenue  
Barataria.

1323

SPECIAL LICENSING SESSIONS  
(*Liquor Licences Act, Chap. 84:10*)

PORT-OF-SPAIN

NOTICE is hereby given that by lawful authority under the provisions of the Liquor Licences Act, Chap. 84:10, the Licensing Committee for the Licensing District of St. George West, Port-of-Spain Area, has appointed THURSDAY THE 18TH DAY OF JULY, 2019 at 1.00 o'clock in the afternoon at the Port-of-Spain Magistrates' Court as the day, hour and place at which a Special Session will be held to hear and determine the application of Keith A. M. Gomes of No. 73, Valle Drive, Orange Grove, Tacarigua, for a Certificate authorising him to carry on the business of a Special Restaurant in respect of premises situate at No. 6, Eastern Main Road, San Juan.

Dated this 6th day of May, 2019 at the Port-of-Spain Magistrates' Court.

H. DILLON  
*Secretary, Licensing Committee*  
St. George West

1324

SPECIAL LICENSING SESSIONS—CONTINUED  
(*Liquor Licences Act, Chap. 84:10*)

PORT-OF-SPAIN

NOTICE is hereby given that by lawful authority under the provisions of the Liquor Licences Act, Chap. 84:10, the Licensing Committee for the Licensing District of St. George West, Port-of-Spain Area, has appointed THURSDAY THE 18TH DAY OF JULY, 2019 at 1.00 o'clock in the afternoon at the Port-of-Spain Magistrates' Court as the day, hour and place at which a Special Session will be held to hear and determine the application of Reinaldo Mohammed of No. 72, 12th Street, Barataria, for a Certificate authorising him to carry on the trade of a Spirit Retailer in respect of premises situate at Lot No. 51A, Aranguez Main Road, San Juan.

Dated this 6th day of May, 2019 at the Port-of-Spain Magistrates' Court.

H. DILLON  
*Secretary, Licensing Committee*  
*St. George West*

1325

NOTICE is hereby given that by lawful authority under the provisions of the Liquor Licences Act, Chap. 84:10, the Licensing Committee for the Licensing District of St. George West, Port-of-Spain Area, has appointed THURSDAY THE 18TH DAY OF JULY, 2019 at 1.00 o'clock in the afternoon at the Port-of-Spain Magistrates' Court as the day, hour and place at which a Special Session will be held to hear and determine the application of Kripal Singh of No. 30, John Dulam Street, Aranguez, San Juan, for a Certificate authorising him to carry on the trade of a Spirit Retailer in respect of premises situate at Corner Aranguez Main Road and Savannah Road, San Juan.

Dated this 20th day of May, 2019 at the Port-of-Spain Magistrates' Court.

H. DILLON  
*Secretary, Licensing Committee*  
*St. George West*

1326

PORT-OF-SPAIN

NOTICE is hereby given that by lawful authority under the provisions of the Liquor Licences Act, Chap. 84:10, the Licensing Committee for the Licensing District of St. George West, Port-of-Spain Area, has appointed THURSDAY THE 18TH DAY OF JULY, 2019 at 1.00 o'clock in the afternoon at the Port-of-Spain Magistrates' Court as the day, hour and place at which a Special Session will be held to hear and determine the application of Tamica Charles-Phillips and UDECOTT of No. 27, Papillon Drive, Signature Park, d'Abadie, for a Certificate authorising him/her to carry on the business of a Special Restaurant in respect of premises situate at Auditorium Conference Centre, Level 2 & 4 Government Plaza, Corner Richmond and Queen Streets, Port-of-Spain.

Dated this 10th day of June, 2019 at the Port-of-Spain Magistrates' Court.

H. DILLON  
*Secretary, Licensing Committee*  
*St. George West*

1327

CHAGUANAS

NOTICE is hereby given that by lawful authority under the provisions of the Liquor Licences Act, Chap. 84:10, the Liquor Licensing Committee for the Licensing District of the County of Caroni, Chaguanas Area, has appointed FRIDAY THE 19TH DAY OF JULY, 2019 at 1.00 o'clock in the afternoon at the Chaguanas Magistrate's Court as the day, hour and place at which a Special Session will be held to hear and determine the application of Latchman Chirkut of 54, Madras Road, St. Helena Village, Piarco, for a Certificate authorizing him/her to carry on the trade of a Spirit Grocer in respect of premises situate at Madras Road Junction, St. Helena Village, Piarco.

Dated this 27th day of June, 2019 at the Chaguanas Magistrate's Court.

L. BAPTISTE  
*Chairperson, Licensing Committee,*  
*Caroni*