

# TRINIDAD AND TOBAGO GAZETTE (EXTRAORDINARY)

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#### 1649

#### SUPPLEMENT TO THIS ISSUE

THE DOCUMENTS detailed hereunder have been issued and are published as a Supplement to this issue of the *Trinidad and Tobago Gazette:* 

Legal Supplement Part B-

Common External Tariff (Suspension) (No. 2) Order, 2018-(Legal Notice No. 164 of 2018).

Common External Tariff (Variation of Duty) (No. 2) Order, 2018-(Legal Notice No. 165 of 2018).

Common External Tariff (Reduction of Duty) Order, 2018-(Legal Notice No. 166 of 2018).

#### 1650

#### REVOCATION OF APPOINTMENT TO PERFORM THE FUNCTIONS OF THE PRIME MINISTER

IT IS HEREBY NOTIFIED for general information that Her Excellency the President, acting in accordance with the advice of the Prime Minister, in exercise of the power vested in her by subsection (1) of section 78 of the Constitution of the Republic of Trinidad and Tobago and all other powers thereto her enabling, has revoked, with effect from the morning of 20th September, 2018, the authority conferred on the Honourable Minister of Finance, Mr. COLM IMBERT, M.P., by Instrument dated 18th September, 2018, to perform the functions of the Prime Minister.

21st September, 2018.

G. SERRETTE Secretary to Her Excellency the President

#### 1651

REPUBLIC OF TRINIDAD AND TOBAGO

IN THE ESTATE OF

JOAN SERRETTE—Deceased

of Corner Campo and San Antonio Streets, San Juan, Trinidad-Retiree/Married

NOTICE is hereby given that creditors and other persons having claims against, or an interest in the Estate of the above-named deceased, should give notice in writing to Emile Serrette, Gillian Serrette-Johnson and Alisha Philbert of Corner Campo and San Antonio Streets, San Juan, Trinidad, the Legal Personal Representatives of the estate of the above-named deceased and the persons to whom a Grant of Letters of Probate was issued by the High Court of Justice not later than the 15th day of December, 2018, after which date the said Emile Serrette, Gillian Serrette-Johnson and Alisha Philbert, the Legal Personal Representatives of the said deceased, intend to distribute the Estate of the deceased among the parties entitled thereto having regard only to the claims of which notice has been received by the said Emile Serrette, Gillian Serrette-Johnson and Alisha Philbert.

Dated this 27th day of November, 2018.

EMILE SERRETTE, GILLIAN SERRETTE-JOHNSON, ALISHA PHILBERT to whom a Grant of Letters of Probate of the Estate of the above-named deceased was issued by the High Court of Justice Settlement Agreement Between staff of the Commission and NCB Global Holdings Limited/In The Matter of Rule 61 and Rule 62 of Securities Industry (Hearings and Settlements) Practice Rules, 2008



In the Matter of the Securities Act Chapter 83:02 of the Laws of Trinidad and Tobago ("Act") and the Securities Industry (Take-Over) By-Laws, 2005 ("By-Laws")

# And

In the Matter of Rule 61 and Rule 62 of Securities Industry (Hearings and Settlements) Practice Rules, 2008 ("Hearing Rules")

# And

In the Matter of NCB Global Holdings Limited's along with the National Commercial Bank of Jamaica ("NCBJ") and National Commercial Bank Financial Holdings Limited (collectively referred to as "Offeror") Offer and Take-Over Bid Circular ("Bid Circular") dated December 8, 2017 (and amended on January 12, 2018 and February 2, 2018), to acquire 74,230,750 ordinary shares ("Targeted Shares") in Guardian Holdings Limited ("GHL" or "Offeree Issuer") so as to result in the Offeror holding not more than 62% of the issued and outstanding ordinary shares of Offeree Issuer and other related transactions leading up to the issuance of same ("the Matter").

# ORDER OF THE COMMISSION

Dated this 5th day of November, 2018

WHEREAS on February 26, 2018 the Commission issued an amended Notice of Hearing (the "Notice of Hearing") pursuant to Section 159 of the Act, in respect of the Offeror, GHL and certain individuals and entities who are or were at the material time shareholders of GHL (collectively referred to as the "Key Shareholders").

1652—Continued

Settlement Agreement Between staff of the Commission and NCB Global Holdings Limited / In The Matter of Rule 61 and Rule 62 of Securities Industry (Hearings and Settlements) Practice Rules, 2008

AND WHEREAS the Offeror entered into a Settlement Agreement with the Staff dated 10<sup>th</sup> September, 2018 in which the Offeror agreed to the proposed settlement of the proceeding commenced by the Notice of Hearing, subject to the approval of the Commission;

AND WHEREAS the Co-Applicant has agreed to withdraw from the proceedings against the Offeror upon the approval of the Settlement Agreement by the Commission;

AND WHEREAS the Settlement Panel of the Commission approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission for its approval;

AND UPON the Board of Commissioners reviewing the Notice of Hearing and the Settlement Agreement dated 10<sup>th</sup> September, 2018 and considering the submissions and undertakings contained therein; and

**AND UPON** the Board of Commissioners being satisfied that it is in the public interest to make this Order and that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(10)(a) of the Act.

### IT IS HEREBY ORDERED THAT:

1. The Settlement Agreement dated 10th September, 2018, is hereby approved; and

 This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two (2) daily newspapers of general circulation in Trinidad and Tobago.

# BY ORDER OF THE COMMISSION