



# TRINIDAD AND TOBAGO GAZETTE (EXTRAORDINARY)

VOL. 57

Caroni, Trinidad, Tuesday 14th August, 2018—Price \$1.00

No. 102

1143

## APPOINTMENT AS A MEMBER OF THE PUBLIC SERVICE COMMISSION

IT IS HEREBY NOTIFIED for general information that Her Excellency the President, in exercise of the power vested in her by subsection (2) of section 120 of the Constitution of the Republic of Trinidad and Tobago, and after consultation with the Prime Minister and the Leader of the Opposition, has appointed Ms. ANGELLA JACK as a member of the Public Service Commission, for a period of three (3) years, with effect from 3rd August, 2018.

3rd August, 2018.

G. SERRETTE  
*Secretary to Her Excellency  
the President*

1144



## APPOINTMENT OF COMMISSIONERS AT THE TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

NOTICE is hereby given that in accordance with the provisions of sections 10 and 12 of the Trinidad and Tobago Securities Act, 2012, of the Laws of the Republic of Trinidad and Tobago, the following person has been appointed as Commissioner to the Board of the Trinidad and Tobago Securities and Exchange Commission (T&TSEC) with effect from June 21, 2018 until April 24, 2020.

MS. ELAINE GREEN ... .. Commissioner



## NOTICE TO JURORS

PUBLIC NOTICE is hereby given that one of the Judges of the High Court of Justice will sit in Chambers at the **Supreme Court at San Fernando** on **Thursday 16th August, 2018** at 9:00 o'clock in the forenoon for the purpose of hearing applications by persons for exemption from serving as Jurors at the Session to be held at San Fernando on **Monday 3rd September, 2018**.

All such applications should be made in writing.

Applications made after that date may not be entertained.

Dated this the 20th day of July 2018

Assistant Registrar  
Supreme Court  
San Fernando.



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of the Contravention of Section 66(1) of the Securities Act, 2012

By

Water and Sewerage Authority of Trinidad and Tobago

ORDER OF THE COMMISSION

\*\*\*\*\*

Dated this 28<sup>th</sup> day of March, 2018

WHEREAS Water and Sewerage Authority of Trinidad and Tobago (“the Respondent”), filed its interim financial statements with the Commission for the interim period ended June 30, 2017 past the due date of August 29, 2017;

AND WHEREAS by letter dated November 14, 2017 Staff of the Commission advised the Respondent that it was in contravention of section 66(1) of the Securities Act 2012 (“the Act”) by failing to file its interim financial statements with the Commission for the interim period ended June 30, 2017 within the prescribed timeframe;

AND WHEREAS by letter dated November 17, 2017 the Respondent acknowledged that it was in contravention of section 66(1) (a) of the Act;

AND WHEREAS on February 20, 2018 the Respondent entered into a proposed settlement agreement with the Staff of the Commission which was signed by the duly authorized representative of the Commission for and on behalf of the Commission and the duly authorized representative of the Respondent for and on behalf of the Respondent (“the Settlement Agreement”), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel;

AND WHEREAS the Settlement Panel approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission;

AND WHEREAS pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Sixty-Four Thousand Eight Hundred Trinidad and Tobago dollars (TT\$64,800.00) within twenty-eight (28) days of the making of this Order;

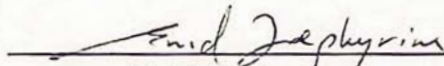
**AND UPON:** the Board of Commissioners considering the submissions in the Settlement Agreement dated February 20, 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

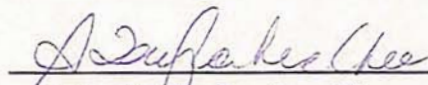
1. Water and Sewerage Authority of Trinidad and Tobago (“the Respondent”) has contravened 66(1) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Sixty-Four Thousand Eight Hundred Trinidad and Tobago dollars (TT\$64,800.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



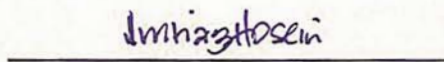
**Douglas Mendes, S.C.**  
(Chairman)



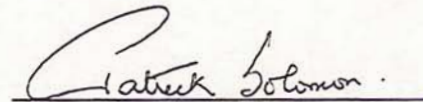
**Enid Zephyrine**  
(Deputy Chairman)



**Suzette Taylor-Lee Chee**  
(Commissioner)



**Imtiaz Hosein**  
(Commissioner)



**Patrick Solomon**  
(Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of the Contravention of By-Law 27(1)(d) of the Securities (General) By-Laws, 2015

by

SHBL Investments Company Limited

ORDER OF THE COMMISSION

\*\*\*\*\*

Dated this 28<sup>th</sup> day of March, 2018

**WHEREAS** SHBL Investments Company Limited (“**the Respondent**”) is required to maintain at all times a minimum capital of Fifty Thousand Trinidad and Tobago dollars (TT\$50,000.00) pursuant to By-Law 27(1)(d) of the Securities (General) By-Laws 2016 (“**the By-Laws**”);

**AND WHEREAS** on December 31, 2015, June 30, 2016 and December 31 the Respondent failed to maintain a minimum capital of Fifty Thousand Trinidad and Tobago dollars (TT\$50,000.00);

**AND WHEREAS** by letter dated July 7, 2017 Staff of the Commission advised the Respondent that it was in contravention of By-Law 27(1)(d) of the By-Laws by failing to maintain at all times a minimum capital of Fifty Thousand Trinidad and Tobago dollars (TT\$50,000.00);

**AND WHEREAS** by letter dated November 7, 2017 the Respondent acknowledged that it was in contravention of By-law 27(1)(d) of the By-Laws;

**AND WHEREAS** on February 20, 2018 the Respondent entered into a proposed settlement agreement with the Staff of the Commission which was signed by the duly authorized representative of the Commission for and on behalf of the Commission and the duly authorized representative of the Respondent for and on behalf of the Respondent (“**the Settlement Agreement**”), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel;

**AND WHEREAS** the Settlement Panel approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission;

**AND WHEREAS** pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Forty-Five Thousand Trinidad and Tobago dollars (TT\$45,000.00) within twenty-eight (28) days of the making of this Order;

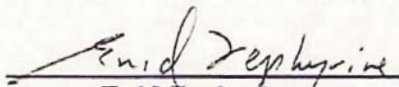
AND UPON: the Board of Commissioners considering the submissions in the Settlement Agreement dated February 20, 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

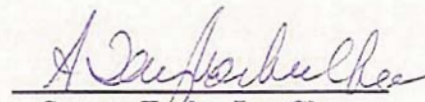
1. SHBL Investments Company Limited (“the Respondent”) has contravened By-law 27(1)(d) of the By-Laws;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Forty-Five Thousand Trinidad and Tobago dollars (TT\$45,000.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



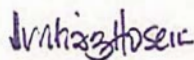
**Douglas Mendes, S.C.**  
(Chairman)



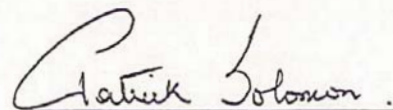
**Enid Zephyrine**  
(Deputy Chairman)



**Suzette Taylor-Lee Chee**  
(Commissioner)



**Imtiaz Hosein**  
(Commissioner)



**Patrick Solomon**  
(Commissioner)



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 56(4) of the Securities Act, 2012  
and By-Law 53(2) of the Securities (General) By-Laws 2015.**

**By**

**FCL Financial Limited**

**ORDER OF THE COMMISSION**

\*\*\*\*\*

Dated this 28<sup>th</sup> day of March, 2018

**WHEREAS** on October 31, 2016 FCL Financial Limited (“**the Respondent**”), failed to maintain a minimum capital of Fifty Thousand Trinidad and Tobago dollars (TT\$50,000.00), which amounted to a prescribed event;

**AND WHEREAS** the Respondent filed with the Commission a Form 6 Notification in relation to the said prescribed event, past the due date of November 9, 2016;

**AND WHEREAS** by letter dated October 27, 2017 the Staff of the Commission notified the Respondent that it was in contravention of section 56(4) of the Securities Act 2012 (“**the Act**”) and By-Law 53(2) of the Securities (General) By-Laws (“**the By-Laws**”) by filing the said Form 6 Notification with the Commission past the due date of November 9, 2016;

**AND WHEREAS** by letter dated December 1, 2017 the Respondent acknowledged that it was in contravention of section 56(4) of the Act and By-Law 53(2) of the By-Laws;

**AND WHEREAS** on February 20, 2018 the Respondent entered into a proposed settlement agreement with the Staff of the Commission which was signed by the duly authorized representative of the Commission for and on behalf of the Commission and the duly authorized representative of the Respondent for and on behalf of the Respondent (“**the Settlement Agreement**”), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel;

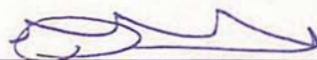
**AND WHEREAS** The Settlement Panel approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission;

**AND WHEREAS** pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Eighty-Three Thousand Seven Hundred Trinidad and Tobago dollars (\$83,700.00) within three (3) months of the making of this Order;

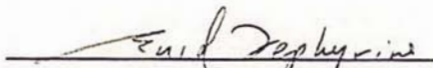
**AND UPON:** the Board of Commissioners considering the submissions in the Settlement Agreement dated February 20, 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

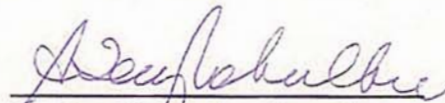
1. FCL Financial Limited (“the Respondent”) has contravened 56(4) of the Act and By-Law 53(2) of the By-Laws;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Eighty-Three Thousand Seven Hundred Trinidad and Tobago dollars (\$83,700.00) within three (3) months of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



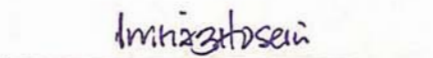
**Douglas Mendes, S.C.**  
(Chairman)



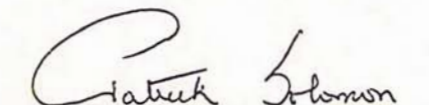
**Enid Zephyrine**  
(Deputy Chairman)



**Suzette Taylor-Lee Chee**  
(Commissioner)



**Imtiaz Hosein**  
(Commissioner)



**Patrick Solomon**  
(Commissioner)





**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of By-Law 39(1) of the Securities (General) By-laws 2015**

**by**

**KCL Capital Market Brokers Limited**

**ORDER OF THE COMMISSION**

\*\*\*\*\*

Dated this 28<sup>th</sup> day of March 2018

**WHEREAS** KCL Capital Market Brokers Limited (“**the Respondent**”) filed its audited annual comparative financial statements with the Commission for its financial year ended September 30, 2017, past the due date of December 29, 2017;

**AND WHEREAS** by letter dated February 27, 2018, Staff of the Commission informed the Respondent that it was in breach of By-Law 39(1) of the Securities (General) By-Laws, 2015 (“the By-Laws”) by filing its audited annual comparative financial statements with the Commission for its financial year ended September 30, 2017, past the due date of December 29, 2017;

**AND WHEREAS** by letter dated March 12, 2018 the Respondent acknowledged that it was in Contravention of By-Law 39(1) of the By-Laws, and indicated its willingness to pay the consequential administrative fine.

**AND UPON** the Board of Commissioners considering the submissions in **Board Paper No. 007 of 2018** and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(10)(a) of the Securities Act, 2012 (“the Act”).

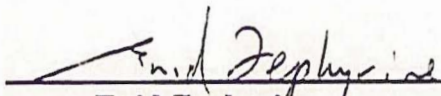
**IT IS HEREBY ORDERED THAT:**

1. KCL Capital Market Brokers Limited (“the Respondent”) has contravened By-Law 39(1) of the By-Laws;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Forty-Two Thousand Trinidad and Tobago Dollars (TT\$42,000.00), within twenty-eight (28) days of the making of this Order; and

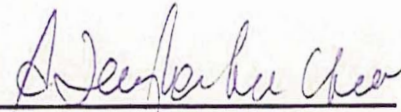
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



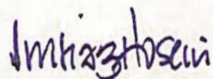
**Douglas Mendes, S.C.**  
(Chairman)



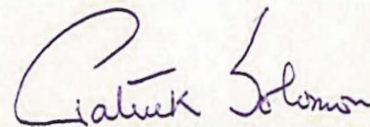
**Enid Zephyrine**  
(Deputy Chairman)



**Suzette Taylor-Lee Chee**  
(Commissioner)



**Imtiaz Hosein**  
(Commissioner)



**Patrick Solomon**  
(Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of the Contravention of Section 64 (1)(a), (b) and (c)  
of the Securities Act, 2012

By

Telecommunications Services of Trinidad and Tobago Limited

ORDER OF THE COMMISSION

\*\*\*\*\*

Dated this 9<sup>th</sup> day of April, 2018

WHEREAS Telecommunications Services of Trinidad and Tobago Limited (“the Respondent”), following the resignation of Mr. Emile Elias as Chairman of its Board of Directors effective September 19, 2017 breached section 64(1)(c) of the Securities Act chapter 2012 (“the Act”) by filing a copy of the notice published in the newspapers, with the Commission past the due date of September 29, 2017;

AND WHEREAS by letter dated December 7, 2017 Staff of the Commission advised the Respondent that it was in contravention of section 64(1)(c) of the Act by failing to file the published notice within the prescribed timeframe;

AND WHEREAS by letter dated December 19, 2017 the Respondent acknowledged that it was in contravention of section 64(1)(c) of the Act;

AND WHEREAS on February 20, 2018 the Respondent entered into a proposed settlement agreement with the Staff of the Commission which was signed by the duly authorized representative of the Commission for and on behalf of the Commission and the duly authorized representative of the Respondent for and on behalf of the Respondent (“the Settlement Agreement”), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel;

AND WHEREAS the Settlement Panel approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission;

1150—Continued

AND WHEREAS pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Three Thousand Trinidad and Tobago Dollars (TT\$3,000.00) within twenty-eight (28) days of the making of this Order;

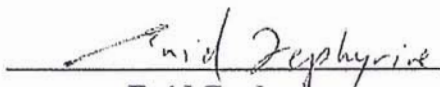
AND UPON: the Board of Commissioners considering the submissions in the Settlement Agreement dated February 20, 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

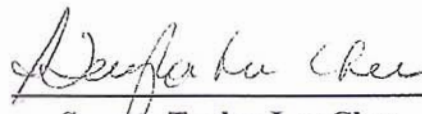
1. Telecommunications Services of Trinidad and Tobago Limited (“the Respondent”) has contravened 64(1)(c) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Three Thousand Trinidad and Tobago Dollars (TT\$3,000.00), within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



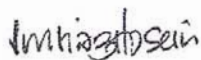
**Douglas Mendes, S.C.**  
(Chairman)



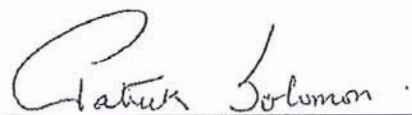
**Enid Zephyrine**  
(Deputy Chairman)



**Suzette Taylor-Lee Chee**  
(Commissioner)



**Intiaz Hosein**  
(Commissioner)



**Patrick Solomon**  
(Commissioner)



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 61(2) of the Securities Act, 2012**

**By**

**L.J. Williams Limited**

**ORDER OF THE COMMISSION**

\*\*\*\*\*

Dated this 18<sup>th</sup> day of April, 2018

**WHEREAS** L.J. Williams Limited (“the Respondent”) failed to file its revised registration statements with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) on or before April 18, 2017, for its financial year ending March 31, 2017, pursuant to section 61(2) of the Securities Act, 2012 (“the Act”);

**AND WHEREAS** the Respondent filed its revised registration statements with the Commission, past the due date of April 18, 2017;

**AND WHEREAS** by letter dated May 31, 2017 Staff of the Commission advised the Respondent that it was in contravention of section 61(2) of the Act, by filing its revised registration statements with the Commission, past the due date of April 18, 2017;

**AND WHEREAS** by letters dated June 7, 2017 and June 30, 2017 the Respondent acknowledged that it was in Contravention of section 61(2) of the Act;

**AND WHEREAS** on February 20, 2018 the Respondent entered into a proposed settlement agreement with the Staff of the Commission which was signed by the duly authorized representative of the Commission for and on behalf of the Commission and the duly authorized representative of the Respondent for and on behalf of the Respondent (“the Settlement Agreement”), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel;

**AND WHEREAS** the Settlement Panel approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission;

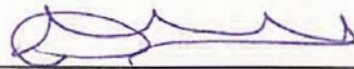
1151—Continued

AND WHEREAS pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Eight Thousand Trinidad and Tobago Dollars (TT\$8,000.00) within twenty-eight (28) days of the making of this Order;

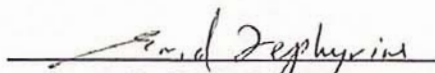
AND UPON: The Board of Commissioners considering the submissions in the Settlement Agreement dated February 20, 2018 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

**IT IS HEREBY ORDERED THAT:**

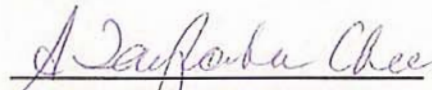
1. L.J. Williams Limited (“the Respondent”) has contravened section 61(2) of the Act;
2. Pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of **Eight Thousand Trinidad and Tobago Dollars (TT\$8,000.00)** within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



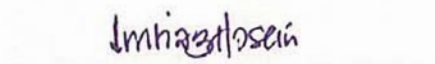
**Douglas Mendes, S.C.**  
(Chairman)



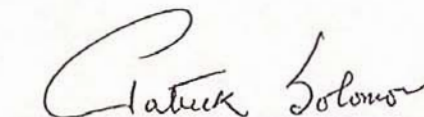
**Enid Zephyrine**  
(Deputy Chairman)



**Suzette Taylor-Lee Chee**  
(Commissioner)



**Intiaz Hosein**  
(Commissioner)



**Patrick Solomon**  
(Commissioner)



**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In The Matter of the Contravention of Section 64(1) of the  
Securities Act, 2012**

**by**

**EDUCATION FACILITIES COMPANY LIMITED**

**ORDER OF THE COMMISSION**

\*\*\*\*\*

Dated this <sup>4<sup>th</sup></sup> 24 day of April, 2018

**WHEREAS** Education Facilities Company Limited (“the Respondent”) failed to file its **Form 10- Material Change Report for the resignation of Ms. Indu Sharma from its Board of Directors** with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) on or before June 22, 2017;

**AND WHEREAS** the Respondent failed to file its **Form 10- Material Change Report for the resignation of Mr. Steven Samlalsingh from its Board of Directors** with the Commission on or before June 14, 2017;

**AND WHEREAS** the Respondent failed to publish its **Material Change Notice for the resignation of Mr. Steven Samlalsingh from its Board of Directors** on or before June 22, 2017;

**AND WHEREAS** the Respondent failed to file a **Copy of the published Material Change Notice of the resignation of Mr. Steven Samlalsingh from its Board of Directors** with the Commission on or before June 22, 2017;

**AND WHEREAS** the Respondent failed to file its **Form 10- Material Change Report for the termination of the appointments of Mr. Christopher Braithwaite, Mr. Jeffery Francis and Mr. Anthony Bisnath from its Board of Directors** with the Commission on or before June 21, 2017;

**AND WHEREAS** the Respondent failed to file its **Form 10-Material Change Report for the appointments of Mr. Cedric Neptune, Mr. Marvin Gonsalves and Mr. Imamuddin Baksh to its Board of Directors** with the Commission on or before June 21, 2017;

**AND WHEREAS** the Respondent failed to file its **Form 10- Material Change Report for the dissolution of services of Ms. Annesa Rahim as its Corporate Secretary (Ag.)** with the Commission on or before June 22, 2017;

AND WHEREAS the Respondent failed to file its **Form 10- Material Change Report** for the appointment of Ms. Yvette Hall as its Corporate Secretary with the Commission on or before June 22, 2017;

AND WHEREAS by letter dated August 29, 2017 Staff of the Commission advised the Respondent of the afore-mentioned contraventions of Section 64(1) of the Securities Act, 2012 (“the Act”);

AND WHEREAS by letter dated November 28, 2017, the Respondent accepted liability for the breach of section 64(1) of the Act;

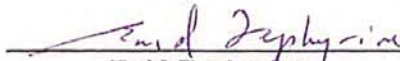
AND UPON the Board of Commissioners being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 (10) (a) of the Act.

**IT IS HEREBY ORDERED THAT:**

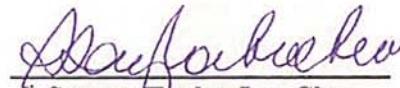
1. Education Facilities Company Limited (“the Respondent”) has contravened section 64(1) of the Securities Act, 2012 (“the Act”);
2. Pursuant to Section 156(2) of the Act, the Respondent, shall pay to the Commission an accumulative administrative fine in respect of the contraventions in the sum of **Twenty Thousand Trinidad and Tobago dollars (TTS20,000.00)** within twenty-eight (28) days of the date of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



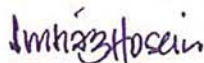
**Douglas Mendes, S.C.**  
(Chairman)



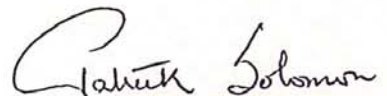
**Enid Zephyrine**  
(Deputy Chairman)



**Suzette Taylor-Lee Chee**  
(Commissioner)



**Imtiaz Hosein**  
(Commissioner)



**Patrick Solomon**  
(Commissioner)





**TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION**

**In the Matter of**  
**an Application by Carne Global Financial Services Limited**  
**for the de-registration of Barclays Funds (formerly Barclays Euro Funds SICAV)**  
**from the Commission's Register of Mutual Funds**

**ORDER OF THE COMMISSION**

\*\*\*\*\*

Dated this <sup>th</sup> 29 day of June, 2018

**WHEREAS** the Trinidad and Tobago Securities and Exchange Commission ("the Commission") issued a receipt for a prospectus for Barclays Funds (formerly Barclays Euro Funds SICAV) ("the Funds") on February 22, 2001.

**AND WHEREAS:**

1. By way of letter dated July 30, 2015, Carne Global Financial Services Limited, in its capacity as agent for the Funds requested that the Funds be de-registered as there were no investors in the Funds in Trinidad and Tobago;
2. On June 29, 2016, the Funds Administrator, Custodian and Transfer Agent, Caceis Bank Luxembourg confirmed that there were no remaining residents/nationals of Trinidad and Tobago with investments in the Funds.

**AND UPON:**

3. The Board of Commissioners considering submissions from Staff of the Commission in the form of Board Paper No. 072 of 2017;
4. The Board of Commissioners being satisfied that there are no longer any existing investors in the Funds requiring the protection of the Act; and

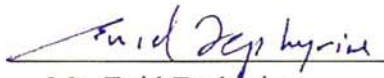
5. The Commission considering that it is not contrary to the public interest to make the following Orders in accordance with section 155 (1A)(e) and 159 (10) of the Act.

**IT IS HERBY ORDERED THAT:**

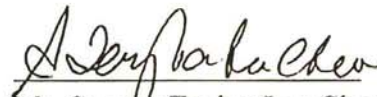
- I. Barclays Funds is exempt from the requirement to register as a reporting issuer at this time because there are no nationals/citizens of Trinidad and Tobago who are investors in the Funds;
- II. Barclays Funds is exempt from the requirement to publish a notice of its intention to be de-registered in Trinidad and Tobago;
- III. Barclays Funds be struck off the register of mutual funds maintained by the Commission;
- IV. This Order shall be published in the Trinidad and Tobago Gazette, in two daily newspapers in general circulation in Trinidad and Tobago and on the Commission's website.
- V. This Order shall take effect from May 5, 2018.



Douglas Mendes, SC  
Chairman



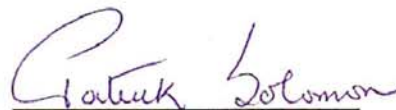
Ms. Enid Zephyrine  
Commissioner



Ms. Suzette Taylor-Lee Chee  
Commissioner



Mr. Imtiaz Hosein  
Commissioner



Mr. Patrick Solomon  
Commissioner

1154

TENDER FOR EXPRESSIONS OF INTEREST FOR CONSULTING SERVICES FOR THE DESIGN AND IMPLEMENTATION OF A NATIONAL NON COMMUNICABLE DISEASE SURVEILLANCE SYSTEM WITH SUPPORTING NATIONAL REGISTRIES FOR THE MINISTRY OF HEALTH, GOVERNMENT OF THE REPUBLIC OF TRINIDAD AND TOBAGO (GORTT), INTER-AMERICAN DEVELOPMENT BANK (IDB) LOAN NO. 3411/OC-TT

THE GOVERNMENT of the Republic of Trinidad and Tobago has received financing from the Inter-American Development Bank (IDB) for the Health Services Support Program (HSSP) and intends to apply a portion of the loan resources to fund Consulting Services for the Design and Implementation of a National Non Communicable Disease (NCD) Surveillance System with Supporting National Registries.

The objective of this consultancy is to strengthen the health sector's surveillance capacity to capture data on the health status, wellness, and overall productivity of the population for effective research, analysis, and evidence-based policy decision making.

In this regard, the Central Tenders Board (CTB) on behalf of the Executing Agency, the Ministry of Health (MOH), invites eligible Consulting Firms to indicate their interest in providing Consulting Services which include, but is not limited to the following:

1. Undertaking of a comprehensive assessment of the national health statistics system with a view to strengthen the NCD surveillance capacity of the MOH, its agencies and partners in alignment with best practice in NCD surveillance and registries.
2. Development of a design for an NCD surveillance system compliant with valid and timely indicator reporting requirements to local, regional, international and multilateral agencies; and informed by sub-national, national, regional and international core set of NCD indicators.
3. Implementation of an NCD Surveillance System which includes:
  - a. revision and upgrading of the existing data collection and reporting procedures for health statistics, linking to the national medical record for health services;
  - b. development of clinical sub-national, national, regional and international core set of NCD indicators supported by process, output, impact, outcome indicators and a data dictionary and data profiles, not limited to the following: demographic, risk factors, socioeconomic data, clinical, biochemical analysis; and self-management indicators and information, in keeping with WHO Global Monitoring Framework for NCDs and the PAHO Compendium of Indicators for Monitoring Regional and Global NCD Response in the Americas;
  - c. design and development of national electronic population-based registries for cardiovascular disease (to be initiated with a registry for heart attack and stroke), and diabetes, and strengthening of the existing cancer registry so that it is aligned with the client's needs for data and reporting management on chronic disease patients;
  - d. design, development, deployment and maintenance of the software and backend hardware for each registry, supporting integration and interoperability of data sources for aggregate national and international reports, and quality assurance procedures.
4. Development and roll out of a training plan (inclusive of all training materials and desk manuals/handbooks as required) on the implementation and use of the revised manuals, procedures and policies; and the registries.
5. Execution and documentation of appropriate change management methods to support the implementation of the NCD surveillance system and registries.
6. Monitoring the adherence and uptake of the revised manuals, procedures and policies for the NCD surveillance system and the implementation of the registries to ensure compliance with international standards, acceptance by stakeholders and users and integration with other Health Information Systems.

Interested firm(s) must provide information, not exceeding fifteen (15) pages to substantiate their qualifications and experience in providing these Consulting Services. Submissions must include the following, which will be used to assess the EOIs:

- a signed transmittal letter clearly indicating the nationality of the firm to demonstrate eligibility;
- a profile of the firm providing general background information on the firm inclusive of years in operation and structure of the organization demonstrating organizational sufficiency and capacity;
- a description, indicating the number of years' experience in similar projects, assignments and conditions as outlined at 1 to 6 above;
- availability of qualified key personnel/experts and resources

Any clarification/queries should be directed to the Officer at Address two (2) below from Monday to Friday between 8:00 a.m. to 4:00 p.m. (local time).

The duration of the assignment will be two (2) years.

This Expressions of Interest is open to all eligible bidders as defined in the IADB's policies which can be accessed at website: <http://www.iadb.org/procurement>.

Consultants will be selected in accordance with the procedures set out in the Inter-American Development Bank: Policies for the Selection and Contracting of Consultants financed by the Inter-American Development Bank (GN 2350-9).

## 1154—Continued

TENDER FOR EXPRESSIONS OF INTEREST FOR CONSULTING SERVICES FOR THE DESIGN AND IMPLEMENTATION OF A NATIONAL NON COMMUNICABLE DISEASE SURVEILLANCE SYSTEM WITH SUPPORTING NATIONAL REGISTRIES FOR THE MINISTRY OF HEALTH, GOVERNMENT OF THE REPUBLIC OF TRINIDAD AND TOBAGO (GORTT), INTER-AMERICAN DEVELOPMENT BANK (IDB) LOAN NO. 3411/OC-TT—CONTINUED

The shortlist shall comprise six firms with a wide geographic spread, with no more than two firms from any one country.

An original and five (5) copies of the data should be placed in sealed envelopes and clearly marked: “Expressions of Interest for Consulting Services for the Design and Implementation of a National Non Communicable Disease Surveillance System with Supporting National Registries for the Ministry of Health, Government of the Republic of Trinidad and Tobago (GORTT), (IDB) Loan No. 3411/OC-TT”

Envelopes must be addressed to the Chairman, Central Tenders Board, at Address One (1) below and be deposited in the Brown Tenders Box located in the lobby of the Board’s Office not later than 1:00 p.m. (Local Time) on Thursday 5th July, 2018.

Submissions will be opened publicly at 1:05 p.m. (local time). An authorized representative of the firm may attend the opening at Address One (1) below.

## Address (1)

Chairman  
Central Tenders Board  
116 Frederick Street  
Port-of-Spain  
Republic of Trinidad and Tobago  
Tel: 1-868-625-3565  
Email: mofctb@gov.tt

## Address (2)

Ms. Michelle Adams  
Project Coordinator  
Health Services Support Programme  
Ministry of Health  
No. 63 Park Street, Port-of-Spain  
Republic of Trinidad and Tobago  
Telephone No. (868) 627-0012 Ext. 1675  
Email: hsspttl1039@health.gov.tt

Consultants should note that the dimensions of the slot on the Tenders Box are 37.5 cm x 5.5 cm and as such, submissions should be packaged accordingly.

The Central Tenders Board does not bind itself to accept any submission.

The Central Tenders Board reserves the right to cancel this Request for Expressions of Interest Notice in its entirety or even partially, without defraying any cost incurred by any firm in the preparation and submission of such Expression of Interest.

Late submissions will not be considered under any circumstances.

Interested Firms are advised that the Expressions of Interest Notice will be advertised on the United Nations Development Business (UNDB) Website, the IDB’s website and the Ministry of Finance website <http://www.finance.gov.tt>.

5th June, 2018.

K. EMAMALI  
Chairman, Central Tenders Board  
Government of the Republic of Trinidad and Tobago