

# TRINIDAD AND TOBAGO GAZETTE (EXTRAORDINARY)

Vol. 56

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No. 66

783

Tobago House of Assembly

#### CONFIRMATION OF APPOINTMENTS

The following notice is published for general information:

#### Confirmations

Name			Rank of Officer		Date of Confirmation	
Stacey Edwards				Estate Constable		24th November, 2011
Penelope Fraser-Joefiel	d			Clerk Stenographer IV		1st November, 2014
Penelope Fraser-Joefield				Clerk Stenographer III		1st January, 2012
Verlyn Rollock-Walker				Clerk Stenographer I/II		2nd December, 2011
Dave Radgman				Works Supervisor I		28th November, 2008
Melva Thomas				Chauffeur/Messenger		10th December, 2010
Patsy James	•••			Clerk Stenographer III		20th October, 2011
Patsy James				Clerk Stenographer IV		1st July, 2012
Ryan Renwick	•••			Clerk I		30th November, 2016
Diah Guy-Roberts				Clerk I		1st October, 2012
Delise Wight	•••			Clerk I		2nd October, 2012
Shirley Ann James	•••			Clerk I		2nd October, 2012
Syslyn George				Clerk I		2nd October, 2012
Giselle Roach-Sergeant		•••		Clerk I	•••	2nd October, 2012
Marilla Johnson				Clerk I		2nd October, 2012
Camille Douglas	•••	•••	•••	Clerk I	•••	2nd October, 2012
Christopher Clarke				Clerk I		3rd October, 2012
Lyndon Duncan	•••	•••	•••	Clerk I		2nd October, 2012
Ancilla Farrel-Pierre				Clerk I		2nd October, 2012
Camille Scotland				Clerk I		2nd October, 2012
Corise Boatswain				Clerk I		2nd October, 2012
Zenora Williams				Clerk I		2nd October, 2012
Cleve Lewis				Chauffeur/Messenger		20th June, 2010
Deborah St. Louis				Wardsmaid		30th July, 2005
Kathy Ann Daniel				Clerk I		2nd October, 2012
Dorinda Barrow				Clerk I		2nd October, 2012
Linell Williams-Adams				Clerk I		2nd October, 2012
Simone James-Taylor				Clerk I		2nd October, 2012
Tracy James				Clerk I		2nd October, 2012
Patricia Maxwell				Clerk I		2nd October, 2012
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#### TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of the Contravention of Section 64(1)(c) of the Securities Act, 2012

By

#### BERGER PAINTS TRINIDAD LIMITED

### ORDER OF THE COMMISSION

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Dated this 6 day of June , 2017

**WHEREAS** Berger Paints Trinidad Limited ("the Respondent") failed to file with the Commission copies of its published Material Change Notices with the Commission within seven (7) days of the occurrence of the material changes in accordance with section 64(1)(c) of Securities Act 2012 (hereinafter called "the Act");

#### AND WHEREAS:

1) By letter dated November 14<sup>th</sup>, 2016, the Staff of the Commission notified the Respondent that it was in contravention of section 64(1)(c) by filing a copy of the published Material Change Notice, with the Commission on October 27<sup>th</sup>, 2016, ninety-nine (99) days past the due date of July 20<sup>th</sup>, 2016 in relation to the appointment of Ms. Sheena Balkaran as Accountant;

#### TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION—ORDER

#### BERGER PAINTS TRINIDAD LIMITED—CONTINUED

- 2) Also by letter dated November, 14<sup>th</sup>, 2016 the Staff of the Commission notified the Respondent that it was in contravention of section 64(1)(c) by filing a copy of the published Material Change Notice, with the Commission on October 28<sup>th</sup>, 2016, and seventeen (17) days past the due date of October 11<sup>th</sup>, 2017, in relation to the resignation of Mr. William Aguiton as Director;
- 3) By letter dated November 15<sup>th</sup>, 2016, the Respondent acknowledged both contraventions of section 64(1)(c) of the Act and made submissions to the Commission in accordance with section 156(1) of the Act;
- 4) On May 5<sup>th</sup> 2017, the Respondent entered into a proposed settlement agreement with the Staff of the Commission which was signed by the duly authorized representative of the Commission for and on behalf of the Commission and the duly authorized representative of the Respondent for and on behalf of the Respondent ("the Settlement Agreement"), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel;
- 5) The Settlement Panel approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission;
- 6) Pursuant to the Settlement Agreement the Respondent shall pay to the Commission the sum of Forty-Thousand Trinidad and Tobago Dollars (TT\$40,000.00) and Six-Thousand Eight Hundred Dollars (TT\$6,800) respectively, a total of Forty-Six Thousand Eight Hundred (TT\$46, 800.00) within twenty-eight (28) days of the making of this Order;

**AND UPON** the Board of Commissioners considering the submissions in the Settlement Agreement dated May 5<sup>th</sup>, 2017 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to section 159(10)(a) of the Act.

#### IT IS HEREBY ORDERED THAT:

- 1. Berger Paints Trinidad Limited ("the Respondent") contravened section 64(1)(c) of the Act twice.
- 2. That pursuant to section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fines of Forty-Thousand Trinidad and Tobago Dollars (TT\$40,000.00) and Six-Thousand Eight Hundred Dollars (TT\$6,800) respectively, a total sum of Forty-Six Thousand Eight Hundred (TT\$46, 800.00) for contraventions of section 64(1)(c) of the Act.

#### TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION—ORDER

#### BERGER PAINTS TRINIDAD LIMITED—CONTINUED

3. This Order is to be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two (2) daily newspapers of general circulation in Trinidad and Tobago

> Douglas Mendes, S.C. (Chairman)

Enid Zephyrine (Deputy Chairman) Suzette/Taylor-Lee Chee (Commissioner)

**Imtiaz Hosein** (Commissioner) **Patrick Solomon** 

(Commissioner)



#### TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of the Contravention of Section 63(a) of the Securities Act, 2012 and By-Law 47(c) of the Securities (General) By-Laws, 2015

And

In The Matter of the Contravention of Section 66(1) of the Securities Act, 2012

by

**CIBC Private Client Fund Limited** 

ORDER OF THE COMMISSION

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Dated this 13 day of June, 2017

TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION—ORDER

CIBC PRIVATE CLIENT FUND LIMITED—CONTINUED

#### **CONTRAVENTION A**

WHEREAS The Respondent also failed to file its annual report with the Commission on or before its due date of January 28, 2016 for its financial year ending September 30, 2015;

AND WHEREAS on May 3, 2016 the Respondent filed its annual report with the Commission, ninety-six (96) days past the due date of January 28, 2016;

AND WHEREAS by letters dated March 21, 2016 and November 21, 2016 the Commission advised the Respondent that it was in contravention of Section 63(a) of the Act by failing to file the said annual report with the Commission on or before its due date of January 28, 2016;

AND WHEREAS the Respondent admitted that it was in contravention of Section 63(a) of the Act;

#### **CONTRAVENTION B**

WHEREAS CIBC Private Client Fund Limited ("the Respondent") failed to file its interim financial statements with the Trinidad and Tobago Securities and Exchange Commission ("the Commission") on or before its due date of February 29, 2016 for the interim period ending December 31, 2015;

AND WHEREAS on May 9, 2016 the Respondent filed its interim financial statements with the Commission, seventy (70) days past the due date of February 29, 2016;

AND WHEREAS by letters dated April 29, 2016 and November 21, 2016 the Commission advised the Respondent that it was in contravention Section 66(1) of the Securities Act, Chapter 83:02 ("the Act") by failing to file the said interim financial statements with the Commission on or before its due date of February 29, 2016;

AND WHEREAS the Respondent admitted that it was in contravention of Section 66(1) of the Act;

TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION—ORDER

CIBC PRIVATE CLIENT FUND LIMITED—CONTINUED

AND WHEREAS on *March 25, 2017*, the Respondent entered into a proposed settlement agreement with the Staff of the Commission which was signed by the duly authorized representative of the Commission for and on behalf of the Commission and a Director of the Respondent for and on behalf of the Respondent ("the Settlement Agreement"), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel;

AND WHEREAS the Settlement Panel approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission;

AND WHEREAS pursuant to the Settlement Agreement, the Respondent shall pay to the Commission the sum of One Hundred and Three Thousand Trinidad and Tobago Dollars (TT\$103,000.00) within twenty-eight (28) days of the making of this Order;

AND WHEREAS the Commission has considered the Settlement Agreement and is of the opinion that it is in the public interest to make this Order.

#### AND UPON:

The Board of Commissioners considering the Settlement Agreement dated *March 25, 2017*, and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 (10) (a) of the Act.

#### IT IS HEREBY ORDERED THAT:

- 1. **CIBC Private Client Fund Limited ("the Respondent")** has contravened Section 66(1) and Section 63(a) of the Securities Act, Chap. 83:02;
- 2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission, administrative fines in the total sum of **One Hundred and Three Thousand Trinidad and Tobago**

Trinidad and Tobago Securities and Exchange Commission—Order  $\underline{\hspace{1cm}}$ 

CIBC PRIVATE CLIENT FUND LIMITED—CONTINUED

**Dollars (TT\$103,000.00)** for the contravention of Section 66(1) and Section 63(a) of the Act within twenty-eight (28) days of the date on which this Order is entered; and

3. This Order is to be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two (2) daily newspapers of general circulation in Trinidad and Tobago.

Douglas Mendes, S.C. (Chairman)

Enid Zephyrine (Deputy Chairman) Suzette Taylor- Lee Chee (Commissioner)

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Imtiaz Hosein (Commissioner)

Patrick Solomon (Commissioner)



#### TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of the Contravention of Section 63(a) of the Securities Act Chapter 83:02 and By-Law 47(c) of the Securities (General) By-laws 2015

by

#### **Fortress OAM Overseas Fund Limited**

#### ORDER OF THE COMMISSION

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Dated this 13 day of June

2017

**WHEREAS** Fortress OAM Overseas Fund Limited ("the *Respondent*") filed its annual report with the Commission for its financial year ended September 30, 2016, on February 7, 2017, eight (8) days past the due date of January 30, 2017;

#### AND WHEREAS

- 1. By letter dated February 15, 2017 staff of the Commission ("the Staff") informed the Respondent that it was in breach of Section 63(a) of Securities Act Chapter 83:02 ("the Act") and By-Law 47(c) of the Securities (General) By-Laws 2015 ("the By-Laws") by filing its annual report with the Commission for its financial year ended September 30, 2016, on February 7, 2017, eight (8) day past the due date of January 30, 2017; and
- 2. By letter dated February 24, 2017 the Respondent acknowledged that it was in Contravention of Section 63(a) of the Act and By-Law 47(c) of the By-Laws, and paid the administrative fine for the late filing of the said annual report.

**AND UPON** The Board of Commissioners considering the submissions in Board Paper **No. 026 of 2017** and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 (10) (a) of the Act.

TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION—ORDER

FORTRESS OAM OVERSEAS FUND LIMITED—CONTINUED

#### IT IS HEREBY ORDERED THAT:

- 1. The Fortress OAM Overseas Fund Limited ("the *Respondent*") has contravened Section 63(a) of the Act and By-Law 47 (c) of the By-Laws;
- 2. Pursuant to section 156(2) of the Act, the Respondent has paid to the Commission, an administrative fine in the sum of Eight Thousand Trinidad and Tobago Dollars (TT\$8,000.00); and
- 3. The Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission's website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.

Douglas Mendes, S.C. (Chairman)

Enid Zephyrine / (Deputy Chairman)

Suzette Taylor-Lee Chee (Commissioner)

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Imtiaz Hosein (Commissioner) Patrick Solomon (Commissioner)

## TENDER FOR ROAD REHABILITATION WORKS FOR THE ARIMA BOROUGH CORPORATION, MINISTRY OF RURAL DEVELOPMENT AND LOCAL GOVERNMENT

TENDERS are invited for Road Rehabilitation Works at the following two (2) locations for the Arima Borough Corporation:

Project 1 —Malabar Branch Trace Paving Works, Off Malabar Road, Arima

Project 2 — Construction of Box Drain at Parkes Lane, Lower O'Meara Road, Arima

Tenderers are advised that only one (1) project will be awarded per contractor.

Tender documents can be collected during normal working hours at the Central Tenders Board's Office, 116, Frederick Street, Port-of-Spain. Telephone Number 625-1868.

Tenderers are required to pay a tender deposit of five hundred dollars (\$500.00) for each project payable by cash or certified cheque to the Director of Contracts, Central Tenders Board. This deposit will be received on normal working days, Monday to Friday between the hours of 9.00 a.m. to 12.00 noon and 1.00 p.m. to 3.00 p.m. The original receipt must be attached to the tender.

Any further technical information may be obtained during normal working hours from Mr. Primchand Boodram, Acting Town Superintendent, Arima Borough Corporation, Ministry of Rural Development and Local Government, at Telephone Numbers 667-3435/3508/4319.

A pre-tender meeting followed by site visits will be held for prospective tenderers at 10.00 a.m. on Wednesday 21st June, 2017 at the Arima Town Hall, Sorzano Street, Arima.

Tenders must be accompanied by the following-

- (a) Valid Income Tax and Value Added Tax Clearance Certificates issued by the Board of Inland Revenue and dated not more than six (6) months prior to the closing date of the tender.
- $(b) \ \ {\rm Valid} \ {\rm Certificate} \ \ {\rm of} \ {\rm Compliance} \ \ {\rm issued} \ \ {\rm in} \ \ {\rm accordance} \ \ {\rm with} \ \ {\rm the} \ \ {\rm National} \ \ {\rm Insurance} \ \ {\rm Act.}$

The original and two (2) copies of the tender should be placed in sealed envelopes and clearly marked on the outside:.

Project 1—"Tender for Paving Works at Malabar Branch Trace, Arima for the Arima Borough Corporation, Ministry of Rural Development and Local Government".

Project 2—"Tender for Construction of Box Drain at Parkes Lane, Lower O'Meara Road, Arima for the Arima Borough Corporation, Ministry of Rural Development and Local Government".

Envelopes must be addressed to the Chairman, Central Tenders Board, 116, Frederick Street, Port-of-Spain and must be deposited in the Brown Tenders Box located in the lobby of the Board's Office not later than 1.00 p.m. on Thursday 6th July, 2017.

Tenders will be opened shortly thereafter. The tenderer or his representative may be present at the opening.

Tenderers should note that the dimensions of the slot on the Tenders Box are 37.5 cm x 5.5 cm and as such, tenders should be packaged accordingly.

Late tenders will not be considered in any circumstances.

The Board does not bind itself to accept the lowest or any other tender.

The Central Tenders Board reserves the right to cancel the bidding process in its entirety or even partially, without defraying any cost incurred by any firm in submitting their tender.

Prospective tenderers are advised that they can visit website http://www.finance.gov.tt for all published Tender Notices.

J. KHAN Chairman, Central Tenders Board

NOTICE OF REMOVAL OF DEFUNCT COMPANIES FROM REGISTER

[Section 461(5) of the Companies Act, 1995, Chap. 81:01]

NOTICE is hereby given that the following Companies were struck off the Register of Companies on the 5th May, 2017.

Name of Companies

ANDREWS QUARRY LIMITED-A 5846 (95)

CHANCELLOR VIEW LIMITED—C 4874 (95)

CERTIFIED CONCEPTS COMPANY LIMITED—C 6054 (95)

CINDY'S COSMETICS CO. LTD.—C 6851 (95)

CHAKRA PUBLISHING HOUSE LTD.—C 7159 (95)

GLOBAL WARMING ENVIRONMENT SOLUTIONS LTD.—G 2060 (95)

IDEAS TO BUSINESS LIMITED—I 1043 (95)

INDO-CARIBBEAN DIVALI PUBLICATION LTD.— I 2179 (95)

I-QUES SOLUTIONS LTD.—I 2186 (95)

LPZ LIMITED-C 2012120600868

LAEL REAL ESTATE INTERNATIONAL LIMITED— C 2014122904191

MOUNTSIDE SIX LIMITED—M 3510 (95)

MOUNTSIDE ONE LIMITED-M 3511 (95)

MOUNTSIDE TWO LIMITED-M 3518 (95)

MOUNTSIDE THREE LIMITED—M 3519 (95)

MOUNTSIDE SEVEN LIMITED-M 3520 (95)

MAREXCO LIMITED—M 3835 (95)

O'MEARA HEIGHTS LIMITED—O 714 (95)

OCELOT R & D LIMITED—C 2015042806683

RICK-TEL 2002 COMPANY LIMITED—R 1955 (95)

TRINIDAD DATA & TECH SUPPORT LIMITED— T 5309 A (95)

TRANZORG INTERNATIONAL CONSULTANCY SERVICES LTD.—T 6300 (95)

TENT & EVENTS ISLANDWIDE LTD.—T 6847 (95)

Dated this 9th day of May, 2017, at the Registrar General's Office.  $\,$ 

K. BRIDGEWATER
Registrar General

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NOTICE is hereby given that the following Companies were struck off the Register of Companies on the 7th May, 2017.

CAP DE VILLE AUTOMOTIVE ALIGNMENT LIMITED—C 6007 (95)

CENTRE FOR LASER (CHAGUANAS/GULF VIEW/POS) LTD.—C 4578 (95)

AK MANAGEMENT SERVICES LTD.—C 2013040402556

CENTRAL UNITED STARS LTD.—C 2013121607294

PERSAD'S MULTI SERVICES (P.M.S). CO. LTD.— C 2013043003020

URBAN HYGIENE SERVICES LIMITED— C 2013031202176

TAKESHI INTEGRATED SOLUTIONS LTD.— C 2013071904676

SEMODACHI ADVANCES TRANSPORTATION SYSTEMS SOLUTIONS LTD.—C 2013071904675

DC VIEW LTD.—C 2015072808861

START AND FINISH ELECTRICAL AND AIR CONDITIONING SERVICES LTD.—C 2015030405467

WISE FINANCIAL SERVICES LIMITED—W 668 (C)

VISION DATA & VOICE LTD.—V 816 (95)

TROPICAL TREASURES MANAGEMENT LIMITED— T 4100 (95)

PLYMOUTH VILLAS LIMITED-P 2652 (95)

95c PAR THREE LANE LIMITED-N 1538 (95)

D. S. ENVIRONMENTAL SERVICES LTD.— C 2014102102899

G. WILBA CONSULTANCY LTD.—C 2014081401664

KELTAC LOGISTICAL SERVICES & SOLUTIONS LTD.—K 1794 (95)

MOSES PLUMBING AND HARDWARE SUPPLIES LIMITED—M 3494 (95)

BEYONDCREATIVE WEDDINGS & EVENTS LTD.— C 2013070304378

ECO-INNOVA LIMITED—C 2013040502581

Dated this 9th day of May, 2017, at the Registrar General's Office

K. BRIDGEWATER
Registrar General