

LEGAL NOTICE NO. 394

REPUBLIC OF TRINIDAD AND TOBAGO

THE PURCHASE OF CERTAIN RIGHTS (HCU) ACT, 2014

REGULATIONS

MADE BY THE MINISTER UNDER SECTION 9 OF THE PURCHASE OF CERTAIN RIGHTS (HCU) ACT, 2014 AND TO BE LAID IN PARLIAMENT

THE PURCHASE OF CERTAIN RIGHTS (HCU) REGULATIONS, 2014

1. These Regulations may be cited as the Purchase of Certain Rights (HCU) Regulations, 2014. Citation

2. In these Regulations— Interpretation
 - “broker” means a person engaged in the business of effecting transactions in securities for the account of others;
 - “Fiscal Agent” means the Central Bank of Trinidad and Tobago established under the Central Bank Act; Chap. 79:02
 - “interim period” means the period from the date of the issue of the bonds to the date when the bonds have been listed on the Stock Exchange;
 - “Stock Exchange” means the Trinidad and Tobago Stock Exchange Limited being a company incorporated under the Companies Act. Chap. 81:01

3. All bonds shall be in electronic form and shall bear the same date of issue. Form and issue date of bonds

4. (1) No bond shall be transferred during the period of two days immediately preceding the date of maturity of the bond. Transfer of bond
 - (2) Subject to subregulation (1), a bond—
 - (a) in the interim period shall be transferred only after the Bond Transfer Form, specified in the Schedule, has been duly completed and submitted by the Transferor to the Fiscal Agent; and Schedule
 - (b) which is listed on the Stock Exchange, shall be transferred through a broker.

5. Bonds shall be in the denomination of one thousand dollars. Denomination of bonds

The transferor hereby instructs the fiscal agent to register the Bond(s) in the Name(s) of the Transferee(s).

Signed by the Transferor

Signature

Name (Surname First)/Company Stamp

Address

Date of Birth (Day/Month/Year)

** To be completed where consideration is not Monetary.*

Signed by the Transferee

Are you at present holding Bonds issued under this Act? Yes No

Signature

Name (Surname First)/Company Stamp

Address

Date of Birth (Day/Month/Year)

Bank Name

Bank Address

Account No.

National ID No.

Telephone Contact

Dated this day of, 20

Agent

Agent's Name

Address

Authorizing Officer

.....
Stamp of Agent

Notes:

1. *Please type or use Block Letters.*
2. *Where a transferee is a Company, the name of the Authorised signatory and the address of the registered office should be clearly stated.*
3. *Registration of transfers will not be effected when the register is closed.*
4. *Transfers are effected within two (2) working days after receipt of transfer form.*
5. *Transfer form is to be completed in duplicate.*

Dated this 10th day of December, 2014.

L. HOWAI
Minister of Finance and the Economy