



TRINIDAD AND TOBAGO GAZETTE (EXTRAORDINARY)

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ERRATUM

IN *“Trinidad and Tobago Gazette”*, Vol. 49, No. 74A, dated Wednesday 16th July, 2010, Item No. 1086A, “Assignment of Responsibility to Ministers”, “The Honourable MARY KATHLEEN KING” should have read “Senator the Honourable MARY KATHLEEN KING”.

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APPOINTMENT OF MEMBER AND CHAIRMAN OF THE PUBLIC SERVICE COMMISSION

IT IS HEREBY NOTIFIED for general information that His Excellency the President, after consultation with the Prime Minister and the Leader of the Opposition, in exercise of the power vested in him by section 120 of the Constitution of the Republic of Trinidad and Tobago has appointed AMBASSADOR CHRISTOPHER R. THOMAS, a Member and Chairman of the Public Service Commission for Trinidad and Tobago, for a period of three (3) years from 1st July, 2010.

H. HEMNATH
*Secretary to His Excellency
the President*

25th June, 2010.

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MINISTRY OF LOCAL GOVERNMENT

SEPARATIONS

IN ACCORDANCE with the Director of Personnel Administration’s Circular Memorandum No. E 26/06, dated 25th August, 2006, the undermentioned notice is published for general information:

Resignation/Retirements

Name	Rank of Officer	Ministry/Department	Date	Remarks
Bernadette Williams, Mrs.	Project Officer I ...	Local Government ...	7th February, 2011	Voluntary Retirement
Helen Gibbs-Johnson, Ms.	Clerk Typist I ...	do. ...	5th October, 2009	Resigned
Francis William, Mr. ...	Works Supervisor III ...	do. ...	11th February, 2010	Compulsory Retirement
Ina Yarde, Ms. ...	Human Resource Officer III	do. ...	24th February, 2010	Voluntary Retirement
Jennifer Clarke, Ms. ...	Clerk Stenographer I ...	do. ...	3rd April, 2010	Compulsory Retirement
Nola Collins, Ms. ...	Messenger I ...	do. ...	7th April, 2010	do.
Surujdaye Harrypersad, Mrs.	Clerk II ...	do. ...	3rd May, 2010	Voluntary Retirement
Wavell Mc Kell, Mr. ...	Draughtsman III ...	do. ...	10th May, 2010	Compulsory Retirement
Dulcina Ramharacksingh, Ms.	Clerk IV ...	do. ...	18th May, 2010	Voluntary Retirement



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

IN THE MATTER OF

A CONTRAVENTION OF SECTION 66(1)(a) OF THE SECURITIES INDUSTRY ACT, 1995
BY SAGICOR FINANCIAL CORPORATION

And

In the Matter of

A PROPOSED SETTLEMENT AGREEMENT

[Pursuant to Part VIII of Securities Industry (Hearings and Settlements) Practice Rules, 2008 ("the Rules")]

ORDER OF THE COMMISSION

WHEREAS Sagicor Financial Corporation ("the Respondent") filed a copy of its Annual Report for the year 2008 with the Trinidad and Tobago Securities and Exchange Commission ("the Commission") on 5th June, 2009 past the due date of 30th April, 2009;

And whereas by Notice of Contravention S.I. 155 of 2009 dated 31st December, 2009 the Commission advised the Respondent that it was in contravention of section 66(1)(a) of the Securities Industry Act, 1995, ("the Act") by filing a copy of its Annual Report for the year 2008 with the Commission on 5th June, 2009 past due date of 30th April, 2009;

And whereas by letters dated 11th January, 2010, 15th January, 2010 and 20th January, 2010 the Commission and the Respondent entered into settlement discussions to determine whether the matter could be settled;

And whereas on the 8th March, 2010, the Respondent entered into a proposed settlement agreement with the Staff of the Commission which was signed by the General Manager of the Commission on behalf of the Commission and a Director and the Secretary of the Respondent on behalf of the Respondent ("the Settlement Agreement"), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel;

And whereas the Settlement Panel approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission;

And whereas the Respondent shall pay the sum of fifteen thousand Trinidad and Tobago dollars (TT\$15,000.00) within twenty-eight (28) days of making of this Order to the Commission;

And whereas the Commission has considered the Settlement Agreement and is of the opinion that it is in the public interest to make this Order.

It is hereby ordered that—

- (a) The procedure outlined under the Securities Industry (Hearings and Settlements) Practice Rules, 2008 has been followed;
- (b) The Respondent has contravened section 66(1)(a) of the Act and shall pay the sum of fifteen thousand Trinidad and Tobago dollars (TT\$15,000.00) within twenty-eight days of the making of this Order as the fine in this matter; and
- (c) This Order shall be published in the *Trinidad and Tobago Gazette*, in the daily newspapers and on the Commission's Website.

Dated this 4th day of May, 2010.

DEBORAH THOMAS-FELIX
Chairman

Ms. MARSHA K. KING
Commissioner

VISHNU DHANPAUL
Commissioner

DR. SHELTON NICHOLLS
Commissioner

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TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

IN THE MATTER OF

THE CONTRAVENTION OF SECTION 66(3) OF THE SECURITIES INDUSTRY ACT, 1995 AND BY-LAW 56 OF THE SECURITIES INDUSTRY BY-LAWS, 1997 BY RBTT FINANCIAL HOLDINGS LIMITED

And

In the Matter of

A PROPOSED SETTLEMENT AGREEMENT

[Pursuant to Part VIII of Securities Industry (Hearings and Settlements) Practice Rules, 2008 ("the Rules")]

ORDER OF THE COMMISSION

WHEREAS RBTT Financial Holdings Limited ("the Respondent")—

- (a) Failed to issue, within seven (7) days, a press release authorized by a senior officer that disclosed the nature and substance of a material change, pursuant to section 66(3) of the Securities Industry Act, 1995 ("the Act"), regarding the decision to sell the shares 9,000,000 ordinary shares of GHL, then held by its subsidiary, RBTT Insurance Holdings Limited; and
- (b) Failed to include in its accounts for the year ended the 31st day of March, 2005, the comparative financial statements included in the Annual Report of RBTT Financial Holdings Limited for that financial year, pursuant to by-law 56 of the Securities Industry By-Laws, 1997 ("the By-Laws").

And whereas by an Appointment dated the 30th day of June, 2005, the Trinidad and Tobago Securities and Exchange Commission ("the Commission") appointed an investigation team, pursuant to section 138 of the Act, to ascertain whether any person "has contravened, is contravening or is about to contravene" the Act;

And whereas by letter dated the 26th day of October, 2005, the Respondent made a request to enter into settlement discussions with the staff of the Commission ("the Staff") with a view to settling these matters;

And whereas in February, 2006 the settlement discussions were suspended pending the approval of the Securities Industry (Hearings and Settlement) Practice Rules, 2008 ("the Rules");

And whereas by letter dated 7th August, 2008, the Respondent wrote to the Commission and indicated that they were willing to resume settlement discussions with staff of the Commission;

And whereas on the 25th day of May, 2010, the Respondent entered into a proposed settlement agreement with the Staff of the Commission which was signed by the General Manager of the Commission on behalf of the Commission and by the Corporate Secretary on behalf of the Respondent ("the Settlement Agreement"), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel;

And whereas the Respondent has agreed to pay a fine in the sum of \$25,000.00 for the contravention under section 66(3) of the Act and a fine in the sum of \$50,000 for the contravention under by-law 56 of the By-Laws to the Commission;

And whereas the Settlement Panel has approved the Settlement Agreement in all respects save and except to vary the agreed fines by granting a waiver of the fine of \$25,000 for the Respondent's late issuance of the said press release by one day;

And whereas the Settlement Panel has agreed to submit the Settlement Agreement to the Commission as varied;

And whereas the Commission approved the waiver of the fine of \$25,000 for the Respondent's late issuance of the said press release;

And whereas the Commission has considered the Settlement Agreement and is of the opinion that it is in the public interest to make this Order.

It is hereby ordered that:

- (a) The procedure outlined under the Securities Industry (Hearings and Settlements) Practice Rules, 2008 has been followed;
- (b) Pursuant to section 143(1) of the Act, the Respondent pay to the Commission, as agent for the Comptroller of Accounts, a fine in the sum of \$50,000.00 for the contravention under by-law 56 of the By-Laws within 28 days of the date on which this order is entered; and
- (c) This Order shall be published in the *Trinidad and Tobago Gazette*, on the Commission's Website and publication in the daily newspaper shall be delayed for a period of six months of the date hereof.

Dated this 2nd day of June, 2010.

DEBORAH THOMAS-FELIX
Chairman

Ms. MARSHA K. KING
Commissioner

VISHNU DHANPAUL
Commissioner

DR. SHELTON NICHOLLS
Commissioner

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DETERMINATION OF COMPENSATION TO DEPENDANTS OF DECEASED EMPLOYEE

Re Death of

SURESH MARAJ, late of 4, Boundary Road, San Juan
a workman lately employed with G4S Security Services Ltd.

COMPENSATION in the above matter having been deposited with me under section 8 of the Workmen's Compensation Act, persons claiming to be the dependants of the said Suresh Maraj are hereby required to appear before the Commissioner on TUESDAY THE 13TH DAY OF JULY, 2010, at 9.00 o'clock in the forenoon at the Hall of Justice, Port-of-Spain, when the Commissioner shall proceed to determine the distribution thereof.

Proof of relationship of dependants to the deceased workman will be required by the Commissioner to be furnished by claimants at the enquiry. Such proof to be in the form of certificates of birth and of marriage as in the circumstances be necessary.

A claimant for funeral expenses of the deceased must submit and prove his claim to the Commissioner on or before the 13th day of July, 2010.

Dated this 14th day of April, 2010.

S. CAZABON
*Assistant Registrar,
Supreme Court of Judicature*

(3 ins.)

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LICENSING SESSION

SAN FERNANDO

RETURN of Applications to be considered under the provisions of the Liquor Licences Act 84:10 for the sale of Intoxicating Liquor at the Licensing Session will be held at the San Fernando Magistrate's Court on THURSDAY THE 17TH DAY OF JUNE, 2010 at 9.00 o'clock in the forenoon.

<i>Name of Applicant</i>	<i>Address of Applicant</i>	<i>Situation of Premises</i>	<i>Term of Licence</i>
Spirit Retailer's			
Kumar Rajaram	6, Pundit Street Hermitage Village Phillipine Road	69, Dumfries Road Hermitage Village La Romaine	From 1st July, 2010 to 31st March, 2011
Spirit Grocer's			
Shazard Ali	92, Sunrees Road Penal	46, Papourie Road Esperance Village	do.
Qui Zhi Hua	Southland Mall San Fernando	55-57, Southern Main Road Marabella	do.
Restaurant			
Zhuo Rong Su	129B, Union Road Marabella	129B, Union Road Marabella—Opposite Triple B's Restaurant and Bar	do.
Yang Zhan Qiang	Barrackpore Main Road Lower Barrackpore	Lower Barrackpore—Opposite Green Gate Bar	do.
Special Restaurant			
Julius Taylor	4A, Pearl Street Marabella	220, Southern Main Road Marabella	do.
Yang Hu	317, Southern Main Road Cunupia	2, La Pique Road San Fernando (Opposite KFC)	do.
Rayeed Mohammed	2, Rampersad Trace Debe	4, Cross Crossing San Fernando	do.
Latchmedath Ragoonanan	170, Eckels Village Williamsville	170, Eckels Village Williamsville	do.

Dated this 1st day of June, 2010 at the San Fernando Magistrate's Court.

J. SARGEANT
*Secretary, Licensing Committee,
Victoria West, San Fernando*